

1 **Revision 2**

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3 **Incommensurate to normal phase transition in malayaite**

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5 Thomas Malcherek<sup>1\*</sup>, Michael Fischer<sup>2</sup>, Boriana Mihailova<sup>1</sup>, Bianca Paulenz<sup>1</sup>, Carsten  
6 Paulmann<sup>1</sup>, Ulrich Bismayer<sup>1</sup>

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8  
9 1) Mineralogisch-Petrographisches Institut, Fachbereich Erdsystemwissenschaften,  
10 Universität Hamburg, Grindelallee 48, 20146 Hamburg, Germany

11 2) Fachbereich Geowissenschaften, Bremen Center for Computational Materials Science,  
12 and MAPEX Center for Materials and Processes, Universität Bremen,  
13 Bibliothekstraße 1, 28359 Bremen, Germany

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15  
16 \*E-mail: [thomas.malcherek@uni-hamburg.de](mailto:thomas.malcherek@uni-hamburg.de)

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### Abstract

25 We report variable temperature X-ray diffraction ( $20\text{ K} < T < 295\text{ K}$ ) and Raman scattering  
26 ( $90\text{ K} < T < 400\text{ K}$ ) data of malayaite, the tin analog of the mineral titanite, aided by results  
27 from density functional perturbation theory. The phase transition from the normal to the  
28 incommensurately modulated crystal structure occurs at  $T_c = 50 \pm 2\text{ K}$  with an almost constant  
29  $\mathbf{q}$ -vector of  $0.27\mathbf{b}^*$ . Some first order satellite diffraction maxima are observable up to 55 K,  
30 where they increasingly broaden towards the main reflections. Softening of the lowest  
31 frequency transverse optical  $B_g$  phonon mode, dominated by antiparallel motion of Ca atoms,  
32 is observed on cooling from 400 to 90 K. This confirms the displacive character of the  
33 transition to the modulated structure, indicated by the instability of this phonon mode in the  
34 zero-temperature approximation of first principle computation. The transition to the  
35 incommensurately modulated phase is preceded by a temperature region of anomalous  
36 thermal expansion in the normal phase, marked by negative thermal expansion along [010]  
37 and consequently a change from hardening to softening phonon modes on cooling below  
38 150K. The modulated phase of malayaite highlights the potential of density functional  
39 perturbation theory for the discovery of hitherto unknown ground state structures of minerals.

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## Introduction

42

43 The fact that crystal structures of minerals can spontaneously change at critical temperatures  
44 or pressures is of crucial importance for their physical properties and for the formation of  
45 characteristic microstructures. In the process of such displacive phase transitions, commonly  
46 the temperature induced motion of atoms, described by the superposition of phonon modes, is  
47 partially frozen in. This results in static displacements of atoms away from their average  
48 position in the parent phase. It is less well known that the soft phonon modes responsible for  
49 displacive phase transitions may generate a distorted phase that destroys the periodicity of the  
50 crystal structure in three-dimensional space, giving rise to an aperiodic (or incommensurately  
51 modulated) crystal structure. Such a case is presented in the following.

52 Malayaite is the tin analogue of the common accessory mineral titanite,  $\text{CaTiSiO}_5$   
53 (Takenouchi 1971; Higgins and Ribbe 1977). The titanite crystal structure of general formula  
54  $\text{AMO}'\text{TO}_4$  consists of parallel and kinked chains of corner sharing, distorted  $\text{MO}_6$  octahedra,  
55 laterally connected via isolated  $\text{TO}_4$  tetrahedra. The resulting heteropolyhedral framework is  
56 charge balanced by A-cations occupying its voids. While  $\text{A}=\text{Ca}$ ,  $\text{M}=\text{Sn}$  and  $\text{T}=\text{Si}$  in  
57 malayaite, many other cation combinations are known to form the titanite framework. Among  
58 these are the minerals of the tilasite ( $\text{T}=\text{As, P, S, V}$ ;  $\text{A}=\text{Na, Ca}$ ;  $\text{M}=\text{Mg, Al, Ti, Fe}^{3+}$ ) and  
59 amblygonite ( $\text{T}=\text{P}$ ;  $\text{A}=\text{Li}$ ,  $\text{M}=\text{Al, Fe}^{3+}$ ) groups, where the chain forming oxygen atom O' may  
60 be substituted by  $\text{F}^-$  or  $(\text{OH})^-$  for charge balance, as well as the kieserite group minerals  
61 ( $\text{T}=\text{As, P}$ ;  $\text{A}=\square$ ,  $\text{M}=\text{Mn, Fe, Co, Ni, Al, Zn}$ ), where O' belongs to a water molecule and the  
62 A-site is vacant.

63 The titanite aristotype structure has space group symmetry  $C2/c$ , often described in an  $A2/a$ -  
64 setting in the literature. Contrary to malayaite, pure titanite distorts to  $P2_1/c$  ( $P2_1/a$ ) at  
65 temperatures below 493 K (Taylor and Brown 1976), forming a crystal structure with ordered  
66 off-center displacements of the Ti cations (Higgins and Ribbe 1976; Speer and Gibbs 1976;

67 Ghose et al. 1991; Salje et al. 1993; Zhang et al. 1995; Kek et al. 1997; Hayward et al. 2000;  
68 Malcherek 2001; Malcherek et al. 2001; Malcherek and Fischer 2018). Isomorphous phase  
69 transitions are observed in several isotypic compounds (Malcherek et al. 2004; Malcherek  
70 2007), where the phase transition temperature is proportional to the squared off-center  
71 displacement of  $d^0$  transition metal cations Ti, Nb or Ta occupying the M-site. In most natural  
72 titanite crystals the macroscopic formation of the ordered titanite structure is suppressed, as  
73 these crystals invariably contain considerable concentrations of Al or Fe replacing Ti (Higgins  
74 and Ribbe 1976; Oberti et al. 1991), thus diluting the second order Jahn-Teller distortion  
75 (Kunz and Brown 1995) and pinning antiphase boundary domain walls (Heurck et al. 1991).  
76 Other known distortions of the titanite aristotype structure involve transitions to triclinic  
77 symmetry, accompanied by splitting of the M-site into two symmetrically inequivalent sites.  
78 While  $\text{CaGe}_2\text{O}_5$  (Aust et al. 1976; Malcherek and Bosenick 2004) or  $\text{CaZrGeO}_5$  (Malcherek  
79 and Ellemann-Olesen 2005) exhibit temperature driven monoclinic-triclinic phase transitions,  
80 Ta- and Al-rich natural titanite has been reported with triclinic symmetry as well (Lussier et  
81 al. 2009). The nominally Ti-free end member zabińskiite,  $\text{Ca}(\text{Al}_{0.5}\text{Ta}_{0.5})(\text{SiO}_4)\text{O}$  (Pieczka et  
82 al. 2017) is equally triclinic. Similarly, the minerals of the amblygonite group have triclinic  
83 symmetry (Groat et al. 1990) and at pressures above 4.95 GPa malayaite also adopts triclinic  
84 symmetry (Rath et al. 2003). Further, high temperature anomalies have been observed for the  
85 malayaite structure (Groat et al. 1996; Meyer et al. 1998; Bismayer et al. 1999), but these do  
86 not seem to involve symmetry changes.

87 Recently the occurrence of a modulated structure in malayaite at 20 K has been reported  
88 (Malcherek et al. 2020). With the aim to detect the phase transition to this modulated  
89 structure, the present work explores the temperature dependence of the dynamic malayaite  
90 crystal structure in the temperature range between 20K and 400K using X-ray diffraction as  
91 well as Raman scattering data, aided by ab-initio calculations.

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## Methods

94 The investigated malayaite crystals are from the El Hammam mine, Morocco (Sonnet and  
95 Verkaeren 1989) as previously described by Malcherek et al. (2020). The average chemical  
96 composition has been determined to be  $\text{Ca}(\text{Sn}_{0.97}\text{Ti}_{0.03})\text{SiO}_5$  by wavelength-dispersive  
97 electron microprobe analysis using a Cameca SX100 (supplementary table S1). No significant  
98 impurity elements other than Ti are indicated. The small Ti content is inhomogeneously  
99 distributed, giving rise to local zonation as evidenced in electron backscattering images  
100 (supplementary figure S2).

101 Single crystal X-ray diffraction at variable temperatures has been conducted in-house, using a  
102 Nonius KappaCCD diffractometer with graphite monochromated  $\text{MoK}\alpha$  radiation, and with  
103 synchrotron radiation at beamline P24 of PETRAIII/DESY, Hamburg, Germany. Crystals  
104 were cooled using an Oxford Cryostream  $\text{N}_2$  cooler and a Cryocool-LT He gas stream cooler  
105 respectively. At P24, diffraction data have been collected in  $\phi$  and  $\omega$  scans at two detector  
106 positions on a four-circle kappa diffractometer (EH1) with a wavelength of  $\lambda = 0.61992\text{\AA}$ .  
107 The beam was monochromated by a water-cooled Si double crystal monochromator. In order  
108 to bracket the phase transition temperature, several malayaite crystals were cooled to 20 K,  
109 before raising the temperature in steps between diffraction measurements. The final run,  
110 which is reported here, involved 2 K temperature steps in the vicinity of the phase transition  
111 temperature between 42 and 52 K. Each temperature was allowed to settle for 5 minutes prior  
112 to starting the scans. Scattered X-rays have been detected using a Dectris Pilatus 1M CdTe  
113 detector at a distance of 150 mm to the crystal. Pixel data have been integrated, corrected and  
114 reduced using the Eval15 software package (Schreurs et al. 2010). Reciprocal space  
115 reconstructions were calculated using CrysAlisPro (Rigaku Oxford Diffraction 2021).

