

1 **A Dunite Fragment in Meteorite Northwest Africa (NWA) 11421:**

2 **A Piece of the Moon's Mantle**

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## Abstract

A centimeter-sized fragment of dunite, the first recognized fragment of Moon mantle material, has been discovered in the lunar highlands breccia meteorite Northwest Africa (NWA) 11421. The dunite consists of 95% olivine ( $\text{Fo}_{83}$ ), with low-Ca and high-Ca pyroxenes, plagioclase, and chrome spinel. Mineral compositions vary little across the clast, and are consistent with chemical equilibration. Mineral thermobarometry implies that the dunite equilibrated at  $980 \pm 20^\circ\text{C}$  and  $0.4 \pm 0.1$  Gigapascal (GPa) pressure. The pressure at the base of the Moon's crust (density  $2550 \text{ kg/m}^3$ ) is 0.14-0.18 GPa, so the dunite equilibrated well into the Moon's upper mantle. Assuming a mantle density of  $3400 \text{ kg/m}^3$ , the dunite equilibrated at a depth of  $88 \pm 22$  km. Its temperature and depth of equilibration are consistent with the calculated present-day lunar geotherm (i.e., selenotherm).

The dunite's composition, calculated from mineral analyses and proportions, contains less Al, Ti etc. than chondritic material, implying that it is of a differentiated mantle (including cumulates from a lunar magma ocean). The absence of phases containing P, Zr, etc. suggests minimal involvement of a KREEP component, and the low proportion of Ti suggest minimal interaction with late melt fractionates from a lunar magma ocean. The Mg/Fe ratio of the dunite ( $\text{Fo}_{83}$ ) is significantly lower than models of an overturned unmixed mantle would suggest, but is consistent with estimates of the bulk composition of the Moon's mantle.

Key words: Moon, mantle, dunite, meteorite, thermobarometry, NWA 11421, selenotherm

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## Introduction

39           The Moon's mantle forms the greatest portion (by volume and mass) of the  
40 Moon, and figures prominently in all models of the Moon's origin and evolution (Shearer  
41 and Papike, 1999; Elkins-Tanton et al., 2002b; Wiczorek et al., 2006; Wiczorek et al.,  
42 2013). Until now, understanding of the lunar mantle has been hindered by the absence  
43 of samples of mantle material. Lacking lunar mantle material to examine, the  
44 composition and physical state of the Moon's mantle have been inferred from  
45 geophysical data and physico-chemical models: Apollo-era seismology (Kuskov and  
46 Kronrod, 2009; Zhao et al., 2012; Matsumoto et al., 2015; Garcia et al., 2019); GRAIL  
47 measurements of gravity (Wiczorek et al., 2006; Matsuyama et al., 2016); and  
48 theoretical models based on those constraints and estimates of the Moon's bulk  
49 composition (Elkins-Tanton et al., 2002a; Wiczorek et al., 2006; Elkins-Tanton et al.,  
50 2011). Here, we present the first undisputable sample of the lunar mantle, and its  
51 implications for its origin.

## 52 Lunar Evolution: Background

53           The standing model of the evolution of the lunar mantle starts as a planet-  
54 encompassing lunar magma ocean (LMO), produced during a collision between the  
55 proto-Earth and a planetesimal (Wiczorek et al., 2006; Elkins-Tanton et al., 2011). As  
56 the LMO cooled, it crystallized mafic minerals, olivine followed by low-Ca pyroxene and  
57 then augite, which sank to form cumulate igneous rocks at the base of the ocean. The  
58 minerals became more ferroan (less magnesian) as crystallization proceeded. The mafic  
59 minerals accumulated in a chemically and mineralogically layered pile. Plagioclase was  
60 among the last minerals to crystallize, and it floated on the remaining LMO to form an  
61 anorthositic crust (Wood et al., 1970; Elkins-Tanton et al., 2011; Wiczorek et al.,  
62 2013). The last dregs of the LMO were rich in incompatible elements, titanium, and iron  
63 (the KREEP component), and were denser than the underlying mafic cumulates  
64 (Srivastava et al., 2022). The whole mantle was gravitationally unstable, with denser,  
65 ferroan material overlying lighter, magnesian material. Under the influence of gravity,  
66 the mantle overturned, bringing magnesian cumulates toward the surface and ferroan

67 and Ti-rich materials to depth (Hess and Parmentier, 1995; Elkins-Tanton et al., 2011).  
68 The overturned mantle could have been chemically layered, with the original  
69 stratigraphy essentially intact but inverted (Elkins-Tanton et al., 2011). Alternatively,  
70 the overturned mantle could have been mixed to various degrees (Boukaré et al., 2018;  
71 Zhao et al., 2019; Moriarty et al., 2021; Schwinger and Breuer, 2022). For a detailed  
72 summary see Gross and Joy (2016).

73 The lunar crust, originally the plagioclase flotation cumulates, is less than 45 km  
74 thick as calculated from gravity and seismic data (Wieczorek et al., 2013). It is  
75 reasonable that larger impact basins would have penetrated the crust and exposed  
76 and/or excavated lunar mantle material (Morrison, 1998; Potter et al., 2012; Vaughan  
77 et al., 2013; Miljković et al., 2015; Moriarty et al., 2021). Many outcrops of olivine rich  
78 material have been identified around lunar basins, and could represent uplifted mantle  
79 material (Nakamura et al., 2009; Yamamoto et al., 2010; Klima et al., 2011; Kramer et  
80 al., 2013; Moriarty et al., 2013; Corley et al., 2018; Gou et al., 2019; Lemelin et al.,  
81 2019; Li et al., 2019; Bretzfelder et al., 2020; Gou et al., 2020; Moriarty III et al.,  
82 2021). However, mineralogy and mineral compositions determined by remote sensing  
83 do not permit determination of pressures and temperatures of equilibration.

#### 84 **Lunar Dunites:**

##### 85 **Returned Samples**

86 There are few dunites and peridotites in the returned lunar sample collection.  
87 Only one macroscopic dunite was collected by the Apollo astronauts, 72415 (and its  
88 pairs), and peridotitic fragments are known in only a few lunar breccia rocks.

89 The only large sample of lunar dunite, 72415 to 72418, was a clast in a  
90 fragmental melt breccia, exemplified by sample 72435 (Meyer Jr, 2012). 72415 is a  
91 cataclastic breccia, composed primarily of olivine fragments (up to 10 mm across) in a  
92 matrix of granulated olivine with small proportions of high- and low-Ca pyroxenes,  
93 plagioclase, chromite, and others (Dymek et al., 1975). 72415 has been interpreted as  
94 nearly monomict, with rare fragments of chromite-pyroxene symplectites and impactites  
95 (Dymek et al., 1975). Olivine in 72415 has a small range in composition, Fo<sub>86-89</sub> (the Fo

96 number is atomic Mg/(Mg+Fe) in %, see Table 1), and two-pyroxene thermometry  
97 implies equilibration at 1120°C (Ishii et al., 1976). The source of 72415 (mantle or  
98 crust) is discussed below.

99         Approximately a dozen fragments of dunite and peridotite have been recognized  
100 in Apollo 14 breccias (Taylor and Marvin, 1971; Lindstrom et al., 1984; Shervais et al.,  
101 1984; Goodrich et al., 1986; Warren et al., 1987; Morris et al., 1990; Snyder et al.,  
102 1995; Shervais and McGee, 1999). These olivine-rich rocklets are all magnesian and  
103 variably enriched in KREEP component. For the most part, they have been interpreted  
104 as crustal cumulates from Mg-suite magmas. The Apollo 14 breccias also contain a few  
105 complex peridotitic fragments, one of which is interpreted as asteroidal (Shervais et al.,  
106 1984). The others are likely to be fragments of crustal cumulate rocks (Taylor and  
107 Marvin, 1971; Morris et al., 1990).

108         A few dunite fragments are reported from Apollo 15 regolith breccias (Marvin et  
109 al., 1989b, a, 1991). Marvin and colleagues suggested that these fragments formed at  
110 significant depth, but did not distinguish between a crustal and mantle origin.

111         Finally, the Apollo 17 basalt 74275 contains xenoliths of dunite (Shearer et al.,  
112 2015a). The xenoliths' olivines' cores retain igneous-like zoning patterns in Al, Ti, and P;  
113 this zoning led Shearer et al. to infer a shallow crustal origin. However, similar chemical  
114 zoning has recently been recognized in the troctolite 76535 (Nelson et al., 2021), which  
115 is inferred to have formed at depth and cooled slowly, see Fig. 4 here and McCallum  
116 and Schwartz (2001).

