

24 **Abstract**

25 Knowing the influence of isomorphous substitutions on the acid-base properties of
26 smectite edge surfaces is an important aspect of the detailed understanding of clay
27 minerals' interfacial properties with implications in the modeling of adsorption
28 processes. We investigated the intrinsic acidity constants of Fe(II)/Fe(III) and Al(III)
29 substituted edge surface sites of montmorillonite with a cis-vacant structure, which
30 includes four crystallographic orientations perpendicular to [010], [0 $\bar{1}$ 0], [110] and
31 [$\bar{1}\bar{1}$ 0], using the FPMD (first-principles molecular dynamics) based vertical energy
32 gap method. Fe(II) and Fe(III) substitutions resulted respectively in a significant
33 increase and decrease in pKa values at amphoteric groups directly associated with Fe
34 octahedra. In addition, Fe(II) substitution increased the pKa values of the neighboring
35 silanol sites, while Fe(III) substitution had a weak influence on these sites. The
36 Al-substituted tetrahedra had amphoteric sites with higher pKa values than the
37 non-substituted Si tetrahedra, and they increased significantly the pKa values of the
38 sites bridging the tetrahedral and octahedral sheets on surfaces perpendicular to [010]
39 and [110]. The acid-base properties of substituted and non-substituted surface sites of
40 cis-vacant montmorillonite were used to build a state-of-the-art surface complexation
41 model, which successfully reproduced the best available experimental acid-base
42 titration data. This model was further used to predict acid-base properties of
43 dioctahedral smectites (montmorillonite, beidellite, and nontronite) according to their
44 cis- or trans-vacant structures and their layer chemistry. According to these
45 predictions, these smectites exhibit very similar overall pH buffering properties,

46 despite significant differences in structure and chemistry. A detailed analysis of the
47 acid-base properties as a function of crystallographic directions evidenced, however,
48 that these differences should have a large influence on the adsorption properties
49 towards ionic species.

50 **Keywords:** first-principles molecular dynamics, surface complexation modeling, clay,
51 cis-vacant, trans-vacant, montmorillonite, Fe(II)/Fe(III) isomorphic substitution,
52 Al(III) isomorphic substitution, pKa

53 **INTRODUCTION**

54 2:1 type clay minerals are characterized by layered structural units consisting of
55 two tetrahedral silica sheets sandwiching one octahedral aluminum sheet (TOT,
56 Tetrahedron-Octahedron-Tetrahedron) (Tournassat et al. 2015). Octahedra bear two
57 OH groups that are in adjacent or opposite positions in cis- and trans-octahedra
58 respectively. In dioctahedral clay mineral layers, a third of the octahedra are vacant,
59 and, depending on the vacancy position, TOT layers are thus described with either
60 trans- or cis-vacant structures, which are respectively centrosymmetric or not
61 (Tsipursky and Drits 1984; Brigatti et al. 2011; Gao et al. 2023). Montmorillonites,
62 which are part of the most studied clay minerals, have most commonly a cis-vacant
63 structure, such as, for example, reference Wyoming and Kunipia montmorillonite
64 (Subramanian et al. 2020; Orucoglu et al. 2022). Heterovalent structural isomorphic
65 substitutions of cations in cis-vacant TOT layers create a permanent negative layer
66 charge (Brigatti et al. 2006) (Fig. 1). Iron occurs in clay minerals in both Fe(II) and
67 Fe(III) oxidation states and it can participate in redox reactions and influence the

68 surface properties of clay minerals as well as the adsorption process (Stucki 2013).
69 Fe(II) and Fe(III) mainly exist in the octahedral sheet while minor quantities of Fe(III)
70 can substitute for Si(IV) in the tetrahedral sheet (Brigatti et al. 2006; Kéri et al. 2017).
71 Kéri et al. proposed that there is no preferential occupation of cis- or trans-octahedra
72 by Fe(II) and Fe(III) in low Fe-bearing bulk montmorillonite (Kéri et al. 2017),
73 consistent with that of Mg(II) substitution in the octahedral sheet (Drits and Zviagina
74 2009). Moreover, the common isomorphic substitutions in the tetrahedral sheet are
75 Al(III) for Si(IV) tetrahedral (Brigatti et al. 2006). The influence of isomorphic
76 substitutions on smectite properties has received extensive attention because it affects
77 many physical-chemical properties, such as its ability to adsorb and exchange other
78 ions (Liu et al. 2022). The substitution effect can also influence the clay density,
79 porosity, and surface area, as well as its reactivity and stability (Bergaya et al. 2006).
80 Therefore, a thorough understanding of the substitution effects involved is essential
81 for elucidating the natural properties of clays.

82 Clay minerals' surfaces exhibit adsorptive properties affecting the fixation and
83 migration of metals, metalloids, and organics in natural and engineered environments
84 (Tournassat et al. 2015; Sposito et al. 1999; Bergaya and Lagaly 2006; Kome et al.
85 2019). Understanding the interfacial reactivity of clay minerals can help researchers
86 and environmental engineers develop effective strategies for managing the
87 contamination of soil and water by metal ions and other pollutants, using surface
88 complexation models (SCMs) to predict contaminant adsorption and mobility in
89 clay-rich environments as a function of chemical solution conditions in time and

90 space (Tournassat et al. 2018).

91 TOT layers exhibit two kinds of surfaces, i.e. basal and edge surfaces. Structural
92 layer negative charge originating from isomorphous substitutions leads to the property
93 of cation exchange at basal surfaces, while high chemical reactivity occurs at the edge
94 surfaces (Liu et al. 2022). Acidity (pKa) of edge surfaces is a key property related to
95 other interfacial chemical reaction properties, such as adsorption or surface nucleation
96 (Davis et al. 1978; Lagaly 2006; McCabe and Adams 2013). Substitutions can affect
97 the acidity of edge surface sites because they alter the electronic structure of the clay,
98 which thus affects the surface acid-base properties (Schoonheydt and Johnston 2006;
99 Liu et al. 2014a, 2015a). Structural formulas of two reference clay mineral samples,
100 Swy-2 and MX80 montmorillonites, are respectively
101 $(\text{Si}_{3.87}\text{Al}_{0.13})(\text{Al}_{1.52}\text{Mg}_{0.25}\text{Fe}^{\text{III}}_{0.224}\text{Fe}^{\text{II}}_{0.006})\text{Na}_{0.39}\text{O}_{10}(\text{OH})_2$ and
102 $(\text{Si}_4)(\text{Al}_{1.57}\text{Mg}_{0.25}\text{Fe}^{\text{III}}_{0.09}\text{Fe}^{\text{II}}_{0.09})\text{Na}_{0.34}\text{O}_{10}(\text{OH})_2$ (Duc et al. 2005b). Ideally, the
103 chemical complexity of the structural formula of clay layers should be taken into
104 account to build predictive SCMs based on thermodynamic equilibrium equations
105 (Gao et al. 2023; Bourg et al. 2007; Tournassat et al. 2016). An accurate SCM requires
106 knowledge of the influence of various isomorphous substitutions on the acidity value of
107 the edge surface sites.