116 Structure refinement has been performed using Jana2006 (Petříček et al. 2014) with scattering  
117 factors for the uncharged atoms. Attempts to refine mixed Sn/Ti occupancy at the M position  
118 did only yield Ti site occupancies below the average level indicated by microprobe analysis

119 (< 0.03 apfu). Full Sn occupancy at the M-site is therefore assumed in the following. A  
120 Becker-Coppens model for secondary isotropic extinction of type I with Lorentz distribution  
121 of the mosaic blocks (Becker and Coppens 1974) was applied. Refinement results are  
122 provided as CIF in Online Materials.  
123  
124 Polarized Raman spectra have been collected using the green line ( $\lambda = 514.532$  nm) of a  
125 Coherent Innova 90 C FreD Ar<sup>+</sup> laser in backscattering geometry at temperatures in the range  
126 90 to 400 K, controlled by a Linkam THMS-E600 stage mounted on a Horiba Jobin-Yvon  
127 T64000 triple-grating spectrometer equipped with an Olympus BH41 microscope. The  
128 instrumental precision in determining the peak position was  $0.35$  cm<sup>-1</sup>, with a spectral  
129 resolution of  $2$  cm<sup>-1</sup>. The temperature run was conducted with [010] parallel to the  
130 polarization direction of the incident light ( $\mathbf{E}_i$ ). Parallel polarized ( $\mathbf{E}_i \parallel$  to the polarization  
131 direction of the scattered light,  $\mathbf{E}_s$ ) and cross polarized ( $\mathbf{E}_i \perp \mathbf{E}_s$ ) spectra have been measured  
132 at each temperature step. Data evaluation was performed using IgorPro 9.01. Spectra have  
133 been background subtracted, temperature corrected to account for the Bose-Einstein  
134 occupation factor and normalized to integrated intensity. Individual Raman signals were fitted  
135 using Voigt and Lorentzian peak shapes. Weak Raman scattering signals from rotational  
136 modes of N<sub>2</sub>, cf. Claps et al. (1999), observable in the wavenumber range below  
137 approximately  $100$  cm<sup>-1</sup> were included in the peak fitting procedure, in order to accurately  
138 describe the low temperature peak profile of the soft-mode near  $50$  cm<sup>-1</sup>. An overview of the  
139 corrected Raman spectra is shown in the supplementary figure S3.

140 First principle calculations were performed by means of variational density functional  
141 perturbation theory (DFPT) (Gonze 1997; Gonze and Lee 1997) as implemented in the  
142 CASTEP computer code (Clark et al. 2005; Refson et al. 2006). These calculations have been  
143 described in more detail elsewhere (Malcherek and Fischer 2018; Malcherek et al. 2020). The

144 plane-wave basis-set cut-off was set to 1200 eV. Norm-conserving pseudopotentials from the  
145 Bennett & Rappe pseudopotential library (Bennett 2012), generated using the OPIUM code  
146 (Rappe et al. 1990) have been used. An irreducible set of 16 k-points in the Brillouin zone  
147 (BZ) has been sampled with a Monkhorst-Pack mesh of 4 x 4 x 3. Exchange-correlation  
148 energy contributions have been treated in the generalized gradient (GGA) approximation. The  
149 results reported here have been calculated using the PBE flavor of the GGA (Perdew et al.  
150 1996). Phonon calculations were conducted with the zero-pressure optimized crystal structure.  
151 Calculations for the base-centered lattice were carried out using the reduced cell, but the  
152 results are reported in the conventional C-centered setting, unless otherwise indicated. Raman  
153 activities were computed using a hybrid method that combines DFPT with the finite  
154 displacement method (Milman et al. 2010), similar to the approach proposed by Porezag and  
155 Pederson (1996).

## 156 **Results and Discussion**

### 157 **Raman scattering**

158 In malayaite 21 Raman active optical modes ( $9 A_g + 12 B_g$ ) are expected for space group  
159 symmetry  $C2/c$ . Figure 1 shows the parallel and cross polarized Raman spectra measured at  
160 90 K in comparison to the DFT-calculated Raman spectrum for random orientation. The  
161 calculated spectrum is shown with Lorentzian broadening of  $4 \text{ cm}^{-1}$  (FWHM) and with  
162 wavenumbers multiplied by a factor of 1.04 to account for the volume expansion inherent to  
163 the PBE exchange-correlation functional. With this scaling factor the most intense Raman  
164 mode at  $575 \text{ cm}^{-1}$  (#16) coincides with the calculated  $A_g$  mode frequency of  $552.36 \text{ cm}^{-1}$ .  
165 Judging by calculated peak position, intensity and symmetry of the Raman modes, all 21  
166 modes can be identified in the experimental spectra (Table 1). With the selected scattering  
167 geometry, only  $A_g$  modes should be observable in the parallel polarized spectrum, while  $B_g$   
168 modes should be observable in the cross polarized spectrum. The intensity of some modes

169 proved to depend strongly on sample orientation. Thus the intensities of modes 12 and 20 are  
170 enhanced in the parallel polarized spectra when rotating the crystal by  $90^\circ$  with respect to  $E_i$ ,  
171 confirming that their symmetry is  $A_g$ .

172 Mode 1 is assigned to the soft-mode, which is unstable in the calculated phonon dispersion  
173 (see below and Malcherek et al. (2020)). Softening of this mode is indeed observed for the  
174 entire experimentally studied temperature range from 400 K down to 90 K (Figure 2a). The  
175 linear mode softening on cooling accelerates at 150 K, where the slope changes from  $0.043$   
176  $\text{cm}^{-1}/\text{K}$  to  $0.074 \text{ cm}^{-1}/\text{K}$ . If this linear trend continued, it would extrapolate to  $38 \text{ cm}^{-1}$  at 0 K.  
177 As shown in Figure 2 and in accordance with its  $B_g$  symmetry, the mode is dominated by  
178 antiparallel motion of the Ca atoms approximately along  $[001]$ , where each Ca swings with  
179 O1 as a pivot. The direction of this pendular motion corresponds to the largest Ca  
180 displacement amplitude observed in structure refinements of malayaite (Figure 2b).

181 Figure 3 shows the temperature evolution of the mode 2 frequency (as wavenumbers, in  $\text{cm}^{-1}$ ),  
182 to which antiparallel Ca-motion subparallel  $[001]$  contributes, albeit with smaller amplitude  
183 than for the soft-mode at lower frequencies. Instead, motion of the chain forming O1 atom  
184 and shifting of the  $\text{SiO}_4$  tetrahedra parallel  $[001]$  contribute more strongly to this phonon  
185 mode. Figure 4 shows the respective temperature dependence of mode 5. The Ca vibrations  
186 associated with this phonon mode parallel those of the O1 atoms in mode 2. Common to these  
187 and many other modes are anomalies in the temperature dependence of their frequency close  
188 to 150 K. Softening of mode 1 accelerates below this temperature, while other modes like  
189 modes 2 and 5 start to soften below 150 K, after previous, conventional hardening above this  
190 temperature. As expected, the frequency changes of the hard modes 2 and 5 are much smaller  
191 than that of the soft mode.

192 Mode 3 at  $175 \text{ cm}^{-1}$  is an  $A_g$ -mode and its temperature evolution differs slightly from the  $B_g$   
193 hard modes described above. While this mode also softens on cooling below 150 K, its  
194 frequency remains almost constant above that temperature and up to 400 K (Figure 5). The



195 atomic movements associated with this phonon mode involve a shift of the SiO<sub>4</sub>-tetrahedron  
196 along the y-direction, i.e. parallel to the two-fold rotation axis, as well as a torsional motion of  
197 one of the tetrahedral edges. The Ca-O1 bond shifts in the same direction as the Si atom, so  
198 that the Ca-Si distance remains nominally constant (Figure 5).

199

## 200 **X-ray diffraction**

201 Figure 6 shows the temperature variation of the unit cell parameters between room  
202 temperature and 20 K. Owing to the very different diffraction conditions for the in-house and  
203 the synchrotron diffraction experiments, different malayaite crystals were utilized in the  
204 experiments and their corresponding temperature ranges (Table 2). This entails that the unit  
205 cell parameters are slightly different for the two measurements and the in-house unit cell  
206 parameters have been offset in Figure 6 accordingly and consistently, in order to visualize the  
207 common temperature trends in the data. The different crystal sizes and qualities also manifest  
208 themselves in the mosaic spread and the observed secondary extinction effects. While the  
209 synchrotron data are affected by strong secondary extinction, with the mosaic spread of  
210 crystal 1 estimated to only 0.1°, the secondary extinction effects corrected for the larger  
211 crystal 2 (estimated mosaic spread 0.6°) measured with the sealed tube radiation source are  
212 much smaller.

213 As already indicated in the previous low-temperature experiment (Malcherek et al. 2020), the  
214 thermal expansion of malayaite between 20 K and room temperature is rather small. Only the  
215 *c*-parameter shows a conventional temperature expansion of about 0.01 Å across the entire  
216 observed temperature range of 280 K. Contrary to this, on heating, the *a* and *b* unit-cell  
217 parameters are initially almost constant within their measurement uncertainty, with *b* even  
218 decreasing on heating to about 180 K. Only above the latter temperature, both cell dimensions  
219 start to expand too. This also causes the cell volume to remain constant up to 150 K, with a  
220 following expansion by about 0.8 Å<sup>3</sup> to room temperature. The 0.00624 Å<sup>3</sup>/K slope of the line

221 fit shown in Figure 6e matches the volume expansion evident from Fig. 4e of Groat et al.  
222 (1996). The first data points up to 400 K obtained from this graph have been added in Figure  
223 6e. The onset of temperature expansion above 150 K coincides with the temperature anomaly  
224 observed in the Raman scattering at 150 K. A further inflection point in the temperature  
225 evolution of the unit cell parameters is observed near 50 K, where  $a$ ,  $b$  and also  $\beta$  start to  
226 decrease on further cooling.