## 117         **Meteorites**

118         Among lunar meteorites, only a few dunitic and peridotitic clasts have been  
119 reported in regolith or melt breccias; no lunar meteoritic dunites (or dunitic peridotites)  
120 are known. In meteorite ALH 81005, despite extensive study of many thin sections, only  
121 a few peridotitic fragments have been reported (Kurat and Brandstätter, 1983; Warren  
122 et al., 1983; Brum, 2022; Brum et al., 2022). Dunitic and peridotitic material are absent  
123 to uncommon in other lunar meteorites (Warren et al., 1983; Arai et al., 2002; Nazarov  
124 et al., 2004; Sugihara et al., 2004; Hudgins et al., 2007; Bischoff et al., 2010; Mercer et

125 al., 2013; Cao et al., 2020; Bechtold et al., 2021). Many of these fragments have  
126 moderate Mg\*, and are likely related to the lunar Mg-suite (Shearer et al., 2015b).

## 127 **NWA 8046 Clan**

128 Here, we present a clast of dunite in lunar meteorite Northwest Africa (NWA)  
129 11421, which is a member of the NWA 8046 clan of lunar highlands breccias, the  
130 "Algerian Megafind" (Korotev, 2022). These tens of named meteorites are paired, being  
131 either all fragments of the same meteoroid fall, or being 'source paired' in coming from  
132 the same site on the Moon. The most detailed published description of NWA 8046  
133 meteorite is for NWA 11460 by Cao et al. (2021). Their description is consistent with  
134 our observations of NWA 11421.

135 A dunite clast is also present in NWA 14900 (Sheikh et al., 2022), a member of  
136 the NWA 8046 clan (Korotev, 2022). This fragment consists only of olivine (Fo<sub>91</sub>) with a  
137 miniscule proportion of chromite. No other studies of NWA 8046 meteorites mention  
138 clasts of dunite, peridotite, or other ultramafic materials (Lunning and Gross, 2019;  
139 Fagan and Gross, 2020; Zeng et al., 2020; Treiman and Semprich, 2021; Saini et al.,  
140 2022).

## 141 **Samples and Methods**

### 142 **Samples**

143 A piece of NWA 11421, 11.67 gram, was purchased from M. Cimala of  
144 Polandmet.com (Figure 1). The properties of this fragment are consistent with the  
145 official description of the meteorite (Gattacceca et al., 2018). NWA 11421 a member of  
146 the NWA 8046 clan of impact melt breccias, which consist of mineral and lithic  
147 fragments (mostly anorthositic troctolite or lherzolite) in dense black glass, see Figure 1  
148 (Treiman and Coleff, 2018; Cao et al., 2021; Korotev, 2022). The dunite clast studied  
149 here, D1 (Fig. 1), was noted on a weathered surface by its color, and its extent  
150 determined with X-ray computed tomography, XCT, see Figure 2 (Treiman and Coleff,  
151 2018). Based on the XCT, the sample was cut to produce two thick sections (labelled  
152 NWA 11421\_lpi1 and \_lpi2) that expose the dunite clast (NWA 11421\_lpi1\_D1), leaving

153 a significant portion of it remaining in the meteorite fragment. The results here are all  
154 from NWA 11421\_lpi1.

## 155 **Methods**

156 **Electron Microbeam.** The dunite D1 and its surroundings in thick section NWA  
157 11421\_lpi1 were imaged in backscattered electron (BSE) mode using the JEOL 7600F at  
158 the Astromaterials Research and Exploration Science (ARES) Division, Johnson Space  
159 Center, Houston TX and with the PhenomXL<sup>®</sup> SEM at the Lunar and Planetary Institute  
160 (USRA), Houston TX. Qualitative maps of element abundances (by energy dispersive  
161 spectrometry, EDS), were acquired with the same instruments. Based on these element  
162 mappings, selected spots were chosen for quantitative chemical analysis using the JEOL  
163 8530 FEG electron microprobe (EMP) at ARES. Analytical conditions were nominal for  
164 the instrument and laboratory. Peak intensities were measured for K $\alpha$  radiation of these  
165 elements using well-characterized standards: Si, diopside; Ti, rutile; Al, oligoclase; Cr,  
166 chromite; Fe, fayalite; Ni, NiO; Mn, rhodonite; Mg, diopside or forsterite; Ca, diopside;  
167 Na, oligoclase; K, orthoclase; and S, anhydrite. The incident electron beam was at 15  
168 kV and 10 nA (for plagioclase) or 25 nA (for other minerals) measured into a Faraday  
169 cup, and was focused on surfaces of standards and samples. Peak X-ray intensities  
170 were counted for 30-60 seconds, and backgrounds were counted for the same total  
171 durations. Analytical standards were run as unknowns to validate the calibrations.

172 All mineral analyses and their locations on the thick section are given in the  
173 Supplemental Material.

174 **Mineral proportions, Densities, & Bulk composition.** Mineral proportions in  
175 the dunite clast D1 were calculated using a supervised classification routine on element  
176 abundance images from the SEM. The classification was done using the Multispec<sup>®</sup>  
177 program (Landgrebe and Biehl, 2011) following the protocols of Maloy and Treiman  
178 (2007). X-ray element images were masked to include only the D1 clast; mineral  
179 classification training was based on EMP quantitative point analyses. Mineral densities  
180 (at 1 bar) were calculated assuming linear mixing from the densities of end-member  
181 compositions.

182 D1's bulk composition was calculated from the area proportion of each phase in  
183 it (olivine, orthopyroxene, clinopyroxene, plagioclase, chromite), the point EMP analyses  
184 of each phase, and the calculated densities of each.

185 **X-ray Computed Tomography (XCT).** An X-ray tomography image stack of  
186 the whole meteorite piece was acquired at the ARES division of Johnson Space Center  
187 in May 2018 (Treiman and Coleff, 2018). The XCT instrumentation and methods are as  
188 described in Zeigler et al. (2017) and Eckley et al. (2020); see Appendix I.

189 **Thermobarometry.** Details of the thermobarometry calculations, including  
190 mineral analyses on which they are based, are given in the text below and in Appendix  
191 II.

## 192 **Results**

193 The analyzed section of NWA 11421 is a lunar highlands melt breccia (Figs. 1, 2,  
194 3) consistent with its classification (Gattacceca et al., 2018) and its pairing into the  
195 NWA 8046 clan (Korotev, 2022). Most of the lithic fragments in the section are  
196 troctolitic or lherzolitic anorthosites (Fig. 2d, 3a); there are also clasts of anorthositic  
197 impact melt and breccia. No basaltic or KREEPy fragments have been noted, although  
198 the rock contains rare small fragments of evolved, silica-rich material (Treiman and  
199 Semprich, 2019). Mineral grains include those from the anorthositic and dunitic  
200 lithologies, and other types including exsolved low-Ca and high-Ca pyroxenes, Fe-  
201 sulfide, Fe-metal, and Mg-Al spinel (Fig. 3a).

202 The thick section \_lpi1 (and the meteorite in general) shows minor evidence of  
203 terrestrial weathering (Korotev, 2022). One crack in the D1 dunite and its surroundings  
204 contains K-rich material, tentatively identified as clay (Fig. 3c). Another crack contains a  
205 Ca-rich grain (Fig. 3c), without other elements detectable by EMP, that is likely a Ca-  
206 carbonate. That same crack also contains a sulfur-bearing grain, again without  
207 elements detected in our EMP maps (Fig. 3c). This could be a grain of barium sulfate  
208 (barite), such as occurs in other NWA meteorites (Korotev et al., 2009).



## 209 **Dunite Mineralogy and Composition**

210 The D1 dunite fragment, before cutting, was approximately 10x7x4 mm (Figs. 1,  
211 2). The thick section analyzed here exposes a 5x4 mm surface of the dunite (Fig 3).  
212 The thick-section surface appears representative of the whole fragment, except that it  
213 does not expose an apparent stringer of high-density material visible in the XCT scan  
214 (Fig. 2b, c).