108 A common experimental method for investigating the acid-base reactivity of clay
109 minerals is acid-base titration (Duc et al. 2005b; Bourg et al. 2007; Baeyens and
110 Bradbury 1997; Charlet et al. 1993; Duc et al. 2005a, 2008, 2006; Tombácz and
111 Szekeres 2004, 2006; Wanner et al. 1994; Zysset and Schindler 1996). In 2016,

112 Tournassat et al pooled available pKa values derived from ab initio calculations based
113 on a trans-vacant model into SCMs to yield reasonable prediction of the titration data
114 of montmorillonite edge surfaces (Tournassat et al. 2016). However, the modeled
115 edge-specific surface area did not fully agree with the experimentally measured
116 values, which may be ascribed to the fact that the titration data were obtained on
117 montmorillonite samples with a cis-vacant structure (Tournassat et al. 2016).

118 Cis-vacant structures are not centrosymmetric, which indicates that the edges
119 perpendicular to the [010] and $[0\bar{1}0]$, [110] and $[\bar{1}\bar{1}0]$ are different (Tsipursky and
120 Drits 1984). In a previous study, we showed that cis-vacant and trans-vacant model
121 edge surfaces have similar average charge behaviors for edges perpendicular to the
122 [010] and $[0\bar{1}0]$ crystallographic directions, but distinct average charge behaviors for
123 [110] and $[\bar{1}\bar{1}0]$ crystallographic directions (Gao et al. 2023). Although our previous
124 study pointed out the lack of constraints from potentiometric titration data for SCMs,
125 whether this difference is effective for further improving the fit of the acid-base
126 titration data remained to be investigated. Currently, pKa values of non-substituted
127 and Mg(II)-substituted layers of cis-vacant montmorillonite have been evaluated (Gao
128 et al. 2023), and a key to the above-mentioned problem may be related to a lack of
129 availability of a complete set of acidity constants for cis-vacant montmorillonites. The
130 influence of Fe(II)/Fe(III) and Al(III) isomorphic substitutions on the pKa values at
131 montmorillonite edge surface sites thus remained to be determined.

132 First-principles molecular dynamics (FPMD) has been recognized as a powerful
133 tool in the field of interfacial geochemistry (Leung et al. 2009; Leung and Criscenti

134 2012). By combining FPMD and the vertical energy gap method, the interfacial
135 intrinsic pKa values can be reliably estimated (Cheng and Sprik 2010; Sulpizi et al.
136 2012; Tazi et al. 2012; Liu et al. 2013b, 2013a, 2014a, 2014b, 2015a, 2015b; Zhang et
137 al. 2021; Gao et al. 2023). In particular, the complete acidity constants on edge
138 surfaces of the trans-vacant TOT layer have been calculated by Liu et al.(Liu et al.
139 2013a, 2014a, 2015a, 2015b) In our recent study, the method was also successfully
140 applied to the edge surfaces of non-substituted and Mg-substituted cis-vacant TOT
141 layer (Gao et al. 2023). The pKa values of surface OH groups of oxides and
142 hydroxides have also been successfully derived using the same method (Cheng and
143 Sprik 2010; Liu et al. 2013b, 2014b; Zhang et al. 2021).

144 In this study, we calculated the pKa values for sites on surfaces perpendicular to
145 [010], [0 $\bar{1}$ 0], [110] and [$\bar{1}\bar{1}$ 0] of 2:1-type Fe(II)/Fe(III) and Al(III) substituted
146 cis-vacant clay mineral. By combining the previous acidity values from the
147 non-substituted and the Mg-substituted cis-vacant TOT layer, we established a
148 complete surface acidity dataset for cis-vacant TOT layers. All atomic-level acidity
149 constants were then applied to construct SCMs and predict the acid-base titration data
150 without adjustable parameters, satisfying the principle of parsimony.

151 **METHODOLOGY**

152 **Models**

153 The primitive unit cell of cis-vacant 2:1 dioctahedral montmorillonite was taken
154 from our previous study (Gao et al. 2023). The Fe and Al substituted edge surface
155 models containing two unit cells were placed in 3D periodically repeated

156 orthorhombic boxes ($12.45 \text{ \AA} \times 10.44 \text{ \AA} \times 33.56 \text{ \AA}$). The models included the surfaces
157 perpendicular to $[010]$, $[0\bar{1}0]$, $[110]$ and $[\bar{1}\bar{1}0]$ directions. 130 water molecules were
158 filled into the solution region of 20 \AA which can approximate the density of bulk
159 water at ambient conditions according to previous reports (Gao et al. 2023). Ten water
160 molecules were inserted into the interlayer to create a monolayer hydrate.

161 Octahedral Fe(II) substitution ($\text{Fe}_{\text{II-sub}}$), octahedral Fe(III) substitution
162 ($\text{Fe}_{\text{III-sub}}$), and tetrahedral Al(III) substitution (Al-sub) on four edge surface directions
163 were all investigated (Fig. 2a-2d). Surfaces perpendicular to $[110]$ and $[\bar{1}\bar{1}0]$ are
164 beveled surfaces with different silanol groups, *i.e.* silanol on the upper tetrahedral
165 sheet and the lower tetrahedral sheet. Also, we only considered the cases where Al(III)
166 substitution occurs in the upper tetrahedral sheet on surfaces perpendicular to $[110]$
167 and $[\bar{1}\bar{1}0]$ directions (Fig. 2g and 2h).

168 **First-principles molecular dynamics**

169 The computational model was carried out using density functional theory (DFT)
170 with the gradient-corrected functional of Perdew-Burke-Ernzerhof (PBE) (Perdew et
171 al. 1997) as implemented in the CP2K/Quickstep package. In this package, the
172 electronic structures were calculated with the hybrid Gaussian and Plane Wave (GPW)
173 approach (Lippert et al. 1997). A double- ζ atom-centered Gaussian-type basis with
174 polarization functions (DZVP)(VandeVondele and Hutter 2007) was used to construct
175 the electronic wave functions with 400 Ry energy cutoff and the core electrons
176 represented by Goedecker-Teter-Hutter (GTH) pseudopotentials (Goedecker et al.
177 1996). The dispersion correction was applied in all the calculations with the

178 Grimme-D3 method (Grimme et al. 2010, 2011). The Hubbard U correction for Fe
179 does not have an obvious influence on the pK_a estimate for such systems (Zhang et al.
180 2021). The simulations were carried out with a multiplicity $(2S + 1)_{\text{Fe(II)}} = 5$ for
181 systems with a ferrous iron and $(2S + 1)_{\text{Fe(III)}} = 6$ for systems with a ferric iron,
182 respectively.