227 As reported by Malcherek et al. (2020), malayaite has a modulated structure at 20 K. The  
228 crystal structure can be described in superspace group  $C2/c(0\beta 0)s0$ . Structure refinement with  
229 this symmetry setting yields the R-factors shown in Table 2. The partial R-factors with  
230 respect to the satellite reflections are significantly smaller than for the malayaite crystal  
231 studied previously (Malcherek et al. 2020). Likewise, the amplitude of the modulation is  
232 larger, which is also evident by the appearance of weak second order satellite maxima ( $|m| =$   
233  $2$ ) in the lowest temperature data. At 20 K the number of observed ( $I > 3\sigma(I)$ ) satellite maxima  
234 with  $m = -2, 2$  is about 50% of the number of  $m = -1, 1$  satellite maxima, but this fraction falls  
235 to less than 10% at 42 K. Therefore, between 20 K and 42 K the structure refinements include  
236 second order modulation amplitudes as well as first and second order modulation of the  
237 anisotropic displacement parameters (adp), amounting to a total of 185 parameters. The  
238 inclusion of modulated adps improves the R-factor with respect to the second order satellite  
239 maxima, but does not significantly affect the other partial R-factors. Even at 20 K the refined  
240 parameter values of the adp-modulations are generally small, within a range of  $3\sigma$ , with the  
241 exception of those affecting  $U^{33}$  of the Ca atom. Above 42 K, where the intensity of second-  
242 order satellite maxima becomes negligible, 68 parameters were sufficient to refine the  
243 modulated crystal structure. In the immediate vicinity of the phase transition to the normal  
244 structure at 48, 50, 52 and 55 K, the dynamic displacements of the Sn, Si and O1 atoms had to  
245 be restrained to isotropic in order to prevent non-positive-definite anisotropic displacement  
246 tensors. At these temperatures the R-factors with respect to the remaining weak satellite

247 reflections increase significantly, indicating substantial deviations from  $C2/c(0\beta 0)s0$   
248 symmetry.

249 Figure 7a shows reconstructed scattering intensity in a section of the  $hk7$  plane at 20 K and at  
250 three temperatures in the vicinity of the incommensurate to normal (i-n) transition. At 20 K  
251 second order satellite maxima with  $m=2$  and  $m=-2$  are observable, which have disappeared at  
252 44 K. Scattering intensity in  $m=1$  and  $m=-1$  satellite positions does not immediately vanish at  
253 50 K, but diffuse scattering is still observable at the position of few satellite reflections. At the  
254 same time very weak Bragg scattering at the positions  $\bar{2}07$  and  $007$  is observed at all  
255 temperatures in Figure 7a, which should be absent due to the  $c$ -glide plane.

256 The amplitude of the statically modulated Ca-displacement resulting from the structure  
257 refinements is shown in Figure 8. Figure 8b shows the square of the component amplitude  
258 parallel to  $c$ . A line-fit to the data points in the range from 38 to 46 K extrapolates to zero at  
259 50 K, yielding the i-n phase transition temperature. As indicated by the finite but small  
260 displacement values above this temperature and the remaining intensity of some first order  
261 satellite reflections (Figure 7a), precursor effects of the transition appear within a 10 K  
262 temperature range above it. The intensity of the forbidden reflection  $007$  appears to increase  
263 above 50 K. A possible explanation for these reflections might involve disorder of the Ca-  
264 atoms subparallel to the  $[001]$  direction.

265 The  $q$ -vector remains almost constant in the entire temperature range up to the i-n transition  
266 temperature (Table 2, Figure 6f). At 20 K it is slightly longer than previously determined  
267  $(0.2723(5)\mathbf{b}^*$  compared with  $0.2606(8)\mathbf{b}^*$ ), which is likely related to the smaller amplitude of  
268 the modulation in the previously measured crystal (see below). Only above the i-n transition  
269 temperature, where the number of observed satellite reflections diminishes sharply, the  $q$ -  
270 vector shortens significantly before the intensity of the remaining satellite maxima decreases  
271 to zero. This is also due to the aforementioned broadening of the satellite reflections towards  
272 the position of the main reflections (cf. Figure 7a, 55 K). The length of the  $q$ -vector

273 approximately coincides with the calculated ‘minimum’ of imaginary phonon frequencies  
274 along the  $B_g$  phonon branch, which is unstable for the entire path between  $\Gamma$  and Y (Figure  
275 7b). At the  $\Gamma$ -point this phonon mode corresponds to mode 1 observed in the Raman  
276 scattering. Also shown in Figure 7b is the phonon dispersion of the infrared active  $B_u$  phonon  
277 with LO/TO-splitting on approaching the center of the BZ and instability close to the Y-point  
278 at the BZ boundary. For more details regarding the displacements involved in these and other  
279 phonon modes and their calculated phonon dispersion see Malcherek et al. (2020).

280 The amplitude of the Ca-displacement as a function of modulation coordinate is shown in  
281 Figure 9. The previous determination of this function at 20 K using a different malayaite  
282 crystal (Malcherek et al. 2020) matches these results in terms of the phase of the modulation,  
283 with positive and negative maxima at  $t=0.3$  and  $t=0.8$  respectively, and also in terms of  
284 relation to the displacements of other atoms (not shown in Figure 9), but the amplitude is  
285 larger by a factor of 2.5. The larger amplitude in the present study is probably due to the  
286 better quality, i.e. smaller mosaic spread of crystal 1, also indicated by the smaller R-factors  
287 obtained in the structure refinements and by the absence of second order satellite maxima in  
288 the previous measurement. At 52 K the obtained modulation function is phase shifted by  
289  $180^\circ$ , clearly indicating the structural difference with respect to the ordered  $C2/c(0\beta 0)s0$   
290 structure below the i-n transition temperature.

291 As shown in Figure 10, the dynamic Ca displacement is dominated by the  $U^{33}$  component in  
292 the direction of the  $c$ -axis, which steadily decreases from room temperature to 20 K. At 70 K  
293 this displacement component is smaller than expected from the linear trend observed down to  
294 95 K. With the onset of the i-n transition it drops by about 30% and continues the previous  
295 linear decrease within the modulated phase. Even in the modulated phase at 20 K, the  
296 dynamic Ca displacement is still significantly anisotropic. The largest eigenvalue of the  
297 displacement tensor is almost 4 times larger than the smallest eigenvalue (0.0021, 0.0023,  
298 0.0083), with an eigenvector that is  $8^\circ$  inclined with respect to [001].

299 Due to the larger modulation amplitude, the Ca-O3 distances at 20 K vary between 2.6665(6)  
300 and 2.8011(6) Å around an average of 2.7330(6) Å. These distance variations are larger than  
301 those obtained from the previously studied crystal (Malcherek et al. 2020) and locally exceed  
302 the displacements observed in the high pressure, triclinic phase (Rath et al. 2003; Malcherek  
303 et al. 2020).

304

### 305 **Thermal expansion and the 150 K anomaly**

306 As is evident from the thermal expansion and the Raman scattering data, the lattice dynamics  
307 of malayaite in the normal phase can be divided into a low and a high temperature regime. In  
308 the low-temperature regime below 150 K and above the i-n transition, thermal expansion  
309 normal to [001] is absent and various phonon modes soften on cooling, while above 150 K  
310 malayaite exhibits conventional thermal expansion with all Raman active phonons except  
311 modes 1 and 3 softening on heating. Negative thermal expansion as seen along [010] of  
312 malayaite between 50 K and 180 K (Figure 6) has also been observed in several kieserite-type  
313 compounds (Wildner et al. 2022), where it extends over a wider temperature range. In order to  
314 check whether the normal crystal structure is affected by the anomalies seen in the low-  
315 temperature regime, third-order anharmonic displacement parameters for the heavier atoms  
316 Ca and Si were tentatively included in the refinement. This approach is based on the Gram-  
317 Charlier expansion as implemented in the Jana2006 program and adds 4 additional parameters  
318 for each of the two atoms (Table 3). Site symmetry of the Sn atom does not permit such third-  
319 order tensor elements. Figure 11 shows the resulting joint probability density function (jpdf)  
320 of the Ca and Si atoms in layers parallel to (100). As expected, the probability density of the  
321 Ca atom is broad and elongated along [001], while it does not drastically differ from the  
322 purely harmonic approximation shown in Figure 2b. However, for the Si atom a somewhat  
323 unexpected jpdf seems to evolve below 190 K. With falling temperature and rising probability  
324 maximum at the center of the distribution, a significant part of the probability density is

325 extending towards smaller y-coordinates, i.e. closer to the Ca-atom. Some mildly negative and  
326 therefore unphysical areas of jpdf far enough from the average Si position are evident.  
327 Because of this and because of the small size of the third order tensor elements that barely  
328 exceed the  $3\sigma$ -level (Table 3), results of these refinements have to be interpreted with caution.  
329 But they might indicate that the Si atom dynamically displaces parallel to  $[0\bar{1}0]$  in a  
330 shallower, anharmonic potential below 190 K. The one particle potentials obtained from the  
331 Si jpdf at various temperatures are shown in Figure 12. The potential is nearly harmonic at  
332 295 K, but it becomes increasingly shallow with falling temperature in direction  $[0\bar{1}0]$ , while  
333 it steepens in the opposite direction (where the calculation is however limited by the negative  
334 parts of the jpdf).  
335 A reasonable explanation for this behavior is provided by the softening of mode 1 (Figure 2a),  
336 which displaces Ca away from the twofold axis with a frequency that is at least a factor of 3.5  
337 smaller than the frequency of mode 3 (Figure 5), or other, higher frequency  $A_g$  modes that  
338 displace Si along the twofold axis towards the average Ca position. While the amplitude of  
339 the anisotropic Ca-displacements decreases with falling temperature (Figure 10), the  
340 frequency of these displacements is decreasing as well, so that the probability of Ca being  
341 momentarily positioned off the twofold axis can be expected to remain constant or even to  
342 increase, a behavior which may also contribute to the observed extinction violations in the  
343  $h0l$ -layer of reciprocal space. This could help to explain the anomalous thermal expansion  
344 normal to  $[001]$ , as the anharmonicity of the potential is going to shift the average position of  
345 the  $\text{SiO}_4$  tetrahedron towards the average Ca position for larger mode amplitudes,  
346 corresponding to higher temperatures, effectively causing the observed negative thermal  
347 expansion along  $[010]$ . A very similar contraction on heating has been observed for kieserite  
348 (Wildner et al. 2022), where the Ca atom is completely absent and the corner oxygen atoms of  
349 the  $\text{SiO}_4$ -tetrahedron are involved in hydrogen bonding instead. Attempts to refine similarly

350 anharmonic temperature displacements using the synchrotron data collected for crystal 1  
351 below 90 K were unsuccessful, with Si becoming non-positive-definite in this case.  
352 To summarize, the accelerated softening of the  $B_g$  soft mode below 150 K and the observed  
353 softening of numerous other phonon modes below this temperature can be associated with the  
354 anomalous or negative thermal expansion effects observed in the same temperature range. The  
355 negative thermal expansion along [010] can be tentatively explained with anharmonic effects  
356 induced by the soft phonon mode. Whether these effects also drive the crystal structure into  
357 the incommensurate phase is not entirely clear yet.

