

1 Revision 1

2 **Understanding the unique geochemical behavior of Sc in the**  
3 **interaction with clay minerals**

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## ABSTRACT

Regolith-hosted rare earth elements (REEs) deposits received great attention due to the increasing incorporation of REEs in modern technologies. In lateritic Sc deposits and ion-adsorption deposits (IADs), Sc behaves quite differently from other REEs: REEs adsorb as outer-sphere complexes on clay surface in IADs while Sc could enter the lattice of clay minerals in lateritic Sc deposits. The unique behavior of Sc has not been well understood yet. Here, by using first-principles molecular dynamics techniques, we show that the complexation mechanisms of  $Y^{3+}$  and  $Sc^{3+}$  on clay edge surfaces are distinctly different.  $Y^{3+}$  preferentially adsorbs on  $Al(OH)_2SiO$  site with its coordination water protonated.  $Sc^{3+}$  is found to behave similarly to other first-row transition metals (e.g.  $Ni^{2+}$ ) due to its smaller ionic radius and prefers adsorbing on the vacancy site, from where  $Sc^{3+}$  can be readily incorporated in the clay lattice. The  $H_2O$  ligands of  $Sc^{3+}$  get deprotonated upon complexation, providing new binding sites for further enrichment of  $Sc^{3+}$ . These processes prevent  $Sc^{3+}$  from being leached during weathering and lead to the formation of Sc-rich clay minerals found in lateritic deposits. Based on these results, it is revealed that the small ionic radius and high affinity to enter the vacancy on edge surfaces make Sc compatible with clay minerals and are the origin of its unique geochemical behavior.

**Keywords:** Scandium, rare earth elements, clay minerals, complexation mechanisms, first principles molecular dynamics

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## INTRODUCTION

35 Rare earth elements (REEs, i.e. scandium, yttrium, and 15 lanthanoids) are finding  
36 increasing use in modern high-tech industries such as electronics manufacture, green en-  
37 ergy technologies, and military applications (Chakhmouradian and Wall, 2012;  
38 Williams-Jones and Vasyukova, 2018) and they have been classified as strategic and crit-  
39 ical elements worldwide (Haxel, 2002; Gulley et al., 2018; Zhai et al., 2019). Among dif-  
40 ferent REEs deposits, regolith-hosted deposits have received significant attention due to  
41 the enrichment and ease of extraction of high-value elements (Zhou et al., 2020).  
42 Ion-adsorption deposits (IADs) developed in South China are currently the dominant  
43 sources for heavy REEs (Hoshino et al., 2016) while the lateritic deposits recently found  
44 in Australia are regarded as a potential long-term Sc resources (Jaireth et al., 2014;  
45 Chassé et al., 2016; Chassé et al., 2019). Clay minerals played a pivotal role during the  
46 formation of these deposits (Li et al., 2017; Chassé et al., 2019; Borst et al., 2020; Elliott,  
47 2020; Li and Zhou, 2020). In IADs, REEs were believed to be weakly adsorbed on clay  
48 minerals (i.e. in outer-sphere complexes) due to their easy-extraction nature (Bao and  
49 Zhao, 2008; Borst et al., 2020). In lateritic Sc deposits, however, Sc was found to be in-  
50 corporated in the lattice of clay minerals before its association with goethite (Chassé et al.,  
51 2019).

52 The unique behavior of Sc compared with other REEs regarding the interaction with  
53 clay minerals is still poorly understood. Sc and other REEs exhibit very high hydration

54 enthalpy (Rizkalla and Choppin, 1991; Cotton, 2013) and therefore, they all form out-  
55 er-sphere complexes on clay basal surfaces. Thus, it can be deduced that the uniqueness  
56 of Sc originates from its interaction with clay edge surface. However, the role clay edges  
57 played in the partition of REEs remains unclear. Recently, Borst et al. (2020) presented  
58 X-ray absorption fine structure (EXAFS) evidence confirming that outer-sphere com-  
59 plexes on basal surface are the dominant forms of REEs in IAD. The existence of in-  
60 ner-sphere complexes on clay edges, however, cannot be excluded by the authors, espe-  
61 cially when the pH increment with depth was considered (Li et al., 2019; Li et al., 2020;  
62 Huang et al., 2021). Indeed, there are a number of experimental studies that support the  
63 existence of inner sphere complexes on clay edges in mildly acidic to basic conditions  
64 (Stumpf et al., 2002; Kowal-Fouchard et al., 2004; Takahashi et al., 2004; Verma et al.,  
65 2014; Zhou et al., 2021; Zhou et al., 2022). Using polarized EXAFS technique, Schlegel  
66 and Finck et al. investigated the complexation of  $Y^{3+}$  and  $Lu^{3+}$  on hectorites and suggest-  
67 ed the existence of inner-sphere complexes on the edge surfaces that coordinated to both  
68 octahedral and tetrahedral sheets (Schlegel, 2008; Finck et al., 2009; Finck et al., 2017).  
69 The structures of such inner-sphere complexes, however, were not unambiguously deter-  
70 mined. For example, both six- and eight-fold coordinations have been suggested for  $Y^{3+}$   
71 (Schlegel, 2008; Finck et al., 2017). The complexation mechanism of  $Sc^{3+}$  on clay edge  
72 surfaces has not been studied to the best of our knowledge. Similar to  $Sc^{3+}$ ,  $Ni^{2+}$  could be  
73 enriched in phyllosilicates in lateritic deposits, where  $Ni^{2+}$  is incorporated in the lattice of

74 clay minerals (Butt and Cluzel, 2013). It was found that clay edge surfaces acted as both  
75 complexation and nucleation sites for the formation of Ni-bearing clay minerals in later-  
76 itic Ni deposits (Dähn et al., 2002; Dähn et al., 2003; Zhang et al., 2019b; Liu et al.,  
77 2022).