183 Born-Oppenheimer molecular dynamics (BOMD) simulations were carried out
184 within the canonical ensemble (NVT) controlled by a N ose-Hoover chain thermostat
185 with a time step of 0.5 fs.(Martyna et al. 1992) In each step, the wave functions were
186 optimized to a tolerance of 10^{-6} . The temperature was controlled at 300 K. For each
187 system, we conducted an initial equilibration simulation of 3.0 ps, followed by a
188 production period of 5.0~10.0 ps.

189 **Acidity constant calculations**

190 The intrinsic pK_a values of the edge surface sites were evaluated with the
191 half-reaction scheme of the vertical energy gap method (Costanzo et al. 2011; Cheng
192 et al. 2014). In this method, the dissociating surface proton is gradually transformed
193 into a dummy atom, and the free energy is calculated using the thermodynamic
194 integration relation. The details are given in the Supporting Information.

195 The pK_a values of the local and adjacent surface sites of substitution site on
196 surfaces perpendicular to [010], [0 $\bar{1}$ 0], [110] and [$\bar{1}\bar{1}$ 0] were investigated. The surface
197 amphoteric sites of the Fe_{II}/Fe_{III}-sub model include $\equiv\text{Si}(\text{OH})$, $\equiv\text{Al}(\text{OH}_2)$, $\equiv\text{Al}(\text{OH}_2)_2$,
198 $\equiv\text{Al}(\text{OH})(\text{OH}_2)$, $\equiv\text{Fe}_{\text{II/III}}(\text{OH}_2)_2$, $\equiv\text{Fe}_{\text{II/III}}(\text{OH})(\text{OH}_2)$, $\equiv\text{Si}(\text{OH})_2\text{Fe}_{\text{II/III}}$, $\equiv\text{Si}(\text{O})(\text{OH})\text{Fe}_{\text{II/III}}$
199 and $\equiv\text{Si}/\text{Fe}_{\text{II/III}}(\text{OH})\text{Al}$ sites, while the surface amphoteric sites of the Al-sub model

200 include $\equiv\text{Si}(\text{OH})$, $\equiv\text{Al}^{\text{T}}(\text{OH}_2)$, $\equiv\text{Al}^{\text{T}}(\text{OH})$, $\equiv\text{Al}^{\text{T}}(\text{OH})_2\text{Al}$, $\equiv\text{Al}^{\text{T}}(\text{O})(\text{OH})\text{Al}$, $\equiv\text{Al}(\text{OH}_2)_2$,
201 $\equiv\text{Al}(\text{OH})(\text{OH}_2)$, $\equiv\text{Al}(\text{OH}_2)$ and $\equiv\text{Al}^{\text{T}}(\text{OH})\text{Al}$ sites. Protons in some acidic sites
202 spontaneously dissociated within a few ps, such as $\equiv\text{Si}(\text{OH})_2\text{Fe}$, $\equiv\text{Fe}(\text{OH}_2)_2$ and
203 $\equiv\text{Al}(\text{OH}_2)_2$ in the Fe_{III} -sub system, and therefore the OH bonds of these sites were
204 restrained with a harmonic potential in the calculation. For most sites in the Fe_{II} -sub
205 system, the deprotonated form would capture a proton from the solvent water
206 molecules. To prevent that from happening, all OH bonds in the water molecules were
207 restrained in the calculation.

208 **Surface complexation modeling**

209 An in-house version of PHREEQC which considers the spillover of electrostatic
210 potential from basal surfaces was used to calculate the protonation state of clay layer
211 edge surfaces (Parkhurst and Appelo 2013; Tournassat et al. 2016, 2018). The source
212 code modification is available in Tournassat et al. (2016). PHREEQC scripts and
213 database are available in the Supplementary Information.

214 **RESULTS AND DISCUSSION**

215 **FPMD simulations**

216 The energy gaps and deprotonation-free energies were listed in Tables S4-S6.
217 The calculated vertical energy gaps were well converged within 0.08 eV and the
218 statistical errors for pKa were smaller than 1.5 pKa units for all surface groups. The
219 uncertainties of the pKa value were evaluated with the semi-difference method.
220 Similar to the Mg(II)-substituted model in our previous report (Gao et al. 2023), the
221 surface sites of the $\text{Fe}_{\text{II}}/\text{Fe}_{\text{III}}$ sub-model on surfaces perpendicular to $[0\bar{1}0]$ and $[110]$

222 are identical to the non-substituted model because the substituted cis-octahedron is
223 inside the bulk phase (Fig. 2b and 2c), while the surface sites on surfaces
224 perpendicular to [010] and $[\bar{1}\bar{1}0]$ are altered (e.g., $\equiv\text{Fe}_{\text{II/III}}(\text{OH}_2)_2$ and $\equiv\text{Si}(\text{OH})_2\text{Fe}_{\text{II/III}}$
225 sites) (Fig. 2a and 2d). In the Al-sub model, there is an additional specific site of
226 $\equiv\text{Al}^{\text{T}}(\text{OH}_2)$ compared to the non-substituted model. Because the influence of
227 isomorphic substitution on surface pKa values is usually limited in one unit cell (Liu
228 et al. 2014a, 2015a; Gao et al. 2023), the properties of the opposite $\equiv\text{Si}(\text{OH})$ group
229 were not calculated in the Al-sub model.

230 *Acidity constant of Fe_{II}/Fe_{III} sub-models*

231 For the reduced Fe_{II} sub-model, the $\equiv\text{Si}(\text{OH})$ sites on surfaces perpendicular to
232 the [010], $[0\bar{1}0]$, [110] and $[\bar{1}\bar{1}0]$ had high pKa values (Table S5), which was similar
233 to the silanol on Fe(II) substituted trans-vacant model (Liu et al. 2015a). The results
234 suggested that the substitution of Fe(II) led to an increase in the pKa value of the
235 adjacent $\equiv\text{Si}(\text{OH})$ site to the extent that it would remain protonated in water. On the
236 surface perpendicular to [010], the vacancy sites $\equiv\text{Si}(\text{OH})_2\text{Fe}_{\text{II}}$ and $\equiv\text{Si}(\text{O})(\text{OH})\text{Fe}_{\text{II}}$
237 had higher pKa values of 13.2 and 17.2, respectively, and therefore did not dissociate
238 under ambient pH conditions. Furthermore, the pKa values of $\equiv\text{Al}(\text{OH}_2)_2$ site on the
239 edge perpendicular to $[0\bar{1}0]$ and of $\equiv\text{Al}(\text{OH}_2)$ site on the edge perpendicular to [110]
240 were similar (10.2 *versus* 10.7). These pKa values were also similar to the values of
241 the $\equiv\text{Fe}_{\text{II}}(\text{OH}_2)_2$ site on the surface perpendicular to $[\bar{1}\bar{1}0]$ in the cis-vacant model
242 (10.9) as well as in the trans-vacant model (*i.e.* pKa of 10.2) (Liu et al. 2015a). In
243 addition, the pKa value of the $\equiv\text{Si}(\text{OH})\text{Al}$ site on the surface perpendicular to [110]

244 was -7.7, indicating that it was unstable in water and this site remained dissociated.
245 For the structural OH groups, the pKa values of the $\equiv\text{Fe}_{\text{II}}(\text{OH})\text{Al}$ site were 20.1 and
246 19.8 on surfaces perpendicular to $[0\bar{1}0]$ and $[\bar{1}\bar{1}0]$ respectively, both of which
247 remained non-reactive.

