

1 **Revision 2**

2 **Cassiterite and Sn mineralization in the giant Bayan Obo**  
3 **Fe-Nb-REE deposit, North China**

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## ABSTRACT

18       Critical rare metal deposits are