358

359

### Implications

360 In stark contrast to titanite, the ground-state structure of malayaite is controlled by  
361 displacements of the A-site cation. This is clearly related to the presence of Ti at the M-site of  
362 titanite and the resulting second order Jahn-Teller effect, and its absence in malayaite  
363 respectively. Yet it is remarkable that malayaite is driven to an aperiodically ordered structure,  
364 rather than to a simpler, triclinically distorted structure, which is observed down to low  
365 temperatures in other titanite type minerals such as amblygonite,  $\text{LiAlPO}_4\text{F}$  and montebrasite,  
366  $\text{LiAlPO}_4\text{OH}$  (Groat et al. 2003).

367 Soft modes that condense at finite wavevectors ( $k \neq 0$ ), shorter than the distance to the Brillouin-  
368 Zone boundary, thus resulting in the formation of displacively modulated crystal structures, are  
369 not so common in minerals. Salje (2015) lists 26 minerals or synthetic mineral structures with  
370 known modulated crystal structures. At the time of writing a search of the ICSD yielded a total  
371 of 39 crystal structure data sets of minerals tagged as modulated (of 1040 modulated structures  
372 in total). While this list may be incomplete, the number of minerals known to have  
373 incommensurately modulated crystal structures is certainly a small percentage of the known  
374 mineral species. However, with the growing popularity and feasibility of first principle phonon  
375 dispersion calculations, more potentially soft-mode driven phase transitions to modulated

376 crystal structures in minerals may become known (Caracas and Gonze 2005). As the example  
377 of malayaite shows, even small irregularities in the frequency dispersion of low-lying phonon  
378 branches may prove indicative of such displacive phase transitions (Malcherek et al. 2020).  
379 Whether or not the incommensurately modulated structure of malayaite is preceded by a  
380 commensurately modulated (lock-in) structure (Janssen and Janner 1987) at temperatures  
381 below 20 K remains to be determined. The constant temperature evolution of the  $q$ -vector down  
382 to this temperature does not provide immediate indication for this. However, the large  
383 temperature displacement of the Ca-atom and its anisotropy subparallel to [001] indicates that  
384 its position is only loosely constrained by the titanite-framework structure. Even at 20 K,  
385 significant anisotropy of the dynamic Ca displacement remains, in spite of its aperiodically  
386 ordered distribution and the concomitant reduction of anisotropic temperature displacement.  
387 Together with the apparent excess volume at 20 K this indicates a level of structural frustration  
388 that is only partially removed by the formation of the modulated structure and may be followed  
389 by further anomalies at even lower temperatures. Apart from further structural studies below  
390 20 K, low temperature specific heat measurements may be conducive to explore such  
391 properties.

392

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533 Figure 1: Observed Raman spectra at 90 K (red and blue lines) and calculated Raman  
534 spectrum from DFPT, shifted by a factor of 1.04 (dotted). Observed modes are sequentially  
535 numbered from low to high wavenumbers. Cf. Table 1 for peak assignments. .... 29

536 Figure 2: a) Frequency of mode 1 ( $B_g$  symmetry). Errors obtained from profile fitting are  
537 smaller than the symbol size. The inset pictures two extremal positions of the associated  
538 atomic vibrations as obtained by DFPT. Their amplitude is fivefold exaggerated for clarity.  
539 The unit cell outline corresponds to the reduced cell. b) The experimentally determined  
540 malayaite crystal structure at room temperature (cryst. 1, this work) in the same orientation as  
541 in a), with harmonic displacement ellipsoids at the 95% probability level. .... 30

542 Figure 3: Frequency of Mode 2. Errors obtained from profile fitting are in the order of the  
543 symbol size. The inset pictures two extremal positions of the associated atomic vibrations,  
544 with fivefold exaggerated amplitude..... 31

545 Figure 4: Frequency of mode 5. Errors obtained from profile fitting are in the order of the  
546 symbol size. The inset pictures two extremal positions of the associated atomic vibrations,  
547 with fivefold exaggerated amplitude..... 32

548 Figure 5: Frequency of mode 3. The inset pictures two extremal positions of the associated  
549 atomic vibrations in projection along [001] (fivefold exaggerated amplitude). The unit cell  
550 outline corresponds to the reduced cell..... 33

551 Figure 6: Temperature evolution of unit cell parameters and q-vector of malayaite. Cell  
552 constants  $a$ ,  $b$ ,  $c$  and  $V$  obtained for cryst 2 have been offset in the diagrams to match those of  
553 cryst 1. Lines extrapolate the high temperature ( $T > 150$  K) behavior of cryst 2. .... 34

554 Figure 7: Reconstructed scattering intensity in the  $hk7$  layer at temperatures 20 K, 44 K, 50 K  
555 and 55 K (from left to right). .... 35

556 Figure 8: a) Modulation amplitude of Ca displacement parallel **a** (dx) and **c** (dz). b) squared  
557 Ca-displacement amplitude parallel **c**. The line has been fitted to the points in the temperature  
558 range 38 to 46K..... 36

559 Figure 9: Refined modulation amplitude of the Ca-atom along [001] for different  
560 temperatures..... 37

561 Figure 10: Anisotropic, harmonic displacement parameters for the Ca atom as a function of  
562 temperature. Straight lines have been fitted to the data of cryst 2. .... 38

563 Figure 11: Joint probability density functions of Ca (bottom) and Si (top) between 95K and  
564 295K. Numbers denote the maximum jpdf at both atomic positions. Solid lines mark positive,  
565 broken lines negative contour levels in intervals of 20. .... 39

566 Figure 12: One particle potential of the Si atom parallel to [010] at various temperatures. For  
567 clarity all potentials have been shifted to a common minimum, so that  $y'=0$  does not  
568 necessarily coincide with the average position of the Si-atom..... 39

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570



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| Mode No. | Irrep (C2/c)   | $\bar{\nu}_{\text{calc}}$ (cm <sup>-1</sup> ) | $\bar{\nu}_{90\text{K}}$ (cm <sup>-1</sup> ) |
|----------|----------------|---|--|
| 1        | B <sub>g</sub> | -31.36  | 44.93(4)                                     |
| 2        | B <sub>g</sub> | 126.18  | 136.88(1)                                    |
| 3        | A <sub>g</sub> | 159.91  | 173.54(9)                                    |
| 4        | B <sub>g</sub> | 164.19  | 181.59(6)                                    |
| 5        | B <sub>g</sub> | 198.39  | 224.77(5)                                    |
| 6        | B <sub>g</sub> | 265.66  | 291.72(10)                                   |
| 7        | A <sub>g</sub> | 279.72  | 298.50(42)                                   |
| 8        | B <sub>g</sub> | 282.76  | 305.62(15)                                   |
| 9        | A <sub>g</sub> | 309.03  | 324.89(2)                                    |
| 10       | B <sub>g</sub> | 338.60  | 345.73(10)                                   |
| 11       | A <sub>g</sub> | 335.32  | 364.67(6)                                    |
| 12       | A <sub>g</sub> | 440.55  | 447.14(14)                                   |
| 13       | A <sub>g</sub> | 503.47  | 508.59(23)                                   |
| 14       | B <sub>g</sub> | 513.87  | 516.23(4)                                    |
| 15       | B <sub>g</sub> | 533.01  | 542.82(14)                                   |
| 16       | A <sub>g</sub> | 552.36  | 574.96(1)                                    |
| 17       | B <sub>g</sub> | 721.04  | 747.39(7)                                    |
| 18       | B <sub>g</sub> | 817.11  | 853.97(7)                                    |
| 19       | A <sub>g</sub> | 828.24  | 872.38(7)                                    |
| 20       | A <sub>g</sub> | 859.48  | 896.24(27)                                   |
| 21       | B <sub>g</sub> | 913.49  | 966.70(7)                                    |