215 Mineralogically, the D1 dunite is simple; it consists only of olivine, low-Ca  
216 pyroxene, high-Ca pyroxene, anorthite plagioclase, and chromite (Fig. 3a). No other  
217 phases were detected (Fig. 3), such as Fe±Ti oxides, Ca-phosphates,  
218 zircon/baddeleyite, alkali feldspar, or garnet. A small proportion of K-bearing material  
219 on a fracture (Fig. 3c) is interpreted as clay produced during terrestrial weathering.  
220 Minerals in the dunite are nearly of constant compositions and lack zoning in major or  
221 minor elements (Fig. 4); Table 1 gives average mineral compositions, and a calculated  
222 bulk composition of the dunite (based on mineral proportions, analyses, and densities;  
223 Table 2); full analyses are in the Supplemental Material.

224 The silicate minerals are magnesian; the olivine is  $Fe_{0.83}$  (Fig. 4, Table 1), and the  
225 pyroxenes are slightly more magnesian ( $Wo_{0.3}En_{82}Fs_{15}$  and  $Wo_{44}En_{49}Fs_{07}$ , Table 1),  
226 consistent with Fe-Mg equilibria (Baker and Herzberg, 1980; Lindsley, 1983). The  
227 olivine has  $FeO/MnO = 84$ , consistent with a lunar provenance (Karner et al., 2003).  
228 The pyroxenes contain minor non-quadrilateral components (e.g., Al, Ti, Cr, Na), and so  
229 are represented well on a standard pyroxene quadrilateral (Fig. 4). The augite is slightly  
230 sub-calcic, and the orthopyroxene contains a small proportion of Ca (Table 1, Fig. 4).  
231 D1's plagioclase is  $An_{96.5}$  (see Table 1 for values and abbreviations), such as is  
232 abundant in lunar anorthosites and most lunar rocks. Its chromite is a complex solid  
233 solution, with significant Al substitution for Cr, Mg substitution for  $Fe^{2+}$ , and a small  
234 proportion of Ti. The analytical sums for chromite are low, which we attribute to its  
235 small grain size and irregular surfaces near grain edges; the chromite standard analyzed  
236 well. It is also possible that the dunite's chromite contains an unanalyzed element or a  
237 bit of ferric iron.

238 Mineral proportions and their calculated densities are given in Table 2, along with  
239 a calculated bulk density for the D1 dunite.

## 240 **Dunite Texture**

241 Macroscopically, the D1 dunite has a granoblastic-polygonal texture, and lacks  
242 apparent preferred mineral orientations. This absence of preferred orientations is also  
243 seen on the weathered meteorite surface (Fig. 1), in the different colors of the olivine,  
244 augite, and orthopyroxene grains. Note that the weathered and cut surfaces are  
245 approximately perpendicular to each other. Likewise, the XCT scan shows no  
246 alignments or preferred orientations of the pyroxene and plagioclase grains (Fig. 2).  
247 Thus, we infer that the dunite lacks linear and/or planar structures; i.e. it is structurally  
248 isotropic.

249 Olivine grains can be distinguished from each other, at least in part, by the  
250 presence of gaps (from grinding/polishing) along grain boundaries and cracks  
251 representing cleavage in individual grains (Figs. 3, 5). From this view, the D1 olivines  
252 are all of approximately the same size,  $\sim 100$   $\mu\text{m}$  across, and show no obvious preferred  
253 elongation direction. Boundaries between grains of olivine (as can be discerned) are  
254 generally planar (Fig. 5), and are consistent with triple-junction angles of  $\sim 120^\circ$ .

255 Pyroxenes and plagioclases appear randomly distributed among the olivine  
256 grains, Fig. 3a, and few of these appear elongated or aligned. The few elongated augite  
257 grains (e.g., bottom left of the clast in Fig. 3a) are associated with augite-chromite  
258 symplectites and are inferred to be late-stage additions (see below). Boundaries of  
259 pyroxene and plagioclase grains against olivines are either straight or concave toward  
260 the olivine (Fig. 5), consistent with inferred constraints of equilibrium surface energy  
261 (Spry, 1969; Barker, 2013).

## 262 **Symplectite**

263 Chromite and some augite in the D1 dunite are exceptions to this textural  
264 equilibrium. Nearly all the chromite occurs either as symplectic intergrowths with augite  
265 (Figs. 5c, 5d) or elongate grains, sandwiched between silicate mineral grains. Much of  
266 the augite is in equant anhedral grains (e.g., Fig. 3a, upper right side of dunite clast),

267 but some augite is in symplectic intergrowths with chromite (Figs. 5c, 5d), and some  
268 occurs as elongate grains between other mineral grains (e.g., at the center of Fig. 5b).  
269 The largest example of elongated grains in the thick section is in the lower left corner of  
270 the dunite in Figure 3a. There, an elongate augite grain and an augite-chromite  
271 symplectite define a short veinlet cutting the dunite. This veinlet could be an example  
272 of the high-density veinlets observed in XCT (Figs. 2b, 2c).

## 273 **Inferences**

### 274 **Thermobarometry**

275 The mineral compositions in the NWA 11421\_lpi1\_D1 dunite appear to represent  
276 a state of chemical equilibrium, so we can apply thermobarometry to determine its  
277 equilibrium temperature and pressure. We consider the minerals to be in chemical  
278 equilibrium because: the compositions of each mineral are consistent across the dunite  
279 fragment (Fig. 4 & Supplementary Material); the Mg\*s of the olivine, augite, and  
280 orthopyroxene are consistent with equilibrium, see Table 1 and Figure 4 (Baker and  
281 Herzberg, 1980; Lindsley, 1983); and the Ca contents of the augite and orthopyroxene  
282 are consistent with equilibrium (Lindsley, 1983).

283 With this evidence of chemical equilibrium, we can apply established mineral  
284 thermobarometers to determine the temperature and pressure at which the dunite's  
285 minerals equilibrated:  $980 \pm 20^\circ\text{C}$  and  $0.4 \pm 0.1$  GPa. We calculated temperatures and  
286 pressures for six different sets of minerals (ol + pl + cpx + opx) in direct or nearly  
287 direct contact, Figure 6 (see Supplemental Material). Details of the temperature and  
288 pressure calculation are given in Appendix II. Equilibration temperatures were  
289 calculated from two-pyroxene thermometry (Ca distribution between augite and  
290 orthopyroxene) using the calibration of Brey and Köhler (1990) and two calibrations  
291 from Putirka (2008). For each set of minerals, these temperatures are within  $20^\circ\text{C}$  of  
292 each other. The resulting minimum and maximum temperatures were then used as  
293 input to calculate pressures using THERMOCALC's *avP* algorithm (Powell and Holland  
294 1994, 2008), selecting the temperature that produced the P result with the lowest

295 residuals. The calculated pressures rely primarily on the Al contents of pyroxenes, e.g.,  
296 the Mg- and Ca-Tschermak's, or kushiroite (Kimura et al., 2009), components. To  
297 validate the procedure, we calculated temperatures and pressures for the lunar  
298 troctolite 76535 (Fig. 6; Appendix II); our results are comparable to those in earlier  
299 studies (McCallum and Schwartz, 2001; Elardo et al., 2012). For the six sets of minerals  
300 in the D1 dunite, calculated equilibrium temperatures range from 940 to 990°C (Fig. 6),  
301 with an average of 980°C. Calculated equilibrium pressures range from  $0.27\pm 0.1$  to  
302  $0.51\pm 0.1$  GPa (Fig. 6), with a best estimate of  $0.4\pm 0.1$  GPa.

### 303 **Equilibration Depth – The Upper Mantle**

304 To understand the original geologic setting of the NWA 11421\_lpi1\_D1 dunite, it  
305 is crucial to know how the calculated equilibrium pressure corresponds to depth. We  
306 use the Wieczorek et al. (2013) model of the lunar crust and upper mantle: a porous  
307 (fragmented) anorthositic crust with average density of  $2550 \text{ kg/m}^3$  and thickness from  
308 34 to 43 km, overlying a peridotitic upper mantle of density  $3400 \text{ kg/m}^3$  (nearly  
309 identical to that calculated for the dunite, Table 2). With those constraints (and lunar  
310 surface gravity of  $1.62 \text{ m/s}^2$ ), pressure at the base of the crust is calculated to be 0.14  
311 to 0.18 GPa. The equilibration pressure for D1 is greater than these, which places D1's  
312 equilibration in the Moon's upper mantle. Using the Wieczorek et al. (2013) model, the  
313 D1 dunite equilibrated at a depth of  $88\pm 22$  km, in the Moon's upper mantle.