78 Here, we demonstrate that the unique behavior of Sc originates from its high affinity  
79 to enter the vacancy on clay edges using *ab initio* molecular dynamics (AIMD) technique.  
80 AIMD method has proven to be powerful in quantifying the structural and thermody-  
81 namical properties of mineral-cation interactions (Alexandrov and Rosso, 2015; Kubicki,  
82 2016; Leung et al., 2018; Liu et al., 2022). We determined the complexation structures  
83 and the associated free energy changes of  $\text{Sc}^{3+}$  on montmorillonite (010) edge and made  
84 comparison with  $\text{Y}^{3+}$ .  $\text{Y}^{3+}$  is enriched in IADs in South China (Zhou et al., 2020) and here  
85 it is selected as an example of heavy REEs. The complexation free energies of  $\text{Sc}^{3+}$  and  
86  $\text{Y}^{3+}$  on (010) surface were calculated using the method of constraint. The acidity con-  
87 stants of their surface complexes were calculated using AIMD based vertical energy gap  
88 method to determine their protonation states in common pH conditions. The results sug-  
89 gest that  $\text{Y}^{3+}$  would preferentially adsorb on the  $\text{Al}(\text{OH})_2\text{SiO}$  site in a pentagonal bipyra-  
90 mid geometry with its coordination water protonated. In contrast,  $\text{Sc}^{3+}$  is embedded into  
91 the vacancy with all the coordination water deprotonated and the complexation free en-  
92 ergy is significantly higher than its complex on the  $\text{Al}(\text{OH})_2$  site. Complexation of  $\text{Y}^{3+}$   
93 prohibits its further adsorption on the edge surface while  $\text{Sc}^{3+}$  complexed provides addi-

94 tional binding sites for further complexation. This process contributes to the enrichment  
95 of Sc and leads to the formation of Sc-rich clay minerals found in the lateritic Sc deposits.  
96 The findings presented in this study provides an atomic level insight into the unique geo-  
97 chemistry of Sc<sup>3+</sup> and forms a microscopic basis for development of efficient REEs ex-  
98 traction techniques.

## 99 COMPUTATIONAL METHODS

### 100 **Atomistic model**

101 The clay (010) and (110) edge surface models were taken from previous studies  
102 (Zhang et al., 2020) and contained  $3 \times 1 \times 1$  unit cells. 15 water molecules were placed in  
103 the interlayer region to represent the monolayer hydrate state, corresponding to a basal  
104 spacing of 12.5 Å. One isomorphic substitution of Mg for Al was imposed and one Li<sup>+</sup>  
105 was used as the counterion in the interlayer region. The surface model was placed in 3D  
106 periodically repeated orthorhombic box and surmounted by a ~15 Å thick solution region  
107 that contains 86 water molecules. The dimensions of the simulation box were 25 Å ×  
108 15.54 Å × 12.5 Å.

### 109 **AIMD simulations**

110 All AIMD simulations were carried out using the CP2K/QUICKSTEP package  
111 (VandeVondele et al., 2005; Kühne et al., 2020) where the electronic structures were cal-  
112 culated with density functional theory (DFT). With the dual-basis set Gaussian and plane  
113 wave (GPW) scheme (Lippert et al., 1997), the electronic wavefunctions were construct-

114 ed using a double- $\zeta$  Gaussian-type orbital basis (VandeVondele and Hutter, 2007) with  
115 polarization functions (DZVP) and the electron density was represented with the  
116 plane-wave basis expanded to 360 Ry. The core electron states were described using  
117 Goedecker-Teter-Hutter (GTH) pseudopotentials (Goedecker et al., 1996). The ex-  
118 change-correlation was accounted for with Perdew–Burke–Ernzerhof (PBE) functional  
119 (Perdew et al., 1997). The DFT-D3 functional was adopted for van der Waals corrections  
120 (Grimme et al., 2010). Wave functions were optimized to a tolerance of 1.0E-6.

121 Born-Oppenheimer type molecular dynamics (BOMD) simulations were carried out  
122 in NVT ensemble with temperature controlled at 300 K using a Nosé-Hoover chain ther-  
123 mostat. For each simulation, a production run was performed for over 15.0 ps after an  
124 equilibration run for at least 5.0 ps.

### 125 **Constrained AIMD**

126 The complexation free energy was calculated with constrained AIMD (Sprik and  
127 Ciccotti, 1998). In this method, the free energy profile was obtained by integrating the  
128 mean forces along a specified reaction coordinate. In this study, the distance from  
129  $\text{Sc}^{3+}/\text{Y}^{3+}$  to the plane defined by three surface oxygen atoms (i.e., two O in AlOH and one  
130 O in SiO(H)) was selected as the reaction coordinate. A similar reaction coordinate has  
131 been shown to be able to describe the desorption processes of REEs from mineral surface  
132 (Leung et al., 2021). The outer-sphere form was taken as the final state of the desorption  
133 process.

## 134 **pKa calculations**

135 The intrinsic pKas of  $\text{Sc}^{3+}/\text{Y}^{3+}$  surface complexes were evaluated with the  
136 half-reaction scheme of the vertical energy gap method (Sulpizi and Sprik, 2008; Cheng  
137 et al., 2009; Costanzo et al., 2011; Cheng and Sprik, 2012). The deprotonation free ener-  
138 gy was calculated as the integral of the ensemble averages of the vertical energy gaps  
139 along the alchemical path from reactant state to product state. We refer the readers to our  
140 previous papers for the details (Cheng et al., 2014).