572 Table 1: Calculated and observed Raman mode frequencies (as wavenumbers).

| T<br>(K)         | a (Å)     | b (Å)     | c (Å)      | $\beta$ (°) | V(Å <sup>3</sup> ) | q <sub>y</sub> ( <b>b</b> *) | R <sub>obs</sub> (I <sub>obs</sub> >3σ(I <sub>obs</sub> )) |                  |                  | GoF  | n <sub>p</sub> |
|------------------|-----------|-----------|------------|-------------|--------------------|------------------------------|--|------------------|------------------|------|----------------|
|                  |           |           |            |             |                    |                              | N <sub>main</sub>  | N <sub>1st</sub> | N <sub>2nd</sub> |      |                |
| <b>Crystal 1</b> |           |           |            |             |                    |                              |  |                  |                  |      |                |
| 20               | 6.6628(5) | 8.8874(5) | 7.1401(6)  | 113.379(6)  | 388.10(4)          | 0.2723(5)                    | 3.28   | 2.81             | 3.64             | 1.08 | 185            |
|                  |           |           |            |             |                    |                              | 1228   | 2394             | 1045             |      |                |
| 30               | 6.6630(3) | 8.8877(5) | 7.1405(6)  | 113.382(3)  | 388.12(4)          | 0.2722(5)                    | 3.27   | 2.74             | 4.43             | 1.02 | 185            |
|                  |           |           |            |             |                    |                              | 1227   | 2383             | 878              |      |                |
| 38               | 6.6634(4) | 8.8884(6) | 7.1402(5)  | 113.385(4)  | 388.16(3)          | 0.2723(6)                    | 3.58   | 2.91             | 6.69             | 0.97 | 185            |
|                  |           |           |            |             |                    |                              | 1221   | 2332             | 558              |      |                |
| 42               | 6.6639(5) | 8.8895(4) | 7.1434(5)  | 113.389(5)  | 388.39(3)          | 0.2712(6)                    | 3.04   | 2.91             | 9.58             | 0.94 | 185            |
|                  |           |           |            |             |                    |                              | 1221   | 2152             | 151              |      |                |
| 44               | 6.6645(3) | 8.8894(5) | 7.1418(5)  | 113.388(3)  | 388.34(3)          | 0.2715(4)                    | 3.19   | 3.01             |                  | 0.92 | 68             |
|                  |           |           |            |             |                    |                              | 1220   | 2119             |                  |      |                |
| 46               | 6.6636(4) | 8.8887(5) | 7.1422(6)  | 113.391(3)  | 388.26(4)          | 0.2712(5)                    | 3.15   | 3.53             |                  | 0.83 | 68             |
|                  |           |           |            |             |                    |                              | 1200   | 1974             |                  |      |                |
| 48               | 6.6643(3) | 8.8892(5) | 7.1423(6)  | 113.392(3)  | 388.33(4)          | 0.2710(4)                    | 3.82   | 5.65             |                  | 1.27 | 57             |
|                  |           |           |            |             |                    |                              | 1196   | 1833             |                  |      |                |
| 50               | 6.6642(3) | 8.8891(5) | 7.1422(5)  | 113.390(3)  | 388.32(4)          | 0.2706(5)                    | 3.34   | 5.93             |                  | 1.04 | 57             |
|                  |           |           |            |             |                    |                              | 1203   | 1783             |                  |      |                |
| 52               | 6.6638(4) | 8.8884(6) | 7.1410(4)  | 113.397(4)  | 388.19(4)          | 0.2700(8)                    | 3.34   | 8.74             |                  | 1.10 | 57             |
|                  |           |           |            |             |                    |                              | 1210   | 1258             |                  |      |                |
| 55               | 6.6636(3) | 8.8893(6) | 7.1413(5)  | 113.394(4)  | 388.24(4)          | 0.2659(13)                   | 3.36   | 8.81             |                  | 1.0  | 57             |
|                  |           |           |            |             |                    |                              | 1180   | 765              |                  |      |                |
| 60               | 6.6627(5) | 8.8885(7) | 7.1415(7)  | 113.395(4)  | 388.16(5)          |                              | 3.17   |                  |                  | 1.04 | 41             |
|                  |           |           |            |             |                    |                              | 1219   |                  |                  |      |                |
| 70               | 6.6630(5) | 8.8879(8) | 7.1411(8)  | 113.394(5)  | 388.13(6)          |                              | 3.09   |                  |                  | 1.0  | 41             |
|                  |           |           |            |             |                    |                              | 1217   |                  |                  |      |                |
| 295              | 6.6652(6) | 8.8917(8) | 7.1498(13) | 113.352(8)  | 389.02(8)          |                              | 3.08   |                  |                  | 1.03 | 41             |
|                  |           |           |            |             |                    |                              | 1268   |                  |                  |      |                |
| <b>Crystal 2</b> |           |           |            |             |                    |                              |  |                  |                  |      |                |

|     |            |            |            |             |           |      |      |    |
|-----|------------|------------|------------|-------------|-----------|------|------|----|
| 95  | 6.6607(9)  | 8.8832(11) | 7.1382(9)  | 113.412(11) | 387.58(7) | 1.2  | 1.03 | 41 |
|     |            |            |            |             |           | 771  |      |    |
| 120 | 6.6594(10) | 8.8826(13) | 7.1394(9)  | 113.396(13) | 387.59(7) | 1.28 | 1.06 | 41 |
|     |            |            |            |             |           | 771  |      |    |
| 150 | 6.6593(10) | 8.8812(13) | 7.1402(10) | 113.395(11) | 387.57(8) | 1.16 | 1.06 | 41 |
|     |            |            |            |             |           | 785  |      |    |
| 190 | 6.6596(11) | 8.8804(8)  | 7.1425(10) | 113.347(12) | 387.82(8) | 1.19 | 1.08 | 41 |
|     |            |            |            |             |           | 787  |      |    |
| 230 | 6.6614(10) | 8.8841(9)  | 7.1436(8)  | 113.358(9)  | 388.12(6) | 1.66 | 1.14 | 41 |
|     |            |            |            |             |           | 733  |      |    |
| 295 | 6.6632(6)  | 8.8859(6)  | 7.1458(6)  | 113.343(5)  | 388.46(4) | 1.18 | 0.98 | 41 |
|     |            |            |            |             |           | 797  |      |    |

573 Table 2: Unit cell parameters and refinement details.

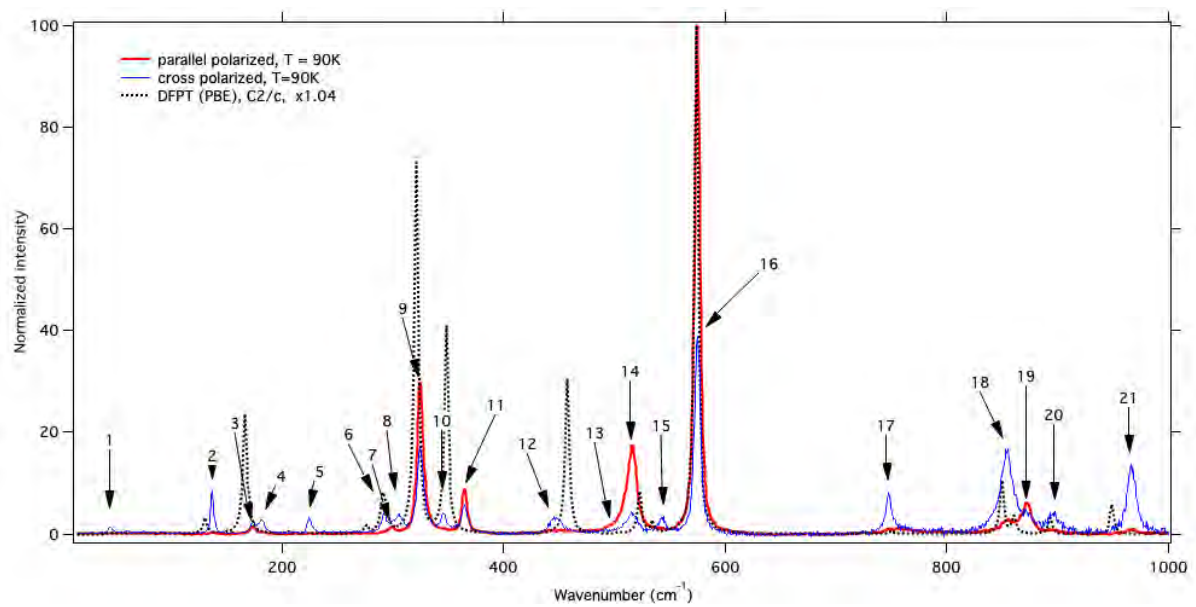
574

| T<br>(K) | R <sub>obs</sub><br>(I <sub>obs</sub> >3σ(I <sub>obs</sub> )) | C <sup>112</sup> (·10 <sup>-4</sup> ) |      | C <sup>123</sup> (·10 <sup>-4</sup> ) |          | C <sup>222</sup> (·10 <sup>-4</sup> ) |           | C <sup>233</sup> (·10 <sup>-4</sup> ) |      |
|----------|---|---------------------------------------|------|---------------------------------------|----------|---------------------------------------|-----------|---------------------------------------|------|
|          |   | Ca                                    | Si   | Ca                                    | Si       | Ca                                    | Si        | Ca                                    | Si   |
| 95       | 1.08  | 2.6(1.9)                              | 3(3) | -1.5(1.4)                             | 1.1(1.8) | -1.2(1.6)                             | -5(2)     | 5.3(1.9)                              | 2(2) |
| 120      | 1.18  | 1(2)                                  | 4(3) | -2.2(1.5)                             | 1.6(1.9) | -1.2(1.6)                             | -3(2)     | 7(2)                                  | 2(2) |
| 150      | 1.05  | 0.2(1.9)                              | 5(3) | -2.4(1.4)                             | 3.2(1.8) | -2.5(1.5)                             | -3(2)     | 7.5(1.9)                              | 2(2) |
| 190      | 1.08  | 2.1(1.9)                              | 4(3) | -2.6(1.4)                             | 2.3(1.7) | -2.1(1.6)                             | -2(2)     | 11(2)                                 | 0(2) |
| 230      | 1.47  | 7(2)                                  | 8(3) | -2.5(1.8)                             | 2(2)     | 2.3(1.7)                              | -2.0(1.9) | 20(3)                                 | 2(2) |
| 295      | 1.00  | 1.5(1.6)                              | 5(2) | 3.4(1.3)                              | 2.8(1.6) | 0.4(1.4)                              | 4.5(1.9)  | 15.7(1.8)                             | 2(2) |