314 The dunite's mineral equilibration is consistent with formation on a 'normal'  
315 present-day lunar geotherm (i.e., selenotherm), see Figure 7. Within  $2\sigma$  uncertainty,  
316 the dunite's equilibration is consistent with the present-day selenotherm calculated by  
317 Khan et al. (2014) from seismic data. That selenotherm includes consideration of a  
318 partially molten mantle at depths  $>1200$  km, and a porous crust of low thermal  
319 conductivity. The nominal pressure and temperature of equilibration plot at slightly  
320 higher temperature (or lower pressure) than Khan's selenotherm (Fig.7), consistent also  
321 with equilibration along an ancient, slightly hotter, thermal gradient.

## 322 **Texture**

323 The texture of the D1 dunite (excepting the symplectites) arose during its  
324 chemical and thermal history in the moon, and so reveals some of that history. As  
325 described above, olivine grains in D1 are all of approximately the same size (Fig. 3),  
326 and show no obvious elongations or preferred orientations. None of the minerals in D1  
327 show their own crystal forms (i.e., are not idiomorphic); rather, grain boundaries are  
328 straight or curved as consistent with equilibria of mineral surface energies (Spry, 1969).

329 These textures of D1 are consistent with those of a granoblastic-polygonal  
330 metamorphic rock – one in which grain sizes and boundaries have adjusted to  
331 equilibrium shapes during extensive thermal metamorphism without deformation.  
332 Granoblastic-polygonal textures are common among mantle rocks from the Earth  
333 (Mercier and Nicolas, 1975), although Earth mantle rocks tend to have larger grains  
334 (e.g., ~1 mm vs the 0.1 mm of D1). Such textures are not characteristic of igneous  
335 cumulate rocks (Wager et al., 1960; Wager and Brown, 1967).

## 336 **Symplectite Formation**

337 The chromite-augite symplectites in the D1 dunite require explanation, in the  
338 context of long-standing controversies about symplectite formation in other lunar rocks.  
339 In the still-current summary, Bell et al. (1975) described six varieties of lunar  
340 symplectites, and four general formation mechanisms. The symplectites in D1 fall into  
341 Bell's category C, "... 10-1000- $\mu$ m elongated masses along grain boundaries..." (Figs.  
342 5c, d). Bell and coauthors agreed that type-C symplectites formed by reactions between  
343 olivine and plagioclase. Dymek et al. (1975) inferred that similar symplectites in 72415  
344 formed by interaction with a silicate fluid (i.e., in an open system). Elardo et al. (2012)  
345 confirmed this inference, showing that symplectites of this type in troctolite 76535  
346 (their Fig. 1) formed by addition of Cr and Fe in an open-system process. The D1  
347 symplectites are similar enough to those in 76535 (Elardo et al., 2012), in texture and  
348 in composition, that a similar open system origin seems reasonable. An origin by garnet  
349 breakdown seems unlikely for the D1 symplectites, because garnet in peridotites tends

350 to form euhedra (Spry, 1969; Dégi et al., 2010; Barker, 2013) and not intergranular  
351 pods and films (Figs. 2b, 2c, 3a, fc, 5d).

## 352 **The Lunar Upper Mantle**

353 It seems presumptuous to extrapolate from a single clast in a breccia to the  
354 Moon's whole mantle, yet such assumptions have proven useful (Wood et al., 1970). If  
355 the D1 dunite clast in NWA 11421 is representative of a portion of the lunar mantle, it  
356 could help constrain models of the Moon's early history.

357 The Al, Ca, and Ti abundances in the D1 dunite seem most consistent with  
358 formation in a differentiated lunar mantle that was well-mixed after its overturn (see  
359 Introduction). Estimated compositions of the bulk, undifferentiated lunar mantle have 3-  
360 7% Al<sub>2</sub>O<sub>3</sub>, 3-5% CaO, and 0.2 – 0.4% TiO<sub>2</sub> (Elkins-Tanton et al., 2011), while the  
361 dunite contains only 0.55% Al<sub>2</sub>O<sub>3</sub>, 0.57% CaO, and 0.07% TiO<sub>2</sub> (Table 1). Thus, the  
362 dunite composition is consistent (in general terms) with a primitive mantle composition  
363 that was depleted in components that partition into silicate melt (e.g., Al, Ca, Ti).

364 The dunite's Mg\* (i.e., Fo) of 83 is consistent with most models of the bulk  
365 primitive lunar mantle, which have Mg\* = 80 – 85; see Table 1 of Elkins-Tanton et al.  
366 (2011). A lunar mantle that differentiated during a magma ocean episode would retain  
367 that average bulk Mg\*, and be stratified with highest Mg\* at its base (according to  
368 mineral-melt element partitioning). In some models of LMO crystallization, Fo<sub>83</sub> olivine  
369 of is calculated to form only after ~65-75% of LMO crystallization (depending on the  
370 model starting composition), and is nearly the last olivine to crystallize (Elkins-Tanton et  
371 al., 2011; Lin et al., 2020; Johnson et al., 2021). In other models of LMO crystallization  
372 Fo<sub>83</sub> olivine does not crystallize (Snyder et al., 1992; Rapp and Draper, 2018); low-Ca  
373 pyroxene would be the only silicate with Mg\*=83.

374 This cumulate pile from a crystallizing LMO would have been gravitationally  
375 unstable, having the Fe-rich denser materials near the top. This pile would have  
376 overturned, bringing denser material to the mantle base with some degree of mixing  
377 (Hess and Parmentier, 1995). If there had been no mixing after overturn, e.g. Figure 5b  
378 of Elkins-Tanton et al. (2011), olivine at the depth inferred for the NWA 11421 dunite

379 would be  $\sim\text{Fo}_{90}$ , significantly more magnesian than it is (Table 1). This mismatch in Fo  
380 number implies that at least some of the lunar mantle had been mixed during overturn.  
381 However, the presence of augite-chromite symplectites that post-date dunite formation  
382 (see below) allows the possibility that the original dunite might have been somewhat  
383 more magnesian than what we now see, having equilibrated with the Fe-Cr-bearing  
384 material responsible for the symplectites.

385 If a stratified differentiated lunar mantle had homogenized during or after  
386 overturn (Boukaré et al., 2018; Zhao et al., 2019), it would maintain its bulk Mg\* across  
387 all depths and so would be consistent with the Mg\* and inferred depth for the NWA  
388 11421 dunite (Table 1). Likewise, abundances of Al and Ti in the dunite are consistent  
389 with a differentiated mantle, one from which igneous incompatible elements had been  
390 partially removed to form the lunar anorthositic crust, and incompatible-enriched late  
391 LMO melts. So, the NWA 11421 dunite is most consistent with a lunar mantle that was  
392 mixed well after (or during) its overturn (Boukaré et al., 2018; Moriarty et al., 2021).

### 393 **Discussion: Other Possible Sample of the Lunar Mantle**

394 To our knowledge, D1 in NWA 11421 is the first lunar sample known to have  
395 equilibrated at pressures consistent with the lunar mantle. It is possible that other lunar  
396 dunites and peridotites are samples of the lunar mantle, but few are reported to have  
397 mineral assemblages (olivine – plagioclase – augite – low-Ca pyroxene) that could  
398 provide equilibration temperatures and pressures (see Appendix II). See Appendix III  
399 for comments about thermobarometry of lunar spinel cataclasites.

400 However, many lunar symplectites have bulk compositions consistent with  
401 mixtures of garnet  $\pm$  olivine (Bell et al., 1975), which suggests that they were originally  
402 those minerals, and decomposed to augite + chromite on decompression (Bell et al.,  
403 1975; Schmitt, 2016). Specifically, symplectites in dunite 72415 have been interpreted  
404 as products of the decomposition of garnet (Schmitt, 2016; Bhanot et al., 2022). If so,  
405 the garnet must have originated in the lower lunar mantle, at pressures greater perhaps  
406 than 2.3 GPa (Schmitt, 2016). The garnet would then have been transported, perhaps  
407 during the overturn of the LMO cumulates, to the shallow mantle (Bhanot et al., 2022)

408 where it could have decomposed to symplectites and then would have been transported  
409 to the surface.