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## 142 **RESULTS AND DISCUSSION**

### 143 **Complex structures**

144 There are several sites possible for complexing transition metal cations (e.g.  $\text{Cd}^{2+}$   
145 and  $\text{Ni}^{2+}$ ) on clay edge surfaces, including the SiO site, the  $\text{Al}(\text{OH})_2$  site, and the vacancy  
146 site, with the latter two being more favorable (Alexandrov and Rosso, 2013; Zhang et al.,  
147 2017). Possible  $\text{Sc}^{3+}/\text{Y}^{3+}$  complexes on (010) surface were firstly probed with free AIMD  
148 simulations.  $\text{Y}^{3+}$  and  $\text{Sc}^{3+}$  were initially placed on the  $\text{Al}(\text{OH})_2$  and vacancy sites (Fig. 1a).  
149 During the simulation,  $\text{Y}^{3+}$  initially complexed on the vacancy site gradually transferred  
150 to the  $\text{Al}(\text{OH})_2\text{SiO}$  site (Fig. 1b). This complexation site has not been reported for other  
151 transition metals like  $\text{Ni}^{2+}$  and  $\text{Cd}^{2+}$  (Zhang et al., 2016; Zhang et al., 2017), which could  
152 be explained by the larger ionic radius of  $\text{Y}^{3+}$ . The average  $\text{Y}^{3+}\text{-O}$  distance on this site  
153 was 2.36 Å. On this site,  $\text{Y}^{3+}$  was seven-fold coordinated (i.e., four  $\text{H}_2\text{O}$  ligands, two



154 AlOHs, and one SiO) in a slightly irregular pentagonal bipyramid cage.  $Y^{3+}$  located  
155 slightly above the octahedral plane on this site, similar to the structure suggested by  
156 Schlegel (2008). On Al(OH)<sub>2</sub> site,  $Y^{3+}$  was eight-fold coordinated with six H<sub>2</sub>O and two  
157 AlOHs in a square antiprism geometry (Fig. 1c), where  $Y^{3+}$  resided on the octahedral  
158 plane. Such a coordination environment could minimize the inter-ligand repulsions  
159 (Thompson, 1979) and resembles the structure predicted for the  $Y^{3+}$  aqua ion  
160 (Díaz-Moreno et al., 2000; Liu et al., 2012). The average  $Y^{3+}$ -O distance was 2.41 Å,  
161 which was in good agreement with the values reported for the eight-fold coordinated  $Y^{3+}$   
162 in previous studies (i.e., 2.35 Å~2.46 Å) (Matsubara et al., 1990; Díaz-Moreno et al.,  
163 2000; Ikeda et al., 2004; Liu et al., 2012).

164  $Sc^{3+}$  was six-fold coordinated with four H<sub>2</sub>O and two AlOHs on the Al(OH)<sub>2</sub> site  
165 (Fig. 1d).  $Sc^{3+}$  exhibited a regular octahedron coordination geometry and located at the  
166 mid-plane of the clay TOT sheet. The average  $Sc^{3+}$ -O distance was 2.13 Å. On the va-  
167 cancy site, the two water ligands of  $Sc^{3+}$  dissociated spontaneously during the simulation  
168 and thus the resulting stable structure was a slightly irregular octahedron where  $Sc^{3+}$  was  
169 six-coordinated with four surface O atoms and two OHs (Fig. 1e). The average distances  
170 between  $Sc^{3+}$  and apical O, O atoms of AlOH, and OH were 2.48 Å, 2.10 Å, and 1.99 Å,  
171 respectively. The spontaneous dissociation of the coordination water was consistent with  
172 its strong acidic nature as demonstrated in the following section.

173 The complexation structures of  $Sc^{3+}$  and  $Y^{3+}$  show that these two cations share a

174 same complexing site, i.e. the  $\text{Al}(\text{OH})_2$  site. Apart from this site, they also have different  
175 complexing sites, that is, vacancy site for  $\text{Sc}^{3+}$  and  $\text{Al}(\text{OH})_2\text{SiO}$  site for  $\text{Y}^{3+}$ . The com-  
176 plexation structures on these two sites are compared in Fig. 2. It can be seen that while  
177  $\text{Y}^{3+}$  is clearly off the edge plane,  $\text{Sc}^{3+}$  resembles the lattice Al position, that is,  $\text{Sc}^{3+}$  is  
178 embedded into the octahedral sheet (Fig. 2). In our previous study, we derived the com-  
179 plexation structures of transition metals on the vacancy site and found that cations in-  
180 cluding  $\text{Ni}^{2+}$ ,  $\text{Co}^{2+}$ ,  $\text{Fe}^{2+}$  and  $\text{Cu}^{2+}$  fit well in the vacancy while  $\text{Cd}^{2+}$  is clearly off the va-  
181 cancy center (Zhang et al., 2017; Zhang et al., 2019a). Based on these observations, it  
182 was proposed that cations smaller than  $\text{Cd}^{2+}$  (e.g.  $\text{Ni}^{2+}$ ) could enter the clay lattice. The  
183 complexation structure of  $\text{Sc}^{3+}$  in Fig. 2 suggests that this rule also applies for  $\text{Sc}^{3+}$ :  $\text{Sc}^{3+}$   
184 is indeed smaller than  $\text{Cd}^{2+}$  (i.e. 0.83 Å V.S. 1.03 Å) (Whittaker and Muntus, 1970). This  
185 indicates that  $\text{Sc}^{3+}$  behaves similarly to first-row transition metals in interaction with clay  
186 minerals.

187 Besides (010) surface, (110) surface is also a major edge surface of clay minerals.  
188 Additional AIMD simulations show that the complexation structures of  $\text{Sc}^{3+}$  and  $\text{Y}^{3+}$  on  
189 (110) surface are similar to their counterparts on (010) surface (Online Material<sup>1</sup> Fig.  
190 OM1).  $\text{Y}^{3+}$  formed a pentagonal bipyramid complex bonded to both the octahedral sheet  
191 and tetrahedral sheet on the  $(\text{AlOH})_2\text{SiO}$  site and a square antiprism complex on the  
192  $(\text{AlOH})(\text{AlSiO})$  site on (110) surface (Online Material<sup>1</sup> Fig. OM1).  $\text{Sc}^{3+}$  formed octahe-  
193 dral complexes on both the  $(\text{AlOH})(\text{AlSiO})$  site and the vacancy site on (110) surface. On

194 the vacancy site, one H<sub>2</sub>O ligand of Sc<sup>3+</sup> dissociated spontaneously during the AIMD  
195 simulation. Similar to the cases on (010) surface, Y<sup>3+</sup> complexes on (110) surface are  
196 clearly off the edge plane while Sc<sup>3+</sup> fits well in the octahedral vacancy on (110) surface  
197 and is embedded into the clay octahedral sheet.