575 Table 3: Refinement results with anharmonic displacement factors

576

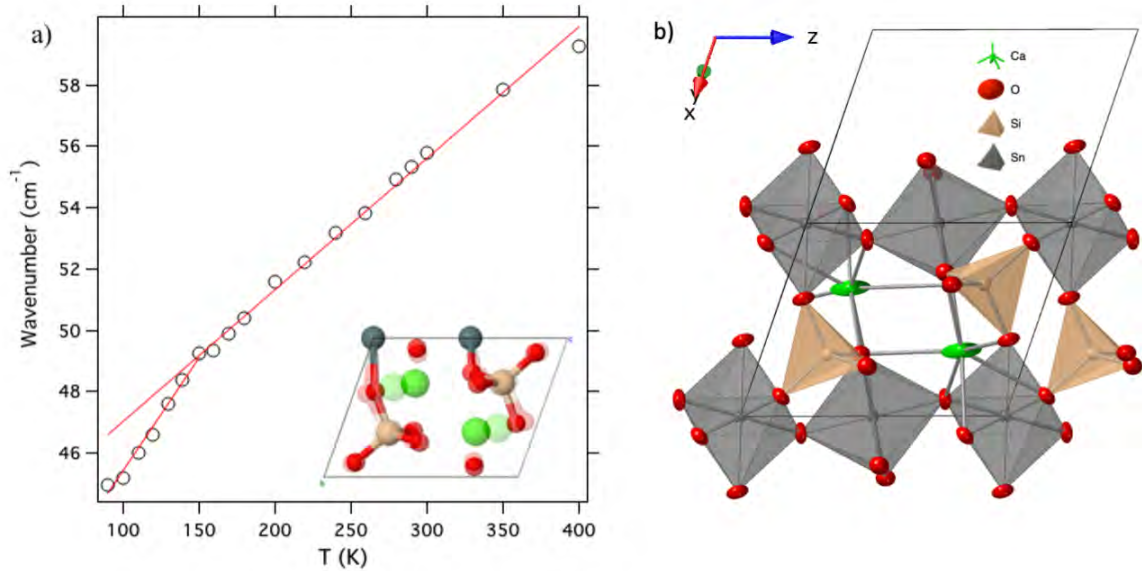
577



578

579 Figure 1: Observed Raman spectra at 90 K (red and blue lines) and calculated Raman  
580 spectrum from DFPT, shifted by a factor of 1.04 (dotted). Observed modes are sequentially  
581 numbered from low to high wavenumbers. Cf. Table 1 for peak assignments.

582



583

584

585 Figure 2: a) Frequency of mode 1 (B<sub>g</sub> symmetry). Errors obtained from profile fitting are

586 smaller than the symbol size. The inset pictures two extremal positions of the associated

587 atomic vibrations as obtained by DFPT. Their amplitude is fivefold exaggerated for clarity.

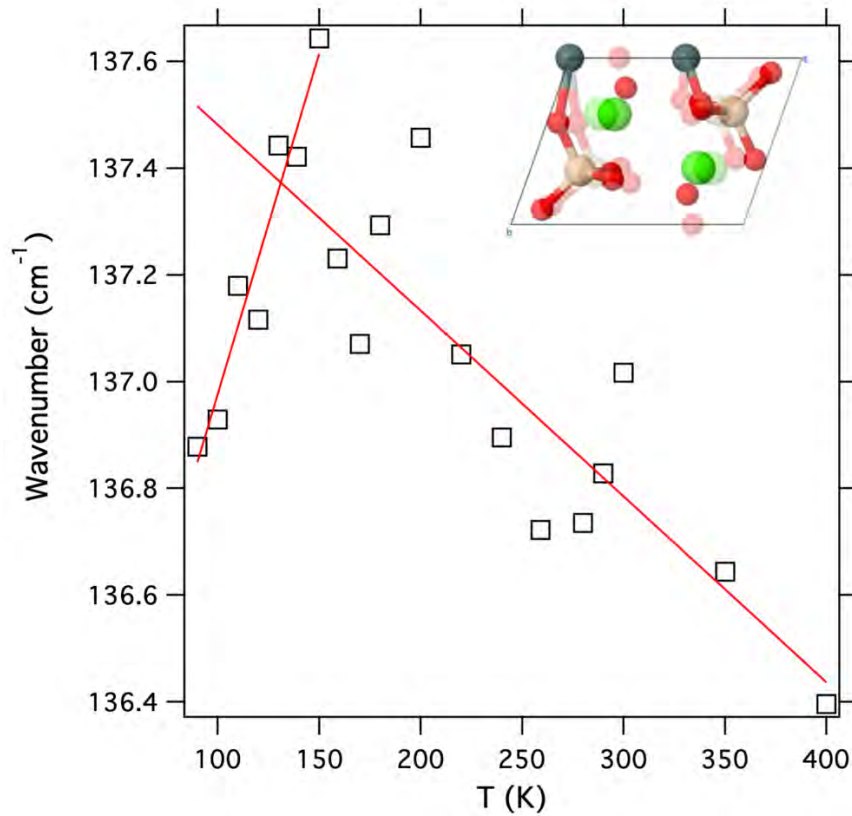
588 The unit cell outline corresponds to the reduced cell. b) The experimentally determined

589 malayaite crystal structure at room temperature (cryst. 1, this work) in the same orientation as

590 in a), with harmonic displacement ellipsoids at the 95% probability level.

591

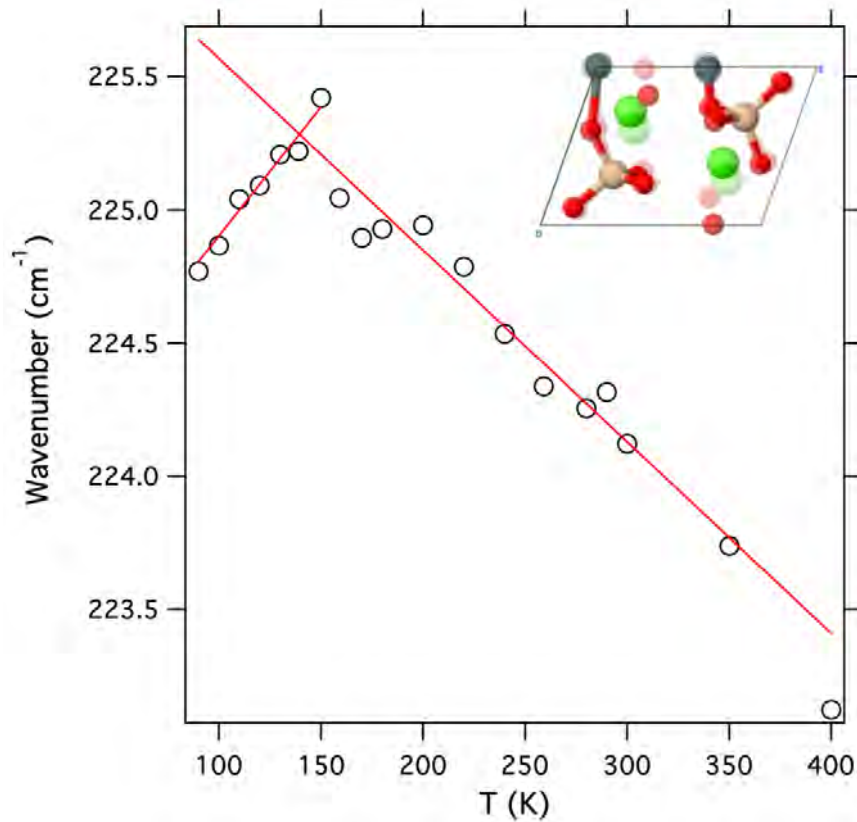
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594 Figure 3: Frequency of Mode 2. Errors obtained from profile fitting are in the order of the  
595 symbol size. The inset pictures two extremal positions of the associated atomic vibrations,  
596 with fivefold exaggerated amplitude.

597

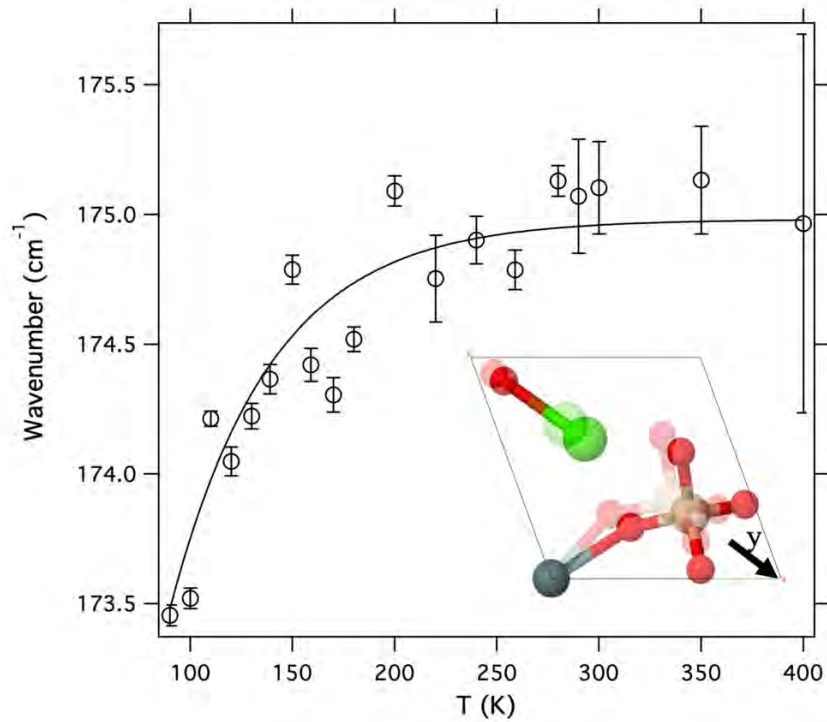


598

599 Figure 4: Frequency of mode 5. Errors obtained from profile fitting are in the order of the  
600 symbol size. The inset pictures two extremal positions of the associated atomic vibrations,  
601 with fivefold exaggerated amplitude.