## 410 **Implications**

411 The D1 dunite clast in NWA 11421\_lpi1 equilibrated last at  $\sim 980^{\circ}\text{C}$  and  $0.4\pm 0.1$   
412 GPa, at a depth of  $88\pm 22$  km, firmly in the Moon's upper mantle. This temperature and  
413 pressure are consistent with estimates of the present-day selenotherm (Khan et al.,  
414 2014). Its chemical composition (Mg\*, Al content) is consistent with estimates of the  
415 bulk composition of the lunar mantle, suggesting that the dunite formed after mantle  
416 differentiation (separation of anorthositic crust and Fe-Ti-rich residua) and after  
417 density-driven overturn had re-homogenized the mantle. This interpretation of the D1  
418 dunite's origin is not unique – a similar chemistry and texture could form from an  
419 undifferentiated mantle composition by removal of a partial melt or perhaps a  
420 garnetiferous peridotite.

421 The veinlets and masses of augite and augite-chromite symplectite represent a  
422 fluid-based metasomatic event, after the dunite host had achieved textural equilibrium  
423 (presumably still in the mantle). Similar metasomatic products occur in other lunar and  
424 asteroidal samples (Elardo et al. 2012) (Vaci et al., 2021), and their origin remains  
425 unclear, especially the nature and origin of the metasomatic fluid.

426 The D1 dunite is the first recognized sample of the lunar mantle, although  
427 mantle rock is inferred to have been brought to the surface by large impact events  
428 (Yamamoto et al., 2010; Miljković et al., 2015; Bretzfelder et al., 2020; Moriarty et al.,  
429 2021). It is puzzling that no other bits of mantle dunite have been recognized, despite  
430 the relative abundance of crustal intrusive rocks in the meteorite and Apollo collections,  
431 e.g. McCallum and Schwartz (2001) and Elardo et al. (2012). Finding other fragments of  
432 lunar mantle rock would be very useful, and the search should be widened. The clast  
433 described here was recognized first because it was exposed on a weathered surface;  
434 where possible, XCT scans of other lunar breccias could reveal more fragments of the  
435 lunar mantle.



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## TABLES

450 Table 1. Average Mineral Compositions, and Calculated Bulk Composition for the D1 Dunite.

451

	Olivine	OPX	Augite	Chromite	Plagioclase	Dunite Bulk
analyses	29	15	18	3	3	Calculated
SiO <sub>2</sub>	39.86 ± 0.22	55.17 ± 0.67	52.16 ± 0.52	0.56 ± 0.56	44.02 ± 0.49	39.65 ± 0.23
TiO <sub>2</sub>	0.04 ± 0.01	0.58 ± 0.06	1.14 ± 0.22	1.61 ± 0.25	0.01 ± 0.01	0.07 ± 0.01
Al <sub>2</sub> O <sub>3</sub>	0.06 ± 0.12	1.51 ± 0.23	2.20 ± 0.50	11.20 ± 1.28	35.90 ± 0.43	0.53 ± 0.14
Cr <sub>2</sub> O <sub>3</sub>	0.04 ± 0.02	0.60 ± 0.04	0.82 ± 0.09	50.96 ± 3.45	0.00 ± 0.00	0.51 ± 0.05
FeO	16.05 ± 0.15	10.08 ± 0.36	4.40 ± 0.24	26.49 ± 0.92	0.69 ± 0.07	15.72 ± 0.16
MnO	0.19 ± 0.01	0.20 ± 0.01	0.12 ± 0.01	0.41 ± 0.01	0.01 ± 0.01	0.18 ± 0.01
MgO	44.54 ± 0.61	30.74 ± 0.39	17.06 ± 0.40	5.46 ± 0.73	0.11 ± 0.02	43.08 ± 0.60
CaO	0.09 ± 0.22	1.41 ± 0.16	21.69 ± 0.55	0.38 ± 0.23	19.20 ± 0.03	0.48 ± 0.22
Na <sub>2</sub> O	0.00 ± 0.00	0.01 ± 0.01	0.06 ± 0.01	0.00 ± 0.00	0.38 ± 0.00	0.01 ± 0.00
total	100.87 ± 0.48	100.31 ± 0.50	99.65 ± 0.65	97.09 ± 1.72	100.33 ± 1.00	100.23 ± 0.23
Molar	Olivine	OPX	Augite	Chromite	Plagioclase	Dunite Bulk
Cations	3	4	4	3	5	Calculated
Si	0.996	1.939	1.917	0.020	2.030	
Ti	0.001	0.015	0.032	0.042	0.000	
Al	0.002	0.063	0.096	0.459	1.952	
Cr	0.001	0.017	0.024	1.400	0.000	
Fe	0.335	0.296	0.135	0.770	0.026	
Mn	0.004	0.006	0.004	0.012	0.000	
Mg	1.659	1.610	0.935	0.283	0.008	
Ca	0.002	0.053	0.854	0.014	0.949	
Na	0.000	0.000	0.004	0.000	0.034	
Mg*	83.2	84.5	87.4	26.9		83.1
Wo		2.7	44.4			
En		82.2	48.6			
Fs		15.1	7.0			
An					96.5	

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See Supplemental Material spreadsheet for details and individual analyses. Uncertainties are 1 $\sigma$ .  
 Mg\* is molar Mg/(Mg+Fe) in %; In pyroxenes, Wo is molar proportion CaSiO (molar % Ca/(Ca+Mg+Fe)), and En is molar proportion MgSiO<sub>3</sub> (molar % Mg/(Ca+Mg+Fe)).  
 An is molar Ca/(Ca+Na) in %.

458 Table 2. Mineral Proportions and  
459 Densities

Mineral	Area %	Density kg/m <sup>3</sup>
Olivine	95.3	3380
Augite	1.1	3250
Orthopyroxene	1.6	3400
Plagioclase	1.3	2730
Chromite	0.7	5000
Bulk Dunite		3380

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## Figures & Captions

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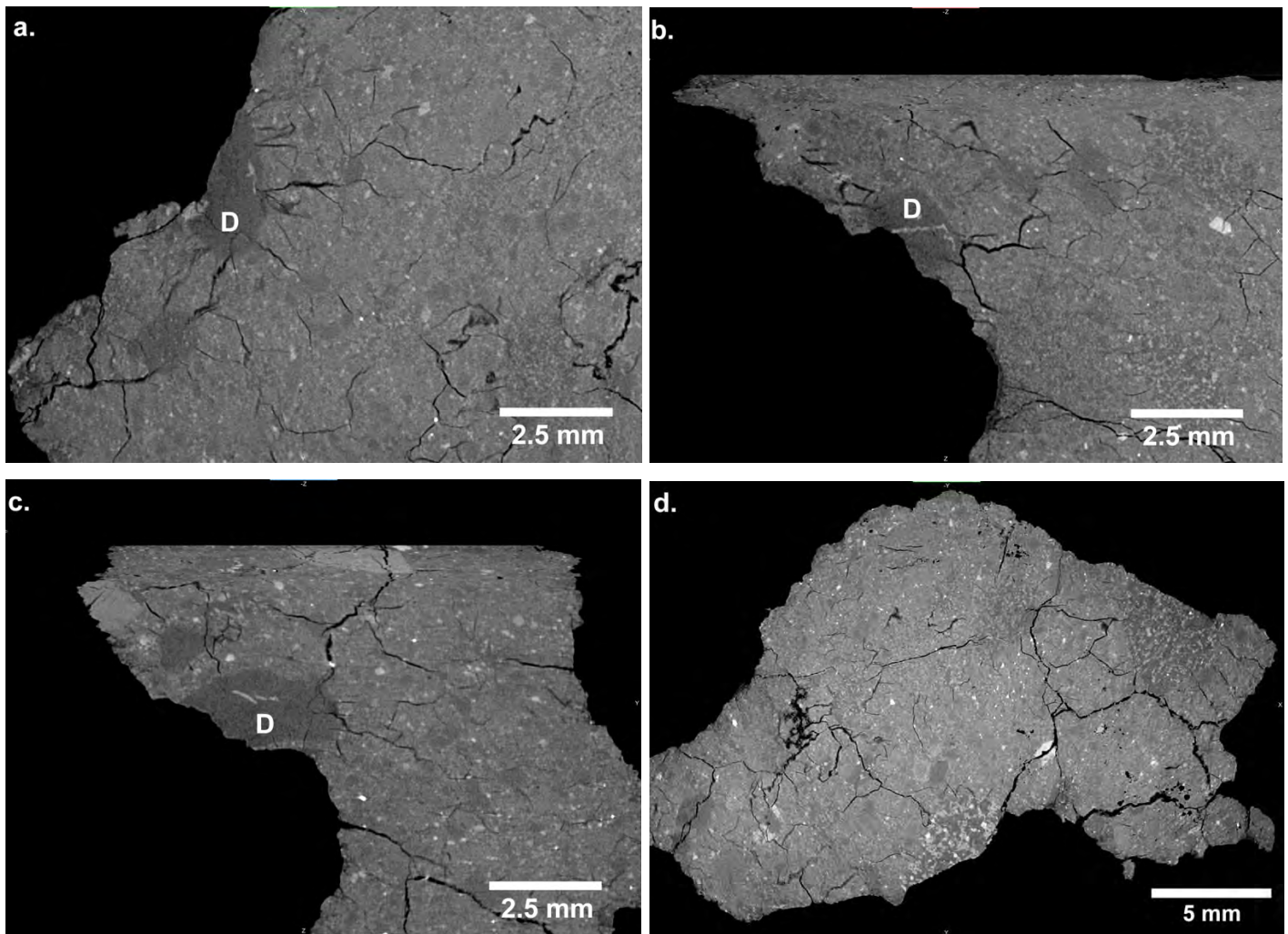
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**Figure 1.** A portion of the NWA 11421 fragment investigated here, macroscopic visible-light, true color. The meteorite consists of lithic clasts in dark glassy matrix. The studied dunite clast (D1) at the image center is brown, 0.7 cm long. In it, olivine is pale brown, orthopyroxene is darker brown, and augite is dark green. Most other visible clasts are troctolitic (t, plagioclase + olivine). Photo courtesy of M. Cimala ([polandmet.com](http://polandmet.com)).