198 The complexation structure of Lu<sup>3+</sup> (i.e. the smallest lanthanide) on the vacancy site  
199 was also obtained from AIMD simulation. The complexation structure (Fig. 2c) clearly  
200 shows that Lu<sup>3+</sup> formed a bidentate complex on the vacancy site and was away from the  
201 edge plane. Therefore similar to Y<sup>3+</sup>, Lu<sup>3+</sup> cannot enter the vacancy.

202 Based on the above structures, the stable surface complexes for Y<sup>3+</sup> and Sc<sup>3+</sup> on (010)  
203 surface are determined to be: seven-fold coordinated pentagonal bipyramid for Y<sup>3+</sup> on  
204 Al(OH)<sub>2</sub>SiO site, eight-fold coordinated square antiprism for Y<sup>3+</sup> on Al(OH)<sub>2</sub> site, and  
205 six-fold coordinated octahedra for Sc<sup>3+</sup> on Al(OH)<sub>2</sub> and vacancy sites. To better under-  
206 stand the complexation mechanisms of Y<sup>3+</sup> and Sc<sup>3+</sup>, these structures were used as the  
207 initial configurations in the following sections to calculate the complexation free energies  
208 and pK<sub>a</sub>s of these complexes.

209

## 210 **Complexation free energies**

211 The stabilities of the four surface complexes were probed by calculating the free en-  
212 ergy changes from the inner-sphere states to the outer-sphere states. The free energies  
213 were obtained by using the constrained AIMD method and the distances between

214  $Y^{3+}/Sc^{3+}$  and the edge surface plane were selected as the reaction coordinate. The first  
215 and second pKas of  $Sc^{3+}$  aqua ion are 4.3 and 5.4 respectively (Baes and Mesmer, 1976),  
216 indicating that  $Sc^{3+}$  is doubly deprotonated in near neutral pH environment. In contrast,  
217 the first pKa of  $Y^{3+}$  aqua ion is 7.7 (Baes and Mesmer, 1976), that is, the  $H_2O$  ligands of  
218  $Y^{3+}$  hardly deprotonate in mildly acidic pH. Therefore,  $Y^{3+}$  and  $Sc(OH)_2^+$  were used in  
219 the free energy calculations. The obtained free energy curves and representative interme-  
220 diate structures are shown in Fig. 3.

221 On the  $Al(OH)_2$  site,  $Y^{3+}$  was bidentately coordinated and formed a square antiprism  
222 coordination at  $d = 1.95 \text{ \AA}$  (Fig. 3). As  $Y^{3+}$  left the surface, the free energy curve gradu-  
223 ally went up (Fig. 3a). At  $d = 2.8 \text{ \AA}$ , one  $Y^{3+}$ -OH bond broke and  $Y^{3+}$  transformed into a  
224 monodentate complex in mono-capped trigonal prismatic geometry (Fig. 3c). As the dis-  
225 tance increased to  $3.1 \text{ \AA}$ , one solvent water molecule entered the hydration shell of  $Y^{3+}$   
226 and the free energy curve started to decrease. At  $d = 3.6 \text{ \AA}$ ,  $Y^{3+}$  transformed to an out-  
227 er-sphere complex in pentagonal bipyramid coordination. The free energy curve reached  
228 the local minima at this distance and the corresponding free energy change was 22.4  
229 kcal/mol, indicating that  $Y^{3+}$  complexed on the  $Al(OH)_2$  site is fairly stable.

230 On the  $Al(OH)_2SiO$  site, the desorption of  $Y^{3+}$  included three successive bond  
231 breaking events (Fig. 3c), that is, the breakage of the two  $Y^{3+}$ -AlOH bonds and the  
232  $Y^{3+}$ -SiO bond (at  $d = 1.8 \text{ \AA}$ ,  $2.2 \text{ \AA}$  and  $2.8 \text{ \AA}$  respectively). At the initial state (i.e.,  $d = 1.4$   
233  $\text{ \AA}$ ),  $Y^{3+}$  formed a tridentate complex in pentagonal bipyramid geometry. As the distance

234 increased to 1.8 Å, one  $Y^{3+}$ -AlOH bond broke and the coordination shell of  $Y^{3+}$  trans-  
235 formed into an octahedron. With the further increasing of the distance, two solvent water  
236 molecules entered the hydration shell of  $Y^{3+}$  successively at 2.2 Å and 2.4 Å and  $Y^{3+}$   
237 changed into the pentagonal bipyramid coordination. At  $d = 2.8$  Å,  $Y^{3+}$  coordinated with  
238 another solvent water molecule and the  $Y^{3+}$ -SiO bond broke. The free energy curve start-  
239 ed to decrease at this distance and reached the local minima at  $d = 3.4$  Å, where  $Y^{3+}$   
240 formed an outer-sphere complex in a mono-capped trigonal prism. The free energy  
241 change for the desorption process of  $Y^{3+}$  from  $Al(OH)_2SiO$  site was calculated to be 29.8  
242 kcal/mol. This value suggests that  $Y^{3+}$  complexed on the  $Al(OH)_2SiO$  site is substantially  
243 more stable than that on the  $Al(OH)_2$  site and  $Y^{3+}$  would preferentially adsorb on the  
244  $Al(OH)_2SiO$  site.  $Y^{3+}$  is bonded to one SiO group and two AlOH groups on the  
245  $Al(OH)_2SiO$  site. The AlOH groups also belong to the  $Al(OH)_2$  site and the complex on  
246  $Al(OH)_2SiO$  occupies the binding sites of  $Al(OH)_2$ . Therefore, complexation on the  
247  $Al(OH)_2$  site actually hardly happen due to the strong steric repulsions imposed by  $Y^{3+}$   
248 complex on the  $Al(OH)_2SiO$  site. This is in agreement with the previous EXAFS meas-  
249 urement which suggested  $Y^{3+}$  was tilted away from the mid-plane of the clay TOT sheet  
250 (Schlegel, 2008).