248 In the Fe_{III} -sub model, $\equiv\text{Si}(\text{OH})_2\text{Fe}_{\text{III}}$ and $\equiv\text{Si}(\text{O})(\text{OH})\text{Fe}_{\text{III}}$ sites on the edge
249 perpendicular to $[010]$ had pKa values of -0.4 and 5.7 (Table S5), which were close to
250 the $\equiv\text{Si}(\text{OH})_2\text{Al}$ and $\equiv\text{Si}(\text{O})(\text{OH})\text{Al}$ sites of the non-substituted model (pKa of -0.8
251 and 5.9) (Gao et al. 2023). However, on the surface perpendicular to $[0\bar{1}0]$, the
252 $\equiv\text{Al}(\text{OH}_2)_2$ site had a much lower pKa value than in the non-substituted model (-0.1
253 and 5.7 respectively), while the pKa values of $\equiv\text{Al}(\text{OH})(\text{OH}_2)$ and $\equiv\text{Fe}_{\text{III}}(\text{OH})\text{Al}$ were
254 also decreased to 6.1 and 8.9, respectively. On the surface perpendicular to $[110]$,
255 $\equiv\text{Si}(\text{OH})\text{Al}$ and $\equiv\text{Al}(\text{OH}_2)$ had pKa values of -14.6 and 2.7, which suggested that the
256 protonated forms were not stable at environmentally relevant pH values. The pKa
257 values of $\equiv\text{Fe}_{\text{III}}(\text{OH}_2)_2$ and $\equiv\text{Fe}_{\text{III}}(\text{OH})(\text{OH}_2)$ on surface perpendicular to $[\bar{1}\bar{1}0]$ were
258 -7.7 and 2.3, respectively. Moreover, the pKa value of the $\equiv\text{Fe}_{\text{III}}(\text{OH})\text{Al}$ site on the
259 surface perpendicular to $[\bar{1}\bar{1}0]$ closely resembled the same site on the surface
260 perpendicular to $[0\bar{1}0]$ with a pKa value of 8.7 (vs 8.9). The pKa values of the $\equiv\text{Si}(\text{OH})$
261 site on surfaces perpendicular to $[010]$, $[0\bar{1}0]$, $[110]$ and $[\bar{1}\bar{1}0]$ of the $\equiv\text{Fe}_{\text{III}}$ -sub model
262 were all increased by 0.0~2.0 pKa units compared to the non-substituted model (Table
263 S6) (Gao et al. 2023). Considering the computational error, it is difficult to state
264 unequivocally that Fe(III)-substitution affects the neighboring silanol site. But from
265 another perspective, compared to the acidity constants obtained with the Fe_{II} -sub

266 model, Fe oxidation (Fe_{III} -sub) decreased the pKa values of the counterpart sites,
267 which was consistent with results obtained previously with a trans-vacant model (Liu
268 et al. 2015a).

269 *Acidity constant of Al-sub model*

270 The calculated pKa values of tetrahedral $\equiv\text{Al}^{\text{T}}(\text{OH}_2)$ and $\equiv\text{Al}^{\text{T}}(\text{OH})$ sites on the
271 edge perpendicular to [010] were 3.1 and 14.0, respectively. The lower pKa value of
272 the $\equiv\text{Al}^{\text{T}}(\text{OH}_2)$ site indicated that it rarely occurred in the normal pH range. In
273 addition, the pKa values of $\equiv\text{Al}^{\text{T}}(\text{OH}_2)/\equiv\text{Al}^{\text{T}}(\text{OH})$ were 2.9/14.2, 3.0/14.5, and
274 1.5/14.4 on surfaces perpendicular to $[0\bar{1}0]$, [110] and $[\bar{1}\bar{1}0]$, while on the trans-vacant
275 surfaces perpendicular to [010] and [110] the pKa values were -2.4/15.1 and -2.4/17.5,
276 respectively (Liu et al. 2014a). Hence, similar results were obtained for all
277 $\equiv\text{Al}^{\text{T}}(\text{OH}_2)/\equiv\text{Al}^{\text{T}}(\text{OH})$ sites. On the surface perpendicular to [010], $\equiv\text{Al}^{\text{T}}(\text{OH})_2\text{Al}$ and
278 $\equiv\text{Al}^{\text{T}}(\text{O})(\text{OH})\text{Al}$ site had pKa values of 7.1 and 16.1, suggesting that $\equiv\text{Al}^{\text{T}}(\text{O})(\text{OH})\text{Al}$
279 was the dominant surface species under environmentally relevant conditions. As
280 shown in Fig. 3, octahedral $\equiv\text{Al}(\text{OH}_2)_2$ and $\equiv\text{Al}(\text{OH})(\text{OH}_2)$ site on surfaces
281 perpendicular to $[0\bar{1}0]$ and $[\bar{1}\bar{1}0]$ were 7.8/11.0 and 6.0/9.7, respectively, which were
282 similar to the pKa values of the counterpart site on the surface perpendicular to $[0\bar{1}0]$
283 of the non-substituted model (5.7/9.8) (Gao et al. 2023), indicating a weak influence
284 of Al(III) substitution. Bridging sites $\equiv\text{Al}^{\text{T}}(\text{OH})\text{Al}$ on the surface perpendicular to
285 [110] exhibited a pKa value of 6.9, which was different from other substitution cases
286 of the $\equiv\text{Si}(\text{OH})\text{Al}$ site having a very low pKa value (-7.7 for the Fe_{II} -sub model, -14.6
287 for the Fe_{III} -sub model, -7.1 for the Mg-sub model and -11.7 for the No-sub model)

288 (Liu et al. 2014a, 2015a; Gao et al. 2023). On the other hand, the $\equiv\text{Al}^{\text{T}}(\text{OH})\text{Al}$ site
289 could participate in acid-base reactions in the common pH range and was similar to
290 the sites on the surface perpendicular to [110] in an Al(III)-substituted trans-vacant
291 model (Liu et al. 2014a). Furthermore, the pK_a value of the $\equiv\text{Al}(\text{OH}_2)$ site on the
292 surface perpendicular to [110] was also increased to 10.4 compared to 5.6 of the
293 non-substituted model (Gao et al. 2023).