602





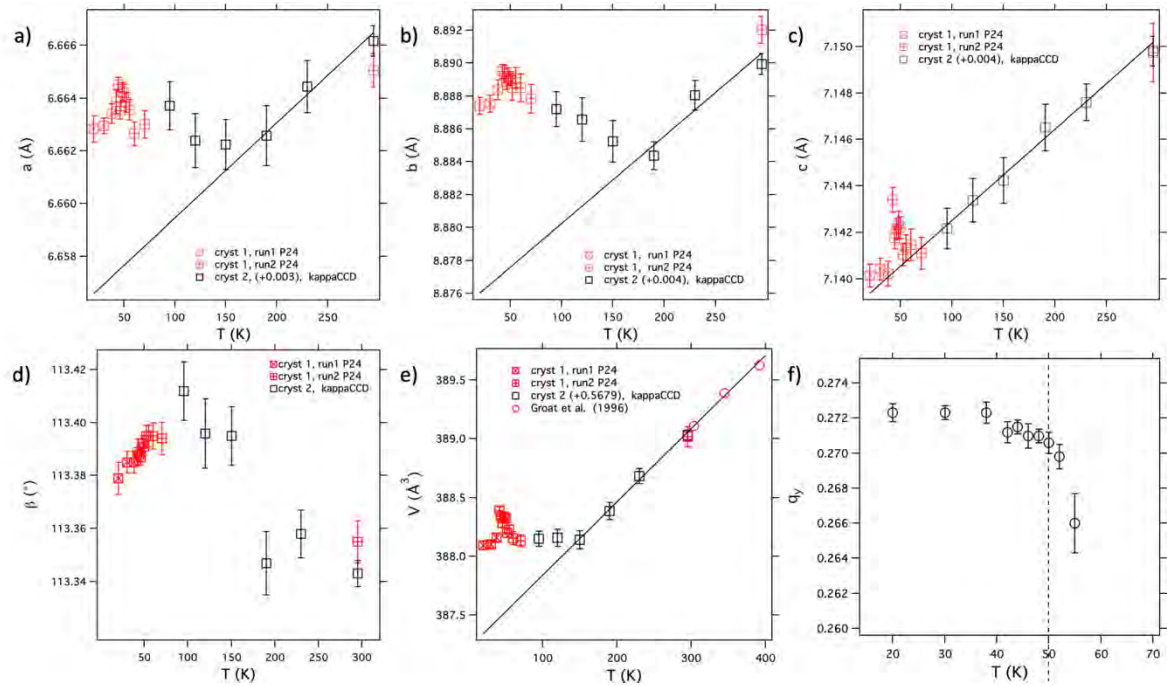
603

604 Figure 5: Frequency of mode 3. The inset pictures two extremal positions of the associated

605 atomic vibrations in projection along [001] (fivefold exaggerated amplitude). The unit cell

606 outline corresponds to the reduced cell.

607



608

609

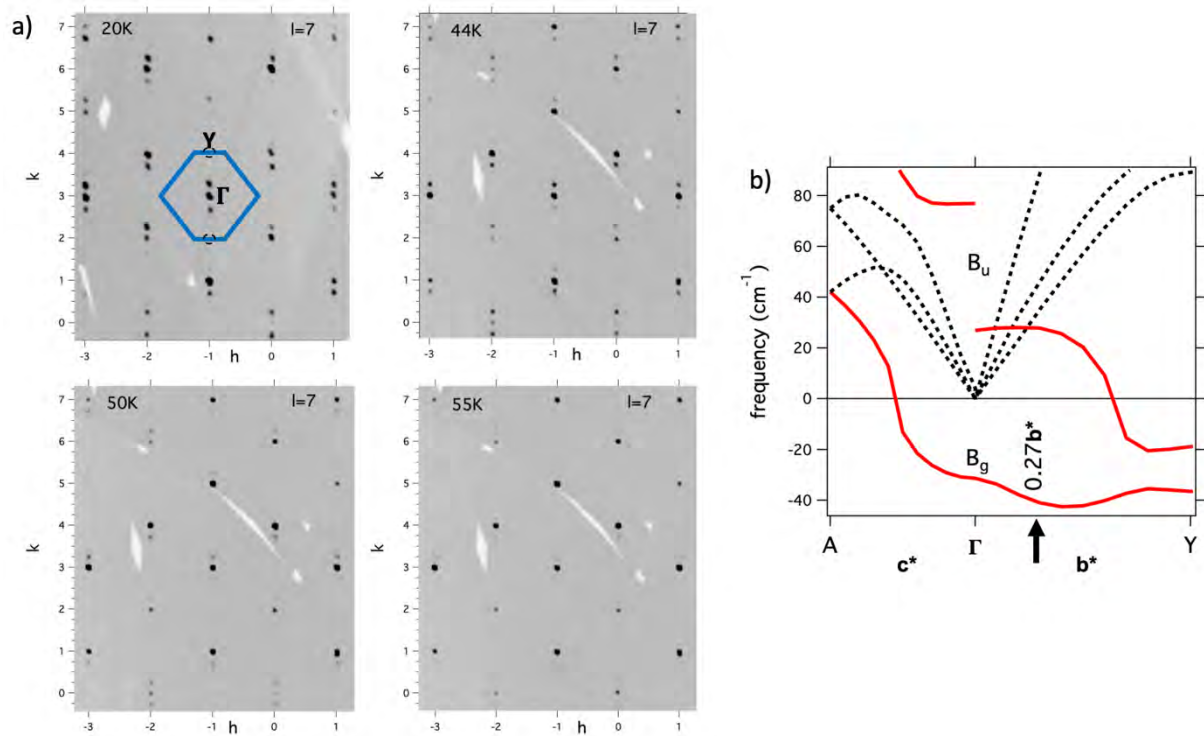
610 Figure 6: Temperature evolution of unit cell parameters and  $q$ -vector of malayaite. Cell

611 constants  $a$ ,  $b$ ,  $c$  and  $V$  obtained for cryst 2 have been offset in the diagrams to match those of

612 cryst 1. Lines extrapolate the high temperature ( $T > 150$ K) behavior of cryst 2.

613

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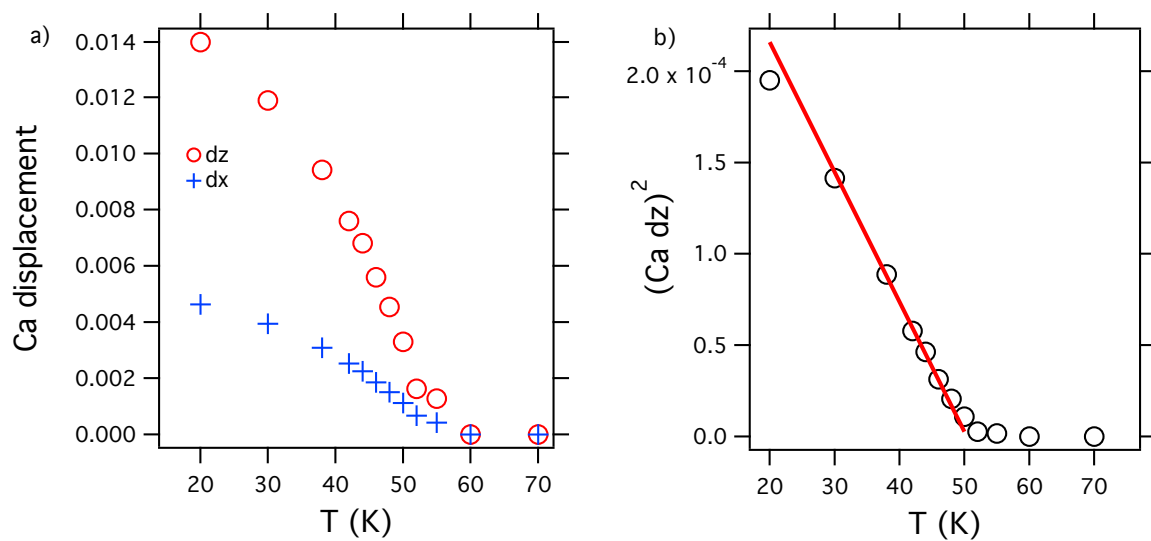


615

616

617 Figure 7: a) reconstructed scattering intensity in the  $hk7$  layer at temperatures 20 K, 44 K, 50 K  
618 and 55 K. The blue outline indicates the first BZ boundary relative to the  $\bar{1}37$  reciprocal lattice  
619 point. b) Calculated phonon dispersion parallel  $c^*$  and  $b^*$  for wavenumbers below  $90 \text{ cm}^{-1}$ .  
620 Imaginary frequencies are shown in the real negative wavenumber range. Optical phonon  
621 branches are plotted solid (red). Acoustic phonon branches are dashed (black). The observed  $q$ -  
622 vector is indicated by an arrow.