251  $Sc(OH)_2^+$  formed a stable bidentate complex on the  $Al(OH)_2$  site with a distance of  
252 1.8 Å from the surface (Fig. 3d). This distance is shorter than the value obtained for  $Y^{3+}$   
253 (i.e., 1.80 Å V.S. 1.95 Å), in agreement with its smaller ionic radius (0.83 Å V.S. 1.10 Å)

254 (Whittaker and Muntus, 1970). During the desorption process, the two  $\text{Sc}^{3+}$ -AlOH bonds  
255 dissociated successively at  $d = 2.4 \text{ \AA}$  and  $2.9 \text{ \AA}$  respectively. The coordination shell of  
256  $\text{Sc}^{3+}$  was compensated by two solvent water molecules after  $\text{Sc}^{3+}$ -AlOH dissociations and  
257  $\text{Sc}^{3+}$  kept the octahedral geometry during the entire desorption process. The local minima  
258 on the free energy curve located at  $d = 3.6 \text{ \AA}$ , where  $\text{Sc}(\text{OH})_2^+$  formed a stable out-  
259 er-sphere complex (Fig. 3b). The free energy required for  $\text{Sc}(\text{OH})_2^+$  to reach this state  
260 was 14.8 kcal/mol.

261 The detachment of  $\text{Sc}(\text{OH})_2^+$  from the vacancy site was more complicated than that  
262 on the  $\text{Al}(\text{OH})_2$  site. At the distance of  $0.7 \text{ \AA}$  from the surface plane,  $\text{Sc}^{3+}$  was incorpo-  
263 rated in the octahedral sheet and located near the center of the vacancy (Fig. 3d). As  
264  $\text{Sc}(\text{OH})_2^+$  left the surface, the bonds between  $\text{Sc}^{3+}$  and the two apical O atoms gradually  
265 broke. At  $d = 1.4 \text{ \AA}$ ,  $\text{Sc}(\text{OH})_2^+$  coordinated with one SiOH group and located slightly off  
266 the octahedral plane. One solvent water molecule entered the coordination shell of  $\text{Sc}^{3+}$  at  
267 this distance and  $\text{Sc}^{3+}$  kept its octahedral coordination geometry. As the distance further  
268 increased to  $2.0 \text{ \AA}$ ,  $\text{Sc}(\text{OH})_2^+$  transferred onto the  $\text{Al}(\text{OH})_2$  site spontaneously and cap-  
269 tured another water molecule (Fig. 3d). Therefore, the desorption process of  $\text{Sc}(\text{OH})_2^+$   
270 from the vacancy site can be regarded as a combination of two sub-steps, that is, transfer  
271 of  $\text{Sc}(\text{OH})_2^+$  from the vacancy site to the  $\text{Al}(\text{OH})_2$  site and the desorption of  $\text{Sc}(\text{OH})_2^+$   
272 from the  $\text{Al}(\text{OH})_2$  site. Therefore, the corresponding free energy data after  $d = 2.0 \text{ \AA}$  was  
273 taken from that of  $\text{Sc}(\text{OH})_2^+$  on the  $\text{Al}(\text{OH})_2$  site. The free energy change for the detach-

274 ment of  $\text{Sc}(\text{OH})_2^+$  from the vacancy site was 32.4 kcal/mol (Fig. 3b), which was signifi-  
275 cantly higher than the value obtained for  $\text{Sc}(\text{OH})_2^+$  on the  $\text{Al}(\text{OH})_2$  site, implying that  
276  $\text{Sc}(\text{OH})_2^+$  would adsorb preferentially on the vacancy site. Similarly, the free energy re-  
277 quired for  $\text{Ni}^{2+}$  desorption from the vacancy site was determined to be 58.3 kcal/mol in  
278 the previous study, which was significantly higher than the value on the  $\text{Al}(\text{OH})_2$  site  
279 (31.5 kcal/mol) (Zhang et al., 2017).

### 280 **Hydrolysis of surface complexes**

281 The first and the second pKas of  $\text{Y}^{3+}/\text{Sc}^{3+}$  complexes on different sites calculated  
282 using the vertical energy gap method were collected in Table 1. We found that  $\text{Sc}^{3+}$  com-  
283 plexed on the vacancy site is the most acidic, with the first and second pKas of -6.5 and  
284 -0.2 respectively. Such low pKas were consistent with the spontaneous dissociations of  
285 water ligands mentioned above. These values were clearly separated from the pKas ob-  
286 tained for other  $\text{Sc}^{3+}$  and  $\text{Y}^{3+}$  complexes, i.e. 7.2~8.4. The moderate pKa values for  $\text{Sc}^{3+}$   
287 complex on  $\text{Al}(\text{OH})_2$  site,  $\text{Y}^{3+}$  complexes on  $\text{Al}(\text{OH})_2$  and  $\text{Al}(\text{OH})_2\text{SiO}$  sites indicate that  
288 these complexes could hydrolyze in neutral and basic environments and therefore, both  
289 the protonated and deprotonated forms could exist in common pH range. In contrast, for  
290  $\text{Sc}^{3+}$  complexed on the vacancy site, only the doubly deprotonated form is possible due to  
291 the very low pKas.

292 The first pKas of  $\text{Sc}^{3+}$  and  $\text{Y}^{3+}$  aqua ions were measured to be 4.3 and 7.7, respec-  
293 tively (Baes and Mesmer, 1976). Based on the calculated pKas, it can be found that com-

294 plexation on the vacancy site significantly decreased the pKa of  $\text{Sc}^{3+}$ . Such a decrease  
295 was also found in our prior study for  $\text{Ni}^{2+}$  complexed on this site (i.e. 8.4 V.S. 10.4)  
296 (Zhang et al., 2017). For  $\text{Y}^{3+}$ , however, complexation on clay edge had little influence on  
297 its pKa (i.e. 7.2~8.2 V.S. 7.7).