294 *Summary of acidity constant values*

295 From the above analysis, the Fe(III) substitution decreased the pK_a values at the
296 local sites while iron reduction (Fe(II) substitution) increased significantly the pK_a
297 values at the local and adjacent sites. Such influence of Fe(II) substitution was similar
298 to that of Mg-substitution in trans- and cis-vacant structures (Gao et al. 2023). Al(III)
299 substitution generated a reactive site $\equiv\text{Al}^{\text{T}}(\text{OH}_2)$ in the ambient pH condition. In
300 addition, the effect of the Al(III) substitution on neighboring sites was weak, however,
301 the effect on the acidity of the bridging site ($\equiv\text{Al}^{\text{T}}(\text{OH})\text{Al}$, $\equiv\text{Al}^{\text{T}}(\text{OH})_2\text{Al}$ and
302 $\equiv\text{Al}^{\text{T}}(\text{O})(\text{OH})\text{Al}$ sites) was particularly significant. This phenomenon was similar to
303 that found in the trans-vacant model (Liu et al. 2014a).

304 Table 1 summarizes the pK_a values calculated in this study as well as the results
305 obtained previously for non- and Mg-substituted cis-vacant TOT layer and
306 trans-vacant TOT layer. This database can be used for further modeling studies of
307 natural montmorillonites, which contain various substitutions.

308 **Predicted SCMs for potentiometric titration data**

309 Tournassat et al. developed prediction models for acid-base titration data of

310 MX80 and Swy-2 montmorillonite, in which the acidity constants were based on the
311 trans-vacant model (Tournassat et al. 2016). Our previous study (Gao et al. 2023)
312 also used non-substituted and Mg-substituted cis-vacant surface acidity constants to
313 predict published potentiometric titration data with identical model parameters and
314 calculation procedures as in Tournassat et al. (2016). Both prediction models were in
315 reasonable agreement with the experimental data. The edge-specific surface area is
316 not a well-defined parameter in clay SCMs. Among surface area measurement
317 techniques, the derivative isotherms summation (DIS) method tends to overestimate
318 the surface area (Reinholdt et al. 2013), whereas the atomic force microscopy (AFM)
319 method may provide a more accurate estimate of surface area for montmorillonite
320 (Tournassat et al. 2003; Cadene et al. 2005; Kraevsky et al. 2020; Orucoglu et al.
321 2022). The AFM-measured edge-specific surface area was $9 \text{ m}^2 \cdot \text{g}^{-1}$ for MX80
322 montmorillonite (Tournassat et al. 2015), while the specific surface area used in the
323 model was $12 \text{ m}^2 \cdot \text{g}^{-1}$ (Tournassat et al. 2016; Gao et al. 2023). These edge-specific
324 surface area values may however be dependent on the clay material preparation
325 method, which includes a granulometric separation step that can lead to different
326 particle size distributions depending on the exact preparation method.

327 Complete acidity constants of the cis-vacant model were used to predict
328 potentiometric titration data of MX80 and Swy-2 montmorillonites (Duc et al.
329 2005b). The edge-specific surface area of the cis-vacant model was fitted to
330 reproduce potentiometric titration data (Fig. S1). The agreement between
331 experimental and fitted potentiometric titration curves was acceptable if the specific

332 edge surface areas were set to $9 \text{ m}^2 \cdot \text{g}^{-1}$ and the relative abundance of cis-vacant
333 edges perpendicular to $[010]$, $[0\bar{1}0]$, $[110]$, and $[\bar{1}\bar{1}0]$ was set at 0.15/0.15/0.35/0.35
334 for both MX80 and Swy-2 montmorillonites, which is similar to experimental AFM
335 results (Kraevsky et al. 2020) as well as with a distribution of edge orientations in a
336 pseudo-hexagonal clay particle. Fig. 4 includes also the comparison of predictions
337 based on the cis- and trans-vacant models. For the latter, the relative abundance of
338 trans-vacant edges perpendicular to $[010]$ and $[110]$ was set at 0.3/0.7 and the
339 edge-specific surface areas were set to $14 \text{ m}^2 \cdot \text{g}^{-1}$ and $12 \text{ m}^2 \cdot \text{g}^{-1}$ for Swy-2 and MX80
340 montmorillonites, respectively. A good agreement was found between experimental
341 and fitted potentiometric titration data with both cis- and trans-vacant models, but,
342 for Swy-2 montmorillonite, a better agreement was found with the cis-vacant model
343 at pH above 6.0. For MX80 montmorillonite, the cis- and trans-vacant model
344 predictions were very similar.

345 With the complete consideration of clay isomorphic substitution cases, the
346 surface potentials on surfaces perpendicular to $[010]$, $[0\bar{1}0]$, $[110]$, and $[\bar{1}\bar{1}0]$ of the
347 trans-vacant model and surfaces perpendicular to $[010]$ and $[110]$ of the cis-vacant
348 model were predicted to be negative (Fig. S2). Contrastingly, with the cis-vacant
349 model, surfaces perpendicular to $[010]$ and $[110]$ directions were predicted to have a
350 positive potential below pH 6-7, as already predicted with a cis-vacant model without
351 isomorphic substitution (Gao et al. 2023).

352 In our SCMs, only one parameter was adjusted: the edge-specific surface area.
353 Its fitted value was in good agreement with values measured on a similar clay material

354 using AFM. Other parameters were fully constrained using experimental data and
355 ab-initio calculations, including the distribution of edge surface orientations, the solid
356 concentration, the nature and amount of isomorphous substitutions in the clay layer, and
357 the acidity constants.

358 **IMPLICATIONS**

359 Our complete set of acidity constants for 2:1 TOT layers enables predictive
360 modeling of the interfacial properties for a wide range of clay minerals. Table 2
361 listed the structural formulas of some trans- or cis-vacant clay minerals, which
362 include various substitutions. The predicted potentiometric titration and surface
363 charge curves for these minerals are shown in Fig. 5. The modeling results indicated
364 that the extent of the various isomorphous substitutions (tetrahedral vs. octahedral and
365 Fe vs. Mg in octahedral position) had little influence on the predicted titration curves.
366 Contrastingly, the consideration of cis-vacant vs. trans-vacant structures led to
367 significant differences in surface charge at pH above pH 8.0. This study highlights
368 the importance of considering the local structure of clay minerals to fully understand
369 their reactivity. To obtain accurate pKa values, complete atomic-level information
370 based on the real structure of natural montmorillonite is necessary. Overall, this work
371 achieved a comprehensive study of the acid-base properties of cis-vacant clay edge
372 surfaces concerning layer chemistry and structure, which provides fundamental
373 information for the modeling of contaminant adsorption on natural clay minerals.