623



624

625

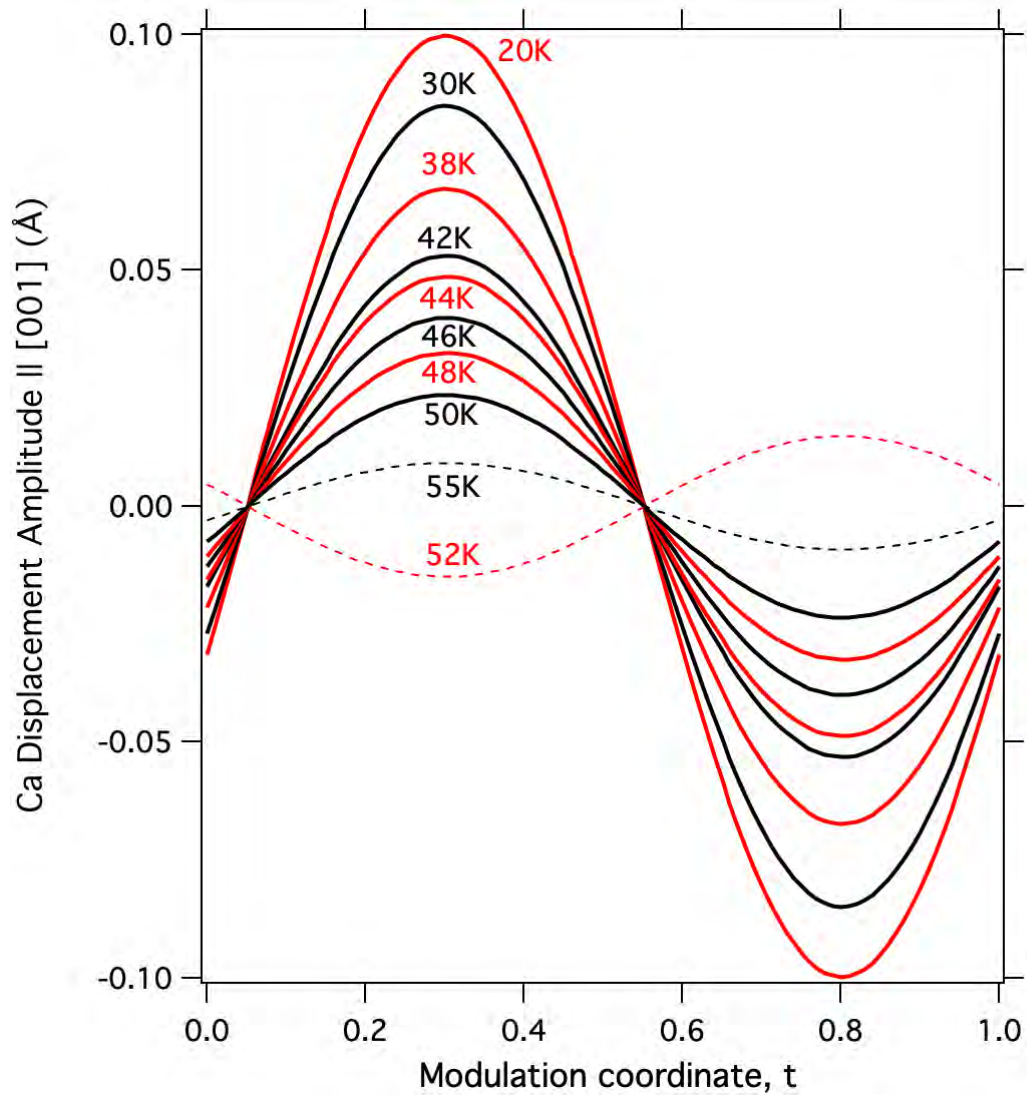
626 Figure 8: a) Modulation amplitude of Ca displacement parallel **a** (dx) and **c** (dz). b) squared

627 Ca-displacement amplitude parallel **c**. The line has been fitted to the points in the temperature

628 range 38 to 46K.

629

630

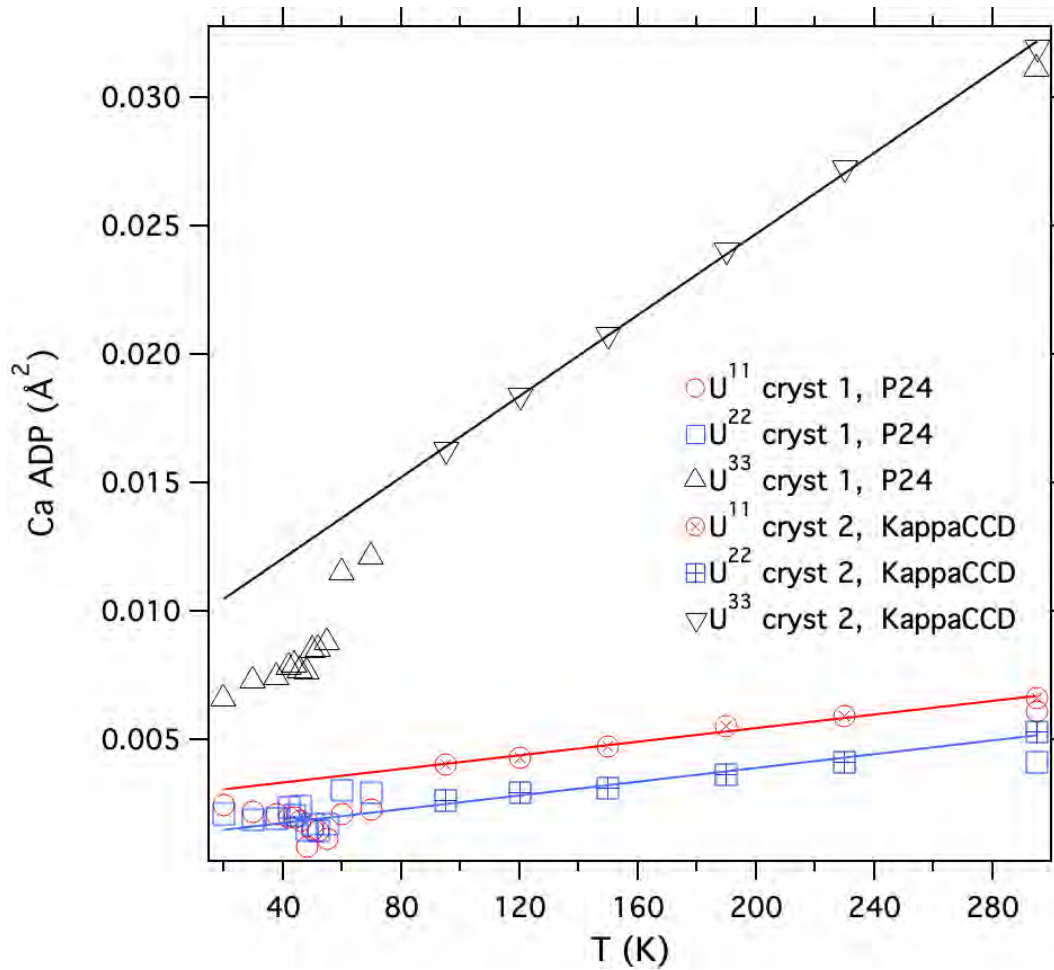


631

632

633 Figure 9: Refined modulation amplitude of the Ca-atom along [001] for different

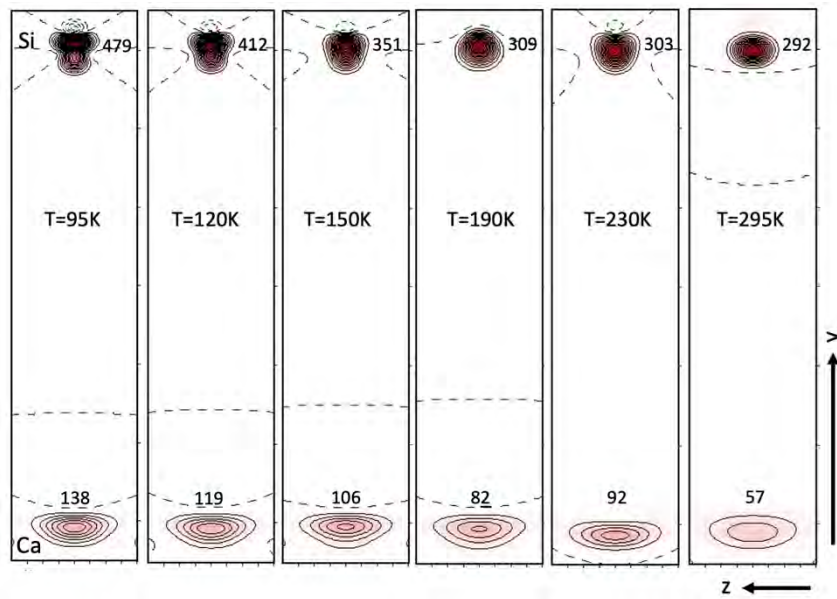
634 temperatures.



635

636 Figure 10: Anisotropic, harmonic displacement parameters for the Ca atom as a function of  
637 temperature. Straight lines have been fitted to the data of cryst 2.

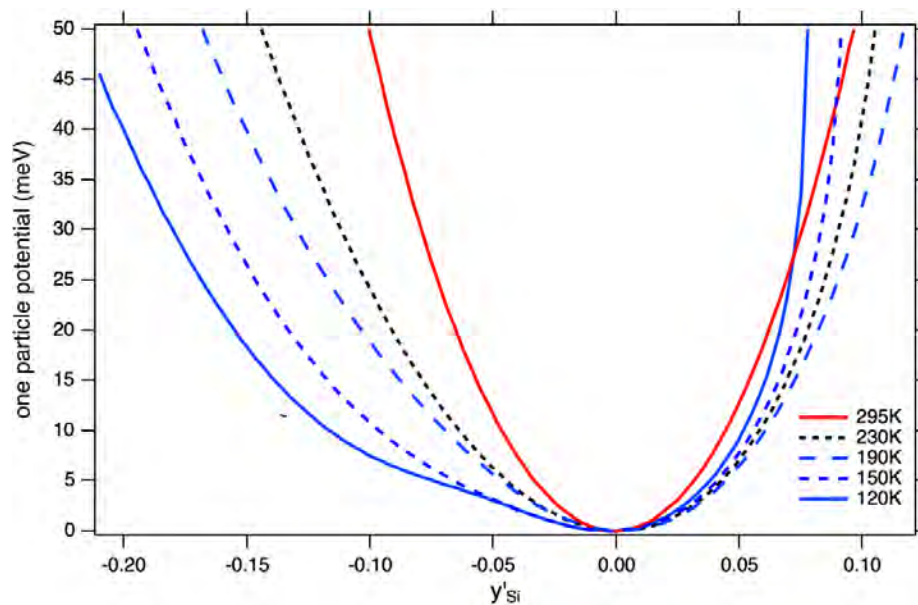
638



639

640 Figure 11: Joint probability density functions of Ca (bottom) and Si (top) between 95K and  
641 295K. Numbers denote the maximum jpdf at both atomic positions. Solid lines mark positive,  
642 broken lines negative contour levels in intervals of 20.

643



644

645 Figure 12: One particle potential of the Si atom parallel to [010] at various temperatures. For  
646 clarity all potentials have been shifted to a common minimum, so that  $y'=0$  does not  
647 necessarily coincide with the average position of the Si-atom.

648