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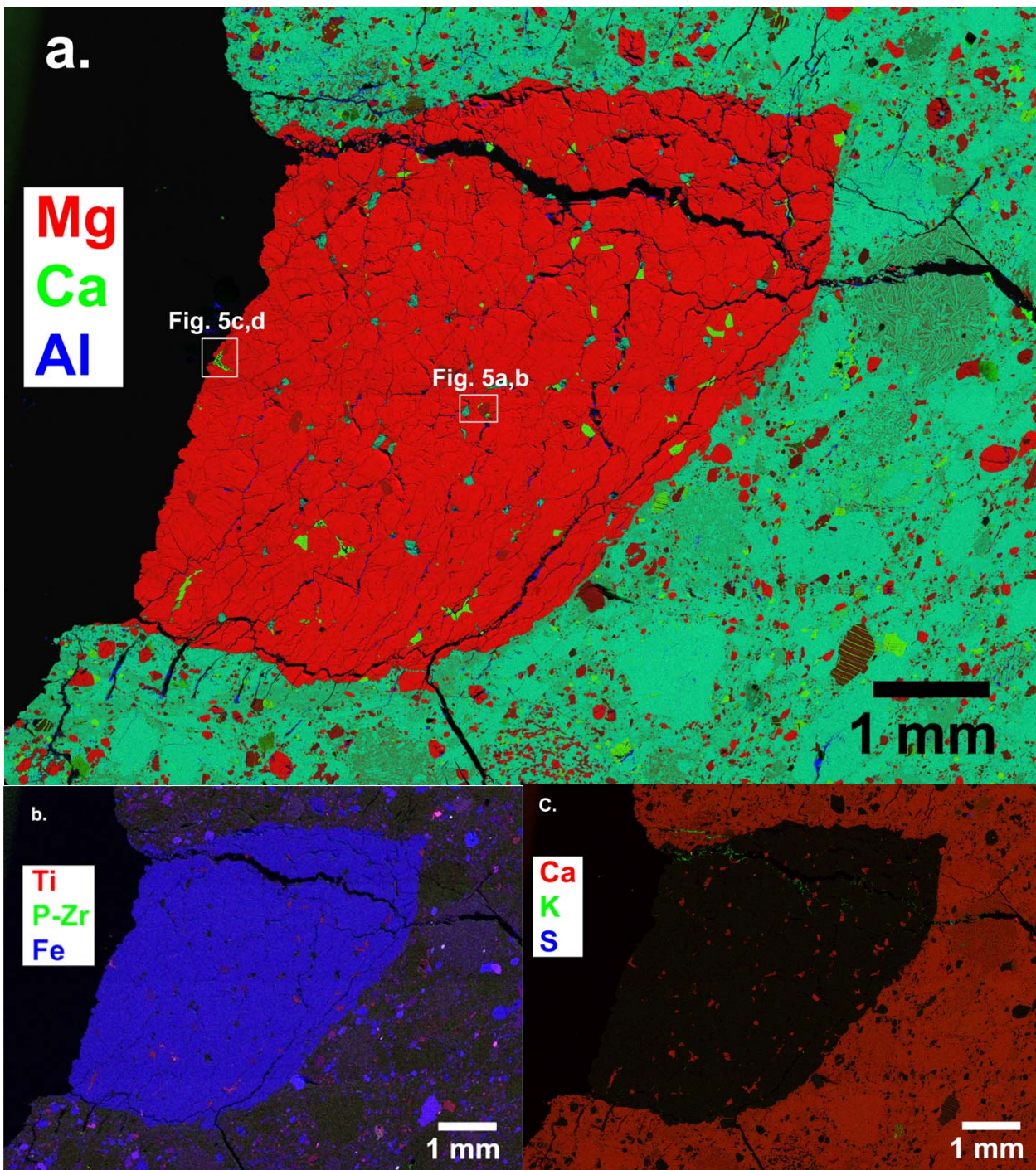
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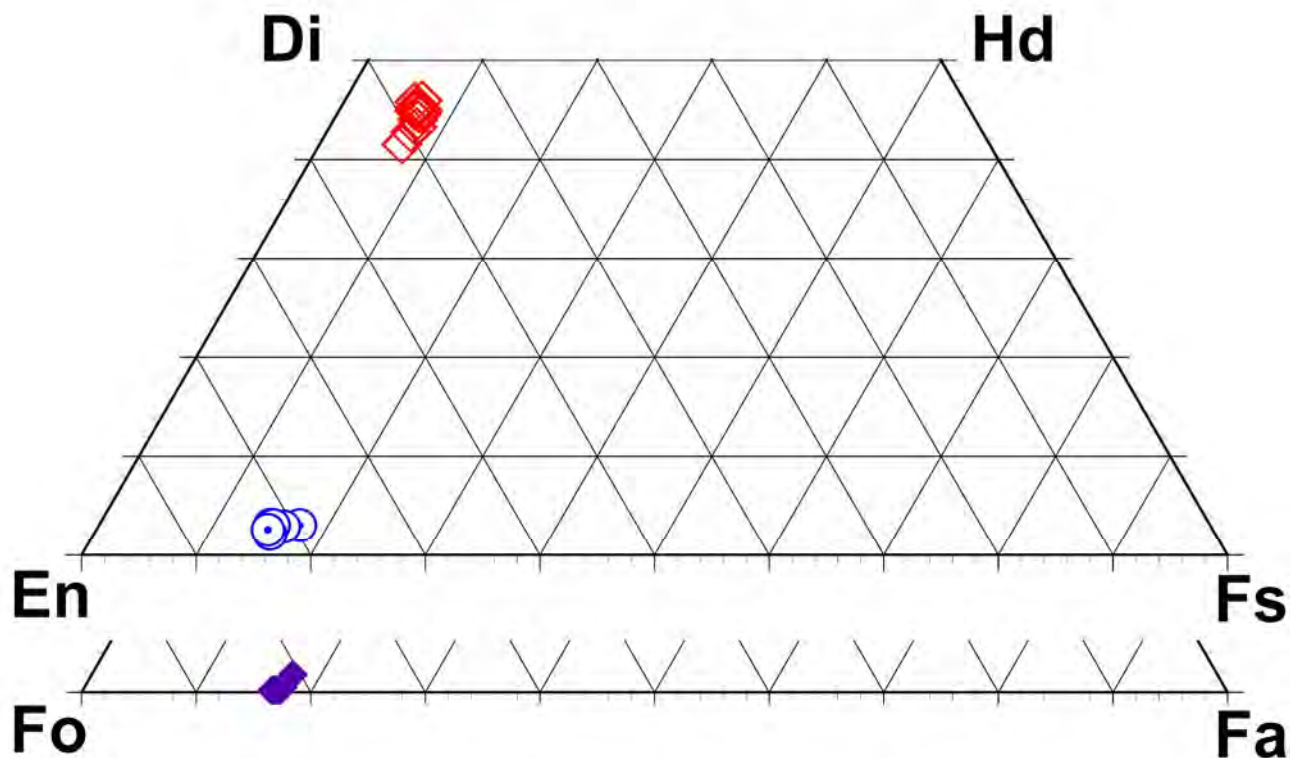
**Figure 2.** X-ray computed tomogram (XCT) slices of the NWA 11241 piece. a. Parallel to the base of the sample (in Fig. 1), cutting through the dunite clast (D). Note other dark, plagioclase-rich clasts and speckled matrix (devitrified impact melt). b. XCT slice perpendicular to that of 1a, bottom of the sample to top of image, & partially distorted. Dunite (D) cut by bright veinlet, possibly rich in chromite symplectite. c. XCT slice perpendicular to those of 1a & 1b, bottom of the sample to top of image, & partially distorted. Dunite (D) cut by bright discontinuous veinlets. d. General XCT view of meteorite, slice parallel to that of Fig. 1a, but away from the dunite. Note coarser grained clasts of plagioclase-rich (dark areas) troctolite and lherzolite in fine-grained speckled matrix (devitrified impact melt).