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565

566 **TABLE 1.** Summary of complete pKa values of edge sites on individual surfaces of
567 trans/cis-vacant models (*tv* and *cv*, respectively)

Sites	<i>cv</i> ⊥ [010]	<i>cv</i> ⊥ [0 $\bar{1}$ 0]	<i>cv</i> ⊥ [110]	<i>cv</i> ⊥ [$\bar{1}\bar{1}$ 0]	^a <i>tv</i> ⊥ [010]	^a <i>tv</i> ⊥ [110]
^b No-sub						
≡Si(OH)	6.8	8.1	6.3 ^U /6.8 ^L	7.4 ^U /8.1 ^L	7.0	8.0 ^U /8.3 ^L
≡Al(OH ₂) ₂ /	—	5.7/9.8	—	7.0/—	3.1/8.3	—
≡Al(OH)(OH ₂)	—	—	—	—	—	—
≡Al(OH ₂)	—	—	5.6	—	—	5.5
≡Si(OH)Al	—	—	-11.7	—	—	1.7
≡Si(OH) ₂ Al/	-0.8/5.9	—	—	—	—	—
≡Si(O)(OH)Al	—	—	—	—	—	—
≡Al(OH)Al	—	13.2	—	17.6	—	—
^b Mg-sub						
≡Si(OH)	9.0	11.0	9.1 ^U /10.4 ^L	8.9 ^U /9.2 ^L	10.8	11.0
≡Mg(OH ₂) ₂	—	—	—	15.1	13.2	—
≡Si(OH)Mg	—	—	—	—	—	4.2
≡Al(OH ₂) ₂ /	—	5.9/10.1	—	—	—	—
≡Al(OH)(OH ₂)	—	—	—	—	—	—
≡Al(OH ₂)	—	—	8.5	—	—	—
≡Si(OH)Al	—	—	-7.1	—	—	—
≡Si(OH) ₂ Mg/	5.3/8.8	—	—	—	—	—
≡Si(O)(OH)Mg	—	—	—	—	—	—
≡Mg(OH)Al	—	16.6	—	18.7	—	—
^b Fe _{II} -sub						
≡Si(OH)	12.2	13.5	13.8 ^U /12.7 ^L	12.3 ^U /11.4 ^L	11.2	—
≡Fe(OH ₂) ₂	—	—	—	10.9	10.2	—
≡Al(OH ₂) ₂	—	10.2	—	—	—	—
≡Al(OH ₂)	—	—	10.7	—	—	—
≡Si(OH)Al	—	—	-7.7	—	—	—
≡Si(OH) ₂ Fe/	13.2/17.2	—	—	—	—	—
≡Si(O)(OH)Fe	—	—	—	—	—	—
≡Fe(OH)Al	—	20.1	—	19.8	—	—
^b Fe _{III} -sub						
≡Si(OH)	8.8	9.0	8.4 ^U /7.1 ^L	7.9 ^U /8.1 ^L	8.6	—
≡Fe(OH ₂) ₂ /	—	—	—	-7.7/2.3	1.2/5.1	—
≡Fe(OH)(OH ₂)	—	—	—	—	—	—
≡Al(OH ₂) ₂ /	—	-0.1/6.1	—	—	—	—
≡Al(OH)(OH ₂)	—	—	—	—	—	—
≡Al(OH ₂)	—	—	2.7	—	—	—
≡Si(OH)Al	—	—	-14.6	—	—	—
≡Si(OH) ₂ Fe/	-0.4/5.7	—	—	—	—	—
≡Si(O)(OH)Fe	—	—	—	—	—	—

	$\equiv\text{Fe}(\text{OH})\text{Al}$	—	8.9	—	8.0	—	—
	$\equiv\text{Al}^{\text{T}}(\text{OH}_2)/$	3.1/14.0	2.9/14.2	3.0/14.5	1.5/14.4	-2.4/15.1	-2.4/17.5
	$\equiv\text{Al}^{\text{T}}(\text{OH})$						
	$\equiv\text{Al}(\text{OH}_2)_2/$	—	7.8/11.0	—	6.0/9.7	4.9/8.5	—
	$\equiv\text{Al}(\text{OH})(\text{OH}_2)$						
Al-sub	$\equiv\text{Al}(\text{OH}_2)$	—	—	10.4	—	—	12.7
	$\equiv\text{Al}^{\text{T}}(\text{OH})\text{Al}$	—	—	6.9	—	—	10.2
	$\equiv\text{Al}^{\text{T}}(\text{OH})_2\text{Al}/$	7.1/16.1	—	—	—	—	—
	$\equiv\text{Al}^{\text{T}}(\text{O})(\text{OH})\text{Al}$						

568 ^a The pKa values of the trans-vacant model were taken from Liu et al. (Liu et al. 2014a, 2015a)

569 ^b The pKa values of the No-sub and Mg-sub cis-vacant model were taken from Gao et al. (Gao et
 570 al. 2023)

571

572

573

574 **TABLE 2.** Structural formulas of some 2:1 type trans/cis-vacant (*tv/cv*) clay minerals.

2:1 Clay Samples	Structural Formulae	Model
Montmorillonite (Swy-1) (Cases et al. 1992)	$(\text{Si}_{3.91}\text{Al}_{0.09})(\text{Al}_{1.64}\text{Mg}_{0.25}\text{Fe}^{3+}_{0.08}\text{Fe}^{2+}_{0.08})\text{Na}_{0.37}\text{O}_{10}(\text{OH})_2$	<i>cv</i>
Montmorillonite (Kunipia) (Okada et al. 2005; Orucoglu et al. 2022)	$(\text{Si}_{3.825}\text{Al}_{0.175})(\text{Al}_{1.64}\text{Mg}_{0.215}\text{Fe}^{3+}_{0.155})\text{Na}_{0.265}\text{Ca}_{0.045}\text{O}_{10}(\text{OH})_2$	<i>cv</i>
Beidellite (SBId-1) (Lantenois et al. 2008; Gailhanou et al. 2012)	$(\text{Si}_{3.574}\text{Al}_{0.426})(\text{Al}_{1.812}\text{Mg}_{0.09}\text{Fe}^{3+}_{0.112})\text{K}_{0.104}\text{Ca}_{0.185}\text{O}_{10}(\text{OH})_2$	<i>tv</i>
Beidellite (SB1-350) (Lantenois et al. 2008)	$(\text{Si}_{3.60}\text{Al}_{0.4})(\text{Al}_2)\text{Na}_{0.38}\text{O}_{10}(\text{OH})_2$	<i>cv</i>
Nontronite (NG-1) (Manceau et al. 2000)	$(\text{Si}_{3.645}\text{Fe}^{3+}_{0.315}\text{Al}_{0.04})(\text{Al}_{0.44}\text{Mg}_{0.03}\text{Fe}^{3+}_{1.54}\text{Fe}^{2+}_{0.005})\text{Na}_{0.35}\text{O}_{10}(\text{OH})_2$	<i>tv</i>
Nontronite (NAu-2) (Gates et al. 2002)	$(\text{Si}_{3.775}\text{Fe}^{3+}_{0.145}\text{Al}_{0.08})(\text{Al}_{0.17}\text{Mg}_{0.025}\text{Fe}^{3+}_{1.77})\text{Na}_{0.36}\text{O}_{10}(\text{OH})_2$	<i>tv</i>