298

299

## IMPLICATIONS

300 According to the structures, free energies, and the pKas of surface complexes, an  
301 atomic level understanding of the complexation mechanisms of  $\text{Sc}^{3+}$  and  $\text{Y}^{3+}$  can be ob-  
302 tained.  $\text{Y}^{3+}$  prefers adsorbing on the  $\text{Al}(\text{OH})_2\text{SiO}$  site as a pentagonal bipyramid complex.  
303 Complexation on this site prohibits the complexation of  $\text{Y}^{3+}$  on the  $\text{Al}(\text{OH})_2$  site due to  
304 the consumption of the  $\text{AlOH}$  binding sites. The  $\text{H}_2\text{O}$  ligands of  $\text{Y}^{3+}$  keeps protonated  
305 upon complexation, especially in the acidic to slightly basic environment found in IADs.

306  $\text{Sc}^{3+}$  behaves differently from  $\text{Y}^{3+}$  in that it preferentially adsorbs on the vacancy site  
307 and forms an octahedral complex that fits well in the vacancy. The free energy required to  
308 desorb  $\text{Sc}^{3+}$  from this site is obviously higher than that on the  $\text{Al}(\text{OH})_2$  site, suggesting  
309 that detachment of  $\text{Sc}^{3+}$  from clay edge surfaces hardly occur. Different from  $\text{Y}^{3+}$ , com-  
310 plexation of  $\text{Sc}^{3+}$  on the vacancy site significantly reduces the pKas of its  $\text{H}_2\text{O}$  ligands  
311 and results in the deprotonation of these ligands. All of the aforementioned characteristics  
312 were also observed for  $\text{Ni}^{2+}$ , which could be readily incorporated in the octahedral sheet  
313 (Zhang et al., 2017). Moreover, the pKas of  $\text{H}_2\text{O}$  ligands of  $\text{Ni}^{2+}$  also decreased upon



314 complexation on the vacancy site, which makes the deprotonation easier (Zhang et al.,  
315 2017). The OH groups formed after the deprotonation of H<sub>2</sub>O ligands were found to be  
316 able to complex additional Ni<sup>2+</sup>, leading to the formation of multinuclear complexes and  
317 even the nucleation of hydroxide or phyllosilicates (Zhang et al., 2019a; Zhang et al.,  
318 2019b). Thus, one can expect that similar processes also happen to Sc<sup>3+</sup>, that is, Sc<sup>3+</sup>  
319 could form multinuclear complexes on clay edge surfaces. During the supergene weath-  
320 ering of Sc-bearing silicates (e.g. amphibole and clinopyroxene (Chassé et al., 2019)), the  
321 thus-formed multinuclear complexes can be readily silicified due to the abundance of  
322 Si in fluids.

323       During the formation of lateritic Sc deposits, Sc<sup>3+</sup> was found to be enriched in the  
324 clay phase (up to hundreds of ppm) (Chassé et al., 2019). The findings presented here  
325 provide an atomic level clue for understanding the formation of the Sc-rich clays: during  
326 the weathering process, Sc<sup>3+</sup> released from parent rocks preferentially adsorbs on the va-  
327 cancy site on clay edges; the H<sub>2</sub>O ligands of Sc<sup>3+</sup> readily get deprotonated upon com-  
328 plexation, providing new binding sites for complexation of additional Sc<sup>3+</sup>; this process  
329 leads to the nucleation and growth of Sc-rich clays, which can effectively prevent Sc  
330 from being leached.

331       Overall, the results obtained in the present study suggest that Y<sup>3+</sup> and Sc<sup>3+</sup> have dis-  
332 tinctly different complexation mechanisms on clay edge surfaces. This difference arises  
333 from the smaller ionic radius of Sc<sup>3+</sup> and its high affinity to the vacancy on clay edge,

334 which makes Sc compatible with clay minerals and behave similarly to some transition  
335 metals (e.g. Ni<sup>2+</sup>) at the atomic level (i.e. complexation sites, relative stabilities, and hy-  
336 drolysis). These effects together contribute to the unique geochemical behavior of Sc ob-  
337 served in the deposits.  
338  
339

340

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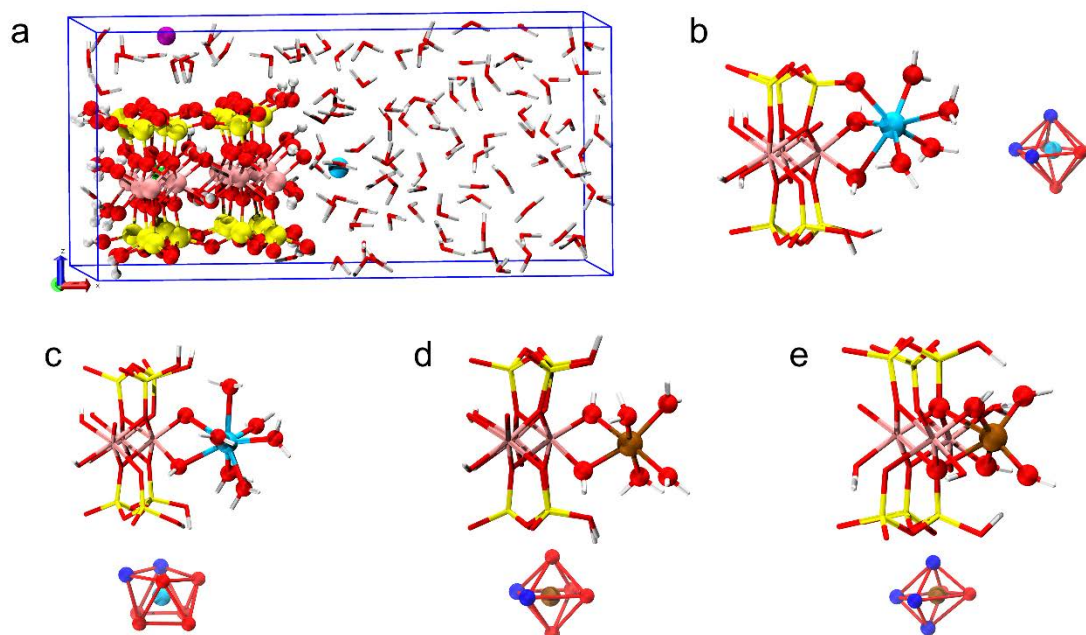
542 **Endnote:**

543 <sup>1</sup>Online Material.