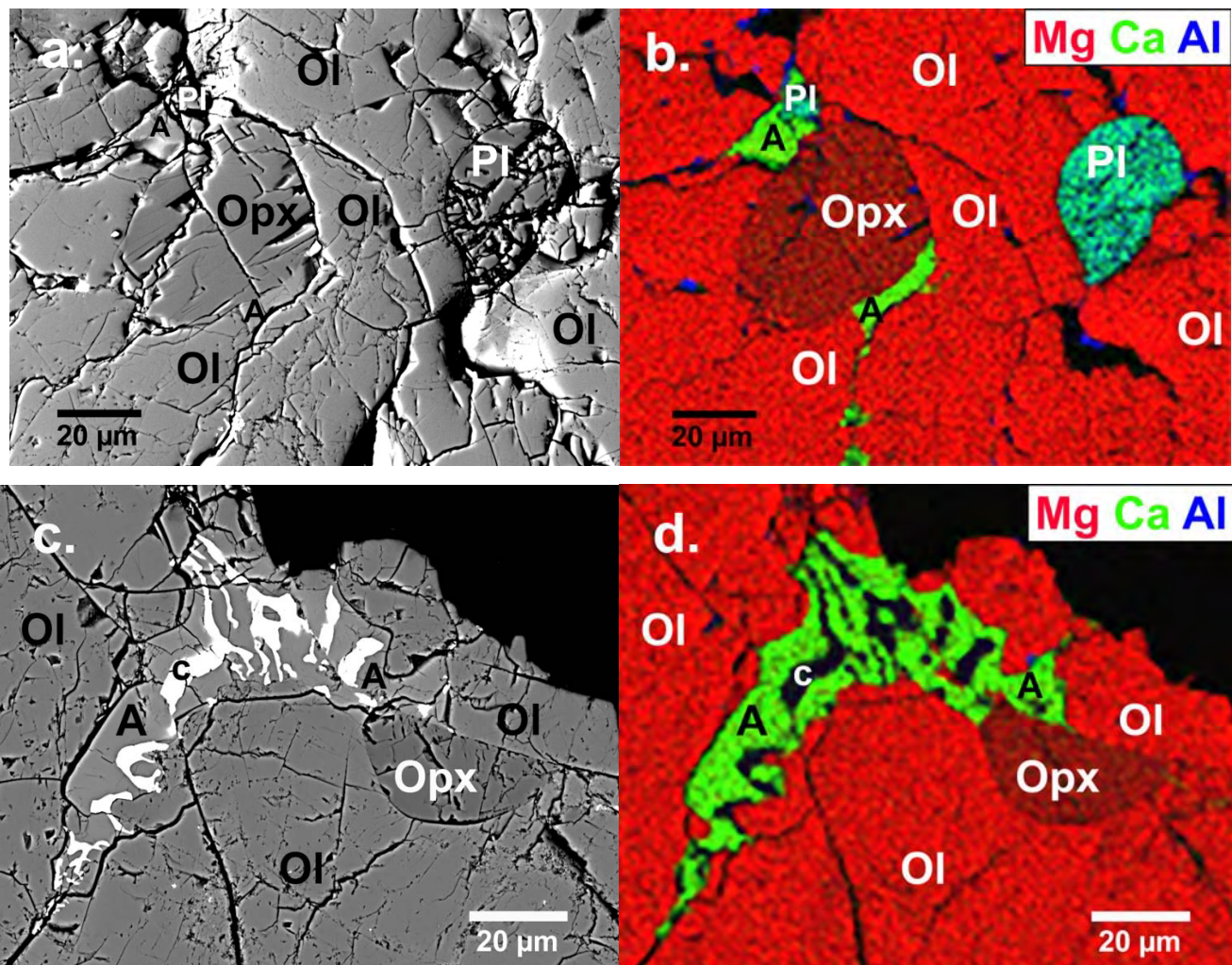
**Figure 3.** Multi-element images, by SEM/EDS of the D1 dunite clast and surroundings. Epoxy is black in all frames (left of the rock sample, and filling cracks across it). **a.** Mg-Ca-Al, showing main minerals in clast, and their chemical homogeneity. In the dunite clast: bright red is olivine; dull red is orthopyroxene; green is augite; blue-green is plagioclase, and small discrete black spots in the dunite are chromite. White rectangles are locations of images in Figure 5. The matrix, being rich in plagioclase, is dominantly blue-green. **b.** Ti-P(Zr)-Fe. PK $\alpha$  and ZrL $\alpha$  X-rays are not distinguished. The dunite and many mineral fragments in the matrix contain Fe (in blue). Chromite in the dunite is magenta, as is ilmenite in the matrix. A few spots in the matrix, greenish and white, could be Ca-phosphate, zircon, or baddeleyite. **c.** Ca-K-S, shows weathering products along cracks. Ca-rich spots along a crack (bright red, far right center) are interpreted as calcite; K-bearing streaks and veinlets (green) are interpreted as clay minerals. A few S-rich grains (blue) along cracks (S, but no