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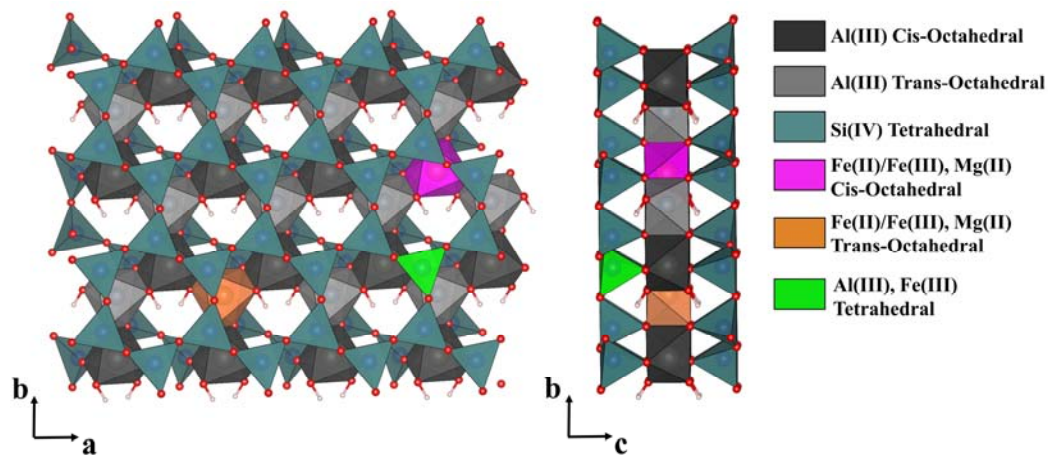
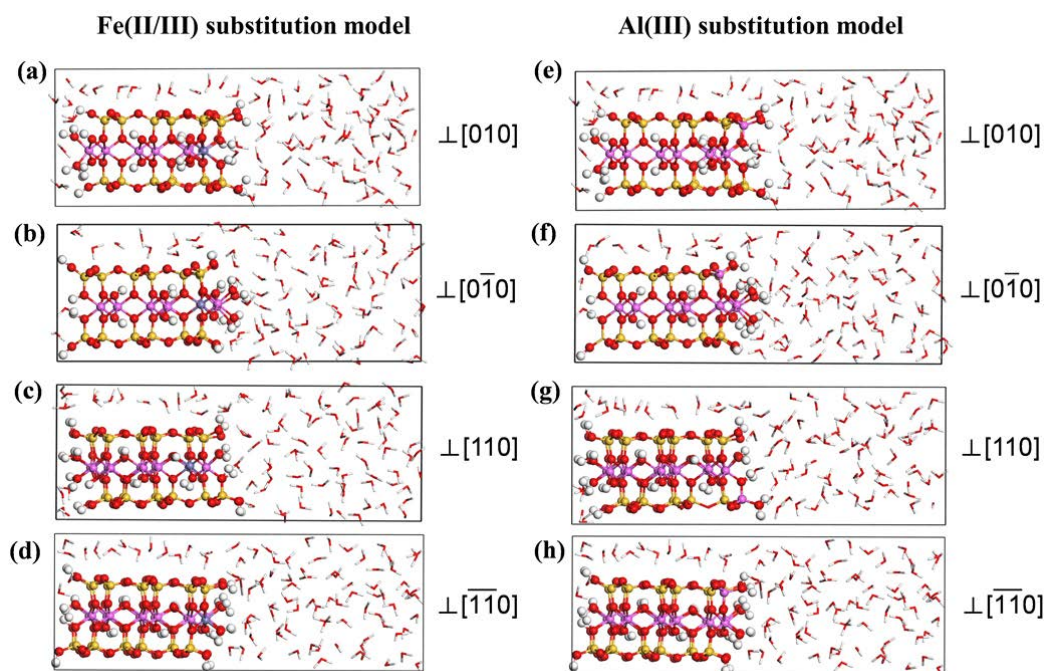


FIGURE 1. Views of the modeled cis-vacant TOT clay layer with substituted ions in the cis- and trans-sites of the octahedral sheet and in the tetrahedral sheet.

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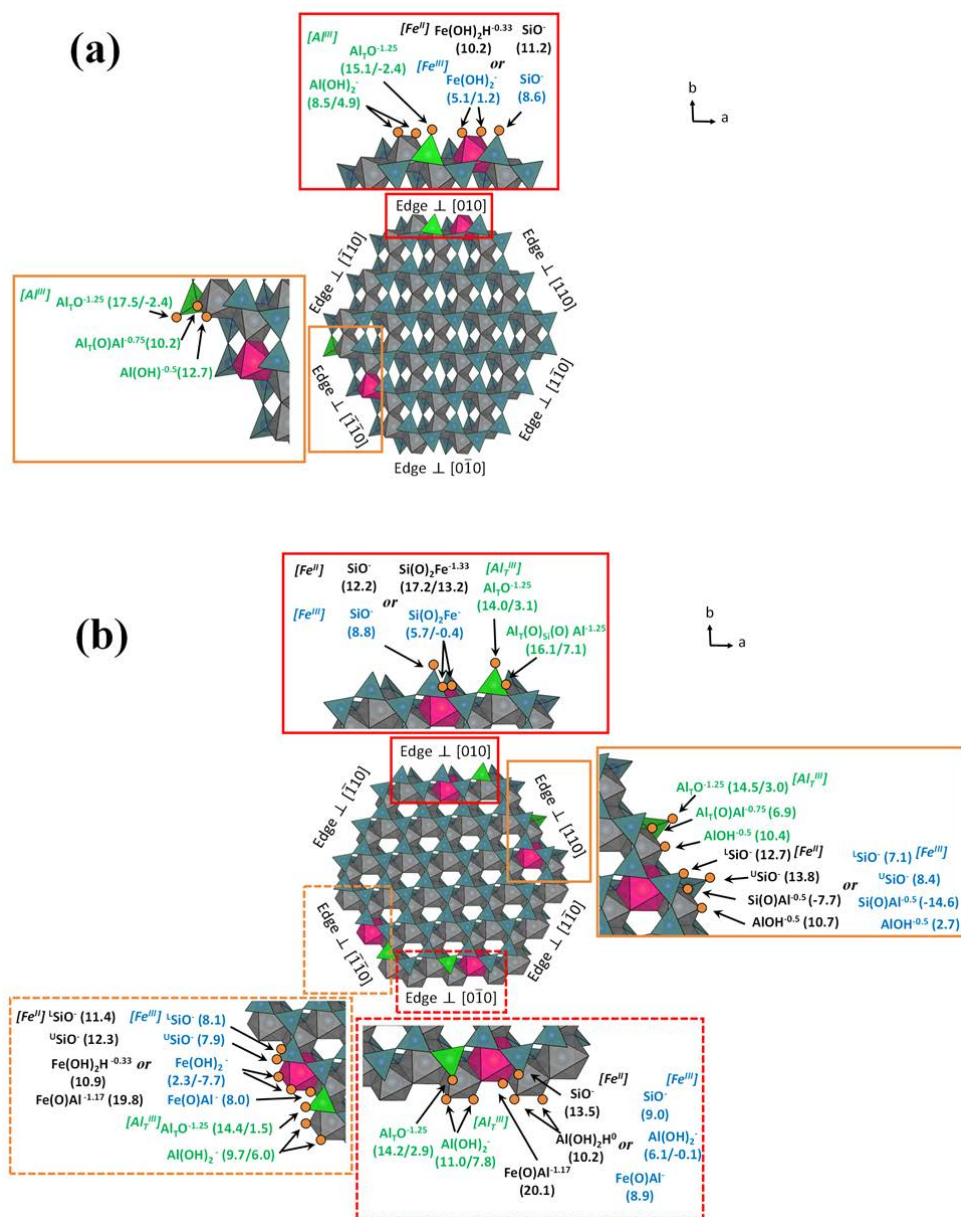
583