544



545 **FIGURE 1**

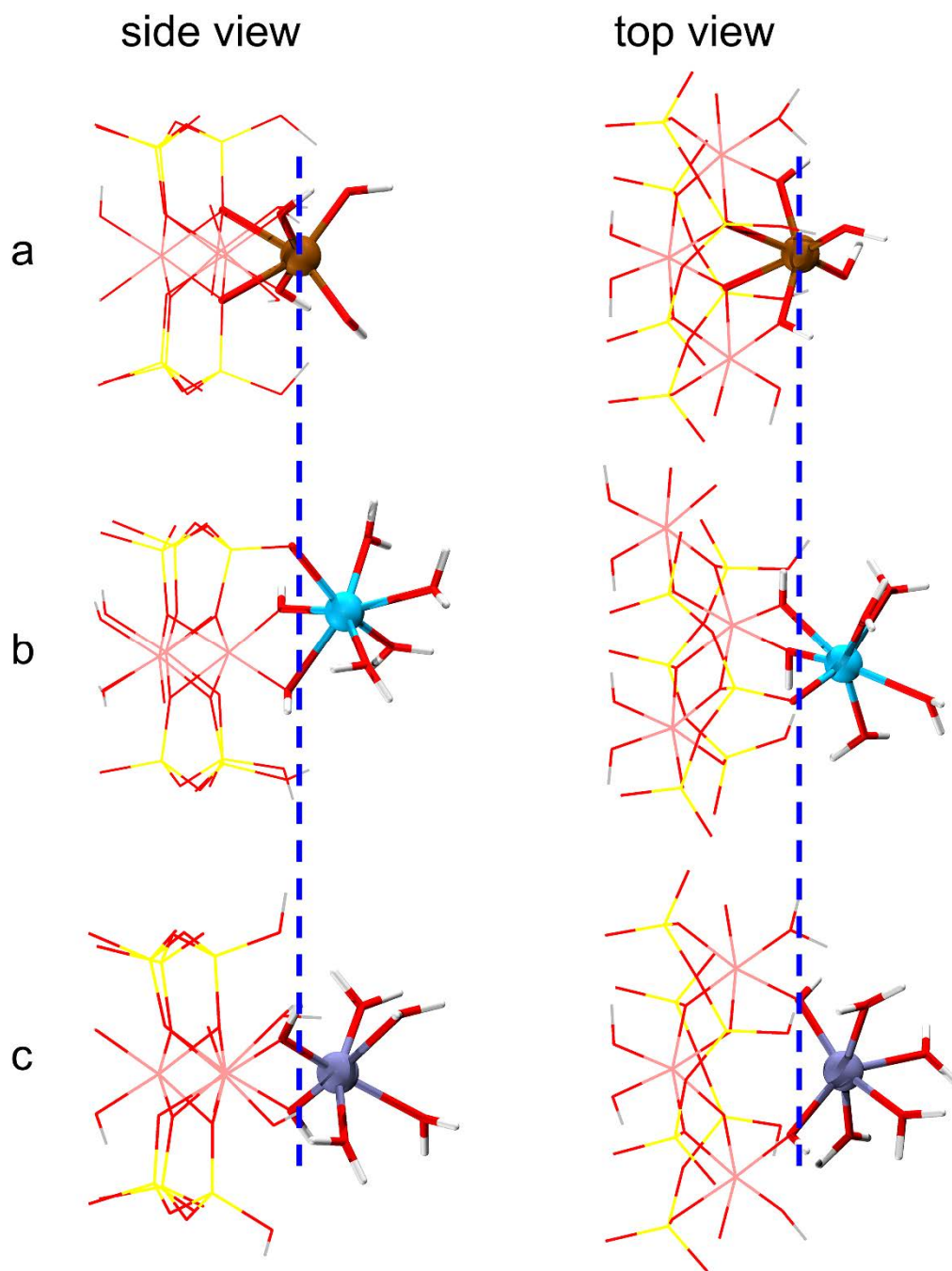


546

547 **FIGURE 1.** Complexation of Sc<sup>3+</sup>/Y<sup>3+</sup> on clay (010) surface. **(a)** Simulation box showing  
548 the clay surface and solution region. **(b)** and **(c)** Complexation structures of Y<sup>3+</sup> on the  
549 Al(OH)<sub>2</sub>SiO site and the Al(OH)<sub>2</sub> site, respectively. **(d)** and **(e)** Complexation structures  
550 of Sc<sup>3+</sup> on the Al(OH)<sub>2</sub> site and the vacancy site, respectively. O = red, H = white, Si =  
551 yellow, Al = pink, Mg = green, Y = cyan, Sc = brown, and Li = purple. Surface O atoms  
552 in coordination polyhedra are colored in blue. For clarity, solvent water molecules in  
553 **(b)-(e)** are not shown.

554

555 **FIGURE 2**



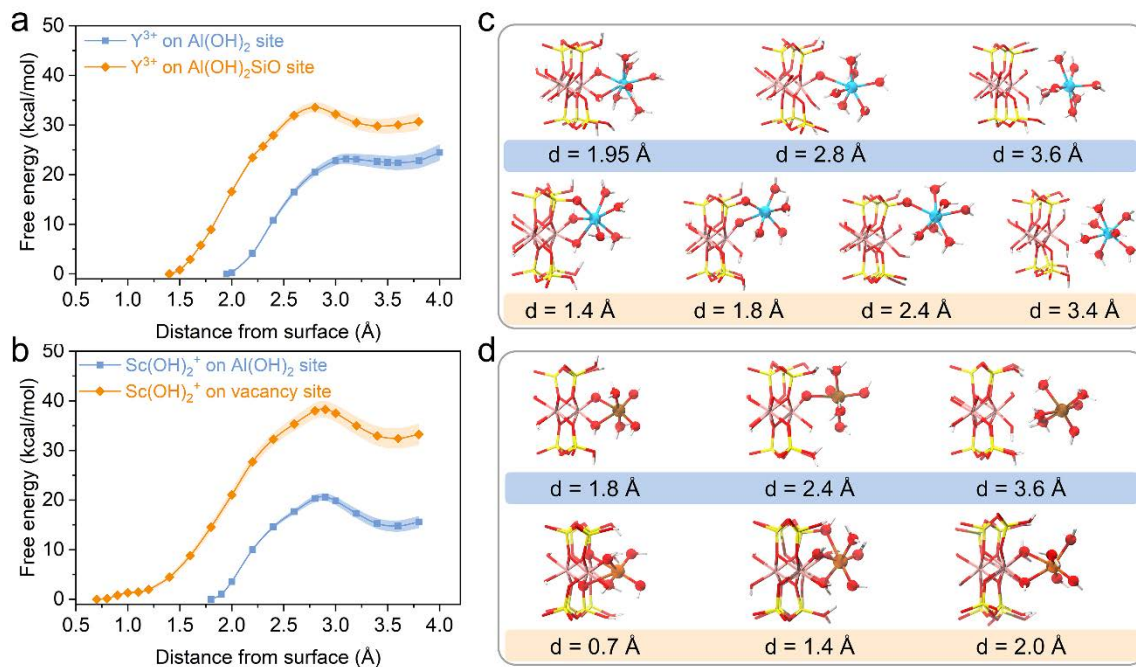
556

557 **FIGURE 2.** Comparison of  $\text{Sc}^{3+}/\text{Y}^{3+}/\text{Lu}^{3+}$  complexes on clay (010) surface. **(a)**  $\text{Sc}^{3+}$   
558 complex on the vacancy site. **(b)**  $\text{Y}^{3+}$  complex on the  $\text{Al}(\text{OH})_2\text{SiO}$  site. **(c)**  $\text{Lu}^{3+}$  complex  
559 on the vacancy site. Lu is colored in ice-blue and other atoms are color-coded by ele-

560 ments as described in Figure 1. The blue dash lines mark the position of the center of the  
561 vacancy.

562

563 **FIGURE 3**



564

565 **FIGURE 3.** Desorption of  $Y^{3+}/Sc(OH)_2^+$  from clay edge surface. (a) and (b) Desorption  
566 free energy curves associated with the desorption of  $Y^{3+}$  and  $Sc(OH)_2^+$ . (c) and (d) Snap-  
567 shots showing the complexation structures during the desorption processes. Atoms are  
568 color-coded by elements as described in Figure 1.

569

570

571

572 **TABLE 1.** Calculated vertical energy gaps (in eV), thermodynamic integrals (in eV), and  
 573 pKa values.

| Complex   | $\eta=0.0$ | $\eta=0.5$ | $\eta=1.0$ | $\Delta A$ | pKa      |
|---|------------|------------|------------|------------|----------|
| <sup>Al</sup> Y(H <sub>2</sub> O) <sub>5</sub> (H <sub>2</sub> O)       | 20.32±0.01 | 18.02±0.03 | 13.65±0.01 | 17.67±0.02 | 8.0±0.8  |
| <sup>Al</sup> Y(H <sub>2</sub> O) <sub>3</sub> (OH)(H <sub>2</sub> O)   | 20.33±0.04 | 18.15±0.05 | 13.03±0.02 | 17.66±0.04 | 7.8±1.2  |
| <sup>Al</sup> H <sub>3</sub> O <sup>+</sup>                             | 19.54±0.01 | 17.34±0.04 | 13.12±0.04 | 17.00±0.03 |          |
| <sup>AlSi</sup> Y(H <sub>2</sub> O) <sub>3</sub> (H <sub>2</sub> O)     | 20.12±0.05 | 18.07±0.04 | 13.42±0.01 | 17.64±0.04 | 8.2±1.0  |
| <sup>AlSi</sup> Y(H <sub>2</sub> O) <sub>2</sub> (OH)(H <sub>2</sub> O) | 20.36±0.04 | 17.85±0.01 | 13.70±0.03 | 17.58±0.02 | 7.2±0.7  |
| <sup>AlSi</sup> H <sub>3</sub> O <sup>+</sup>                           | 19.66±0.04 | 17.11±0.01 | 13.67±0.04 | 16.96±0.02 |          |
| <sup>Al</sup> Sc(H <sub>2</sub> O) <sub>3</sub> (H <sub>2</sub> O)      | 19.69±0.01 | 17.76±0.04 | 14.33±0.05 | 17.51±0.04 | 8.4±1.0  |
| <sup>Al</sup> Sc(H <sub>2</sub> O) <sub>2</sub> (OH)(H <sub>2</sub> O)  | 20.15±0.02 | 17.95±0.01 | 13.07±0.04 | 17.50±0.01 | 8.2±0.5  |
| <sup>Al</sup> H <sub>3</sub> O <sup>+</sup>                             | 19.54±0.01 | 17.06±0.02 | 13.14±0.04 | 16.82±0.02 |          |
| <sup>V</sup> Sc(H <sub>2</sub> O)(H <sub>2</sub> O)                     | 19.34±0.05 | 17.52±0.02 | 12.89±0.02 | 17.05±0.03 | -6.5±1.0 |
| <sup>V</sup> Sc(OH)(H <sub>2</sub> O)                                   | 19.77±0.02 | 17.71±0.04 | 13.98±0.02 | 17.43±0.03 | -0.2±1.0 |
| <sup>V</sup> H <sub>3</sub> O <sup>+</sup>                              | 19.57±0.03 | 17.66±0.03 | 13.26±0.02 | 17.25±0.03 |          |

574 The superscript “Al”, “AlSi”, and “V” stand for complexes on the Al(OH)<sub>2</sub> site,  
 575 Al(OH)<sub>2</sub>SiO site, and vacancy site, respectively.

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