492 Ca) could be barite; S-rich spots in the matrix are Fe-sulfides. The small greenish clast (K-bearing) left of the scale bar is  
493 one of the few evolved rock fragments in the meteorite (Treiman and Semprich, 2021).  
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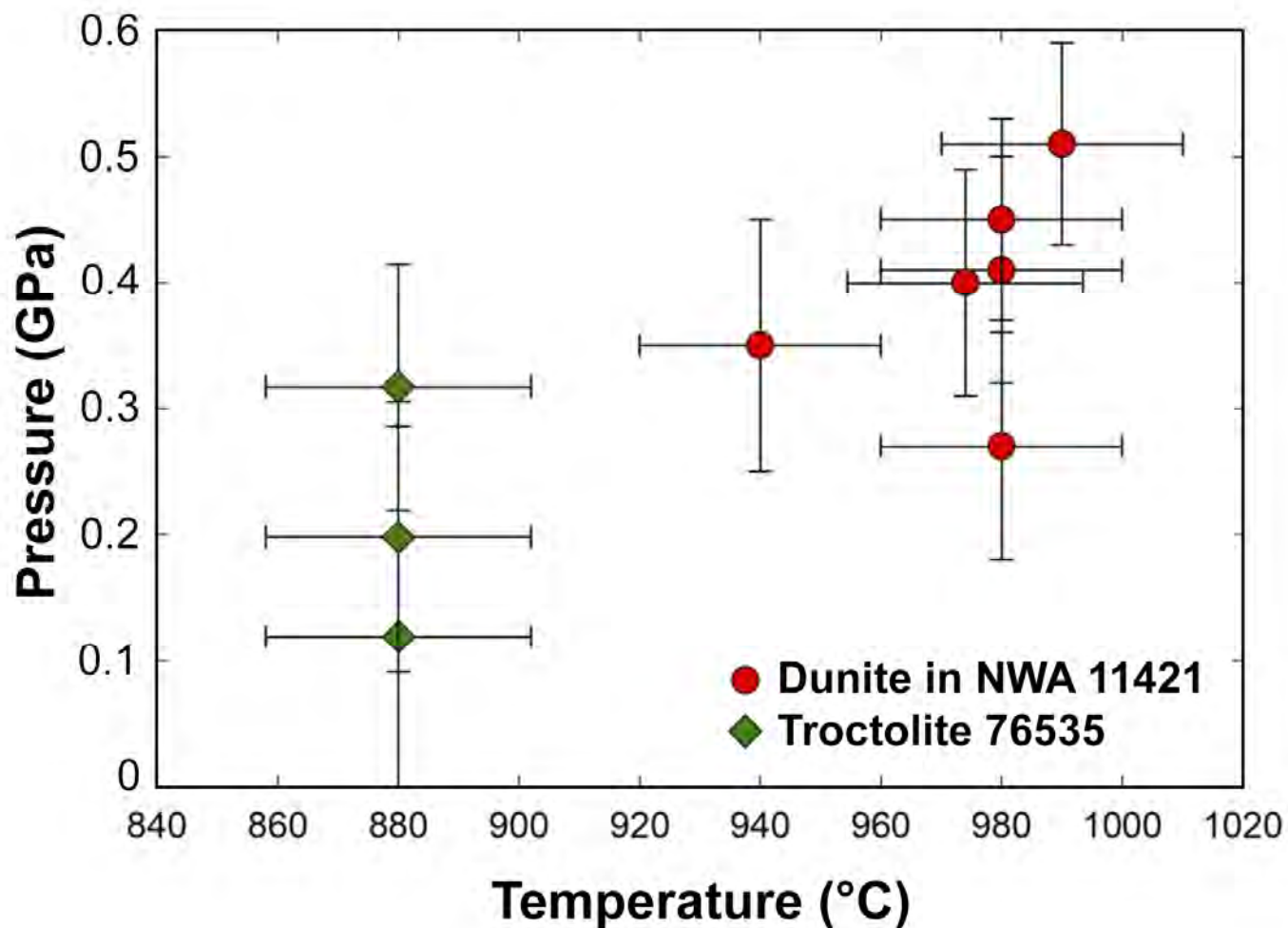
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497 **Figure 4.** Pyroxene and olivine compositions in the D1 dunite. Pyroxene end-members are: En = enstatite ( $\text{Mg}_2\text{Si}_2\text{O}_6$ ); Fs  
498 = ferrosilite ( $\text{Fe}_2\text{Si}_2\text{O}_6$ ); Di = diopside ( $\text{CaMgSi}_2\text{O}_6$ ); Hd = hedenbergite ( $\text{CaFeSi}_2\text{O}_6$ ). Olivine end-members are: Fo =  
499 forsterite ( $\text{Mg}_2\text{SiO}_4$ ); Fa = fayalite ( $\text{Fe}_2\text{SiO}_4$ ); Ca-olivine ( $\text{Ca}_2\text{SiO}_4$ ). Compositions of augite (open red squares),  
500 orthopyroxene (dotted blue circles), and olivine (filled purple diamonds) are nearly constant across the dunite clast. The  
501 range of Wo content of the augite could represent slight mixture with orthopyroxene.  
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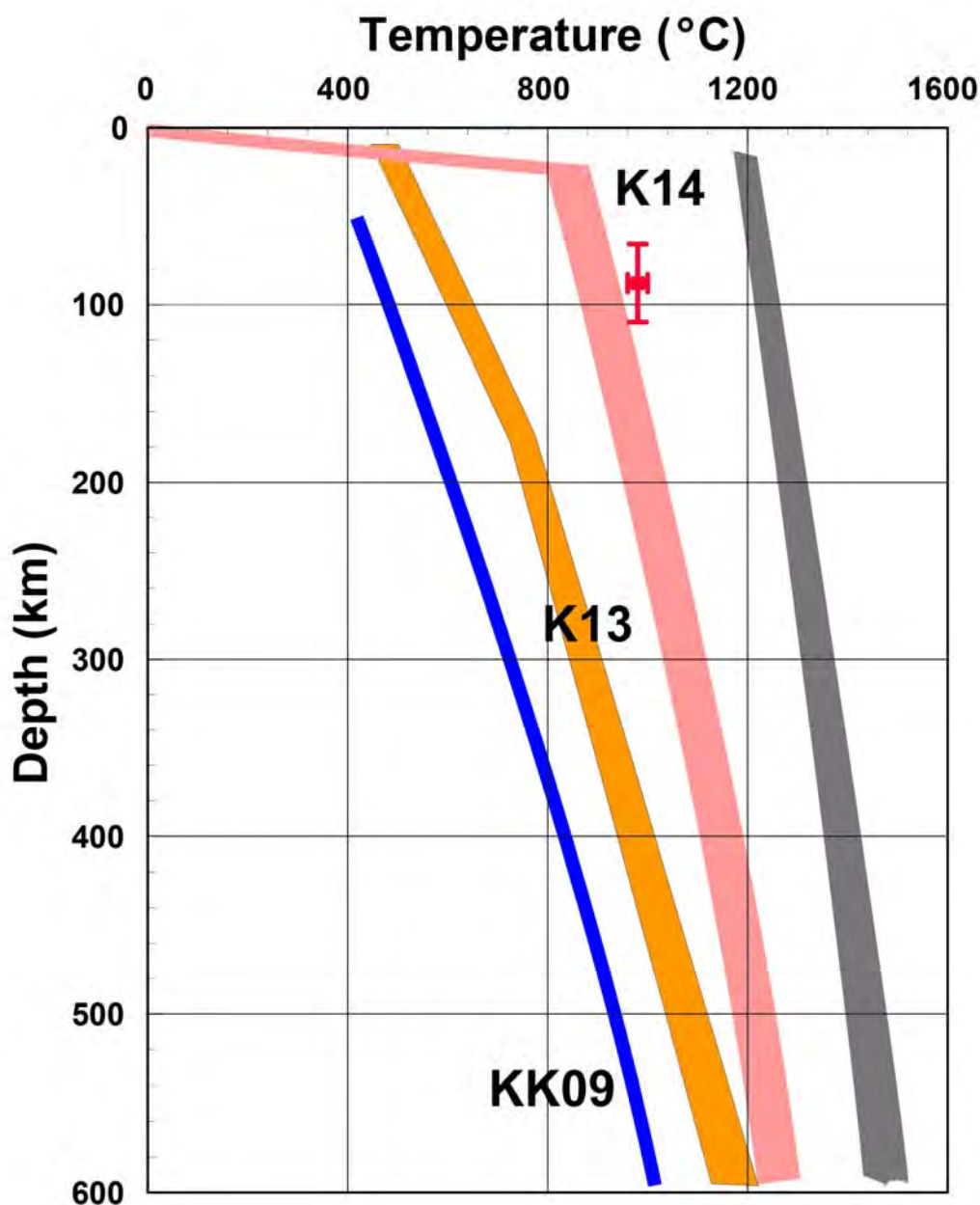
**Figure 5.** Details of textural relationships in the D1 dunite. **a.** BSE image of typical dunite texture: olivine (Ol, bright red), plagioclase (Pl, teal), augite (A, green), and orthopyroxene (Opx, dull red). The individual grains are in textural equilibrium, except for the elongate augite (see Fig. 5c & 5d). **b.** Mg-Ca-Al element map of same area. Enlarged by interpolation from Fig. 3a. Al-rich areas (bright blue) are traces of alumina polishing compound in cracks. **c.** BSE image of largest augite-chromite symplectite; mineral labels as above plus chromite (c). **d.** Mg-Ca-Al element map of same area as Fig. 5c. Enlarged by interpolation from Fig. 3a.





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514 **Figure 6.** Calculated temperatures and pressures for separate mineral groups in the D1 dunite, red  
515 circles (see Appendix II and Supplemental Material) and clinopyroxene-olivine pairs in lunar troctolite  
516 76535, green diamonds (see Appendix II). Standard deviations are  $1\sigma$ .

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519 Figure 7. Equilibration conditions of the NWA 11421\_lpi1\_D1 dunite, and present-day thermal  
520 trajectories in the lunar mantle, after Fig. 2 of Garcia et al. (2019). Equilibrium p-T in red (Fig. 6),  
521 with 1 $\sigma$  uncertainties. Pink band (K14) covers best model selenotherms of Khan et al. (2014), which  
522 include 40 km thick, porous crust of low thermal conductivity. Orange band (K13) includes calculated  
523 selenotherms for dry olivine  $\pm$  orthopyroxene from Karato (2013); Blue line (KK09) is selenotherm  
524 from Kuskov and Kronrod (2009). Gray band includes estimates of the solidus for undifferentiated  
525 lunar mantle material (Longhi, 2006) (Hirschmann, 2000).

542 clast, spinel + augite + low-Ca-pyroxene, lacks olivine and so has limited significance for calculating  
543 pressure (Herzberg and Baker, 1980) (McCallum and Schwartz, 2001) (Nazarov et al., 2011).

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