584 **FIGURE 2.** Edge surface models of Fe(II)/(III)-substituted (Fe_{II/III}-sub) and Al(III)-substituted

585 (Al-sub) cis-vacant structure. (a) and (e) $\perp [010]$, (b) and (f) $\perp [0\bar{1}0]$, (c) and (g) $\perp [110]$, (d)

586 and (h) $\perp [\bar{1}\bar{1}0]$. Color scheme: Si (yellow), Al (pink), Fe (cyan), O (red), H (white).

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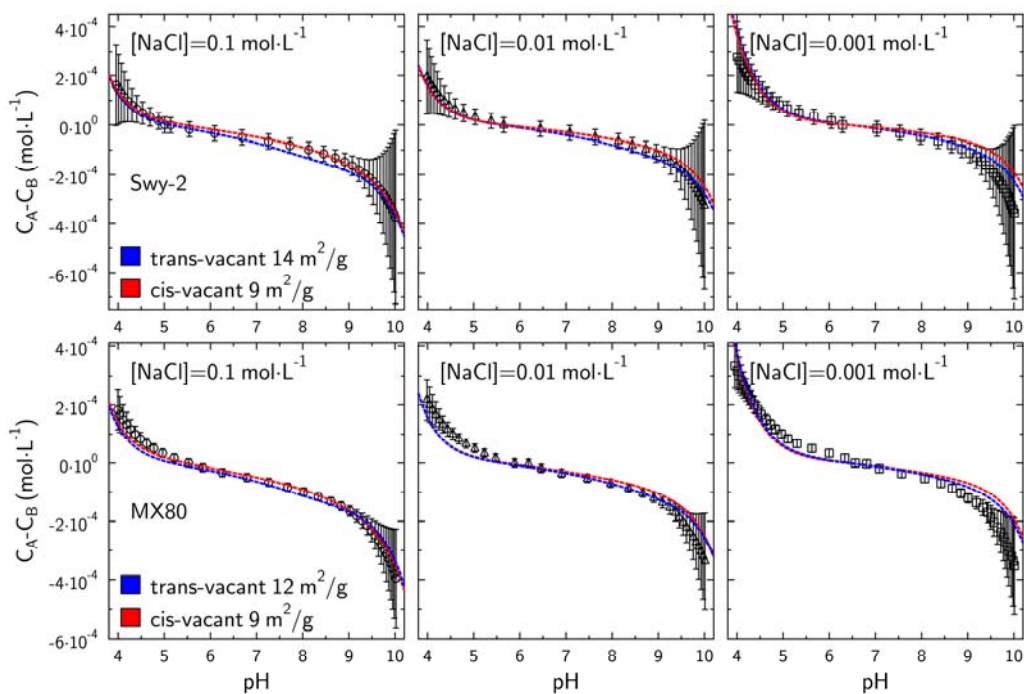
589

590 **FIGURE 3.** Edge surface sites and pKa values of Fe(II)/(III)- and Al(III)-substituted clay models.

591 (a) trans-vacant model and (b) cis-vacant model. The pKa values of the trans-vacant clay model

592 were taken from Liu et al. (Liu et al. 2014a, 2015a)

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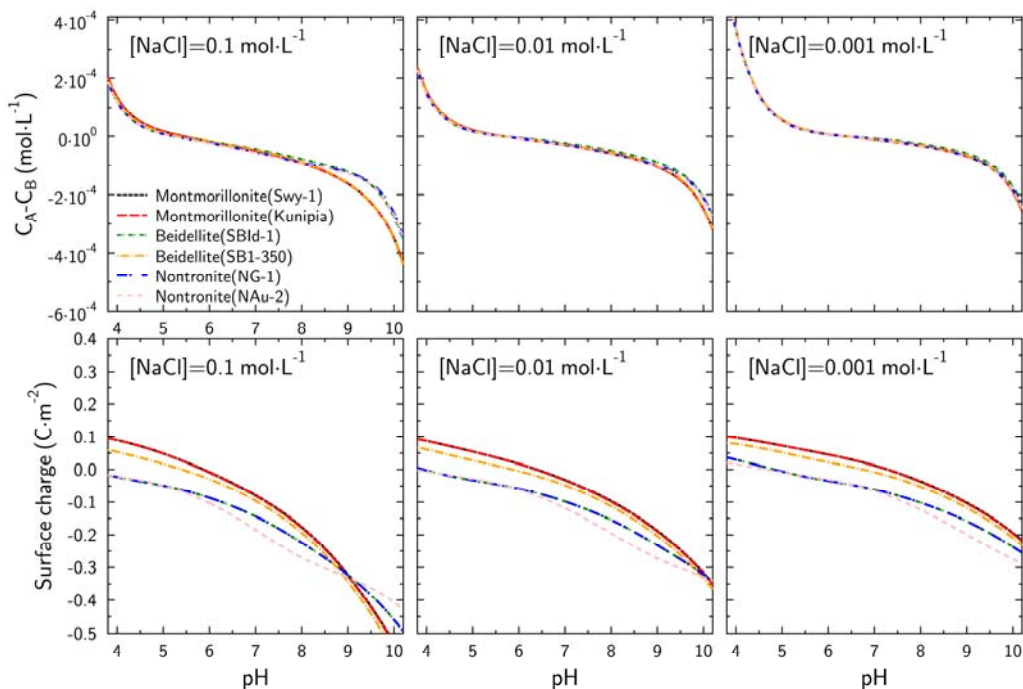


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595 **FIGURE 4.** Comparison of model predictions (lines) and potentiometric titration data (symbols)
596 for MX80 montmorillonite (bottom) and Swy-2 montmorillonite (top) as reported by Tournassat et
597 al (Tournassat et al. 2016).

598

599



600
601 **FIGURE 5.** Model predictions of potentiometric titration and surface charge curves for different
602 2:1 clay minerals. The modeled edge-specific surface area was set as $9 \text{ m}^2 \cdot \text{g}^{-1}$ and minor Fe^{3+}
603 tetrahedral substitutions were considered to have the same pKa parameters as the Al^{3+}
604 substitutions. All other parameters were consistent with those used by Gao et al. (2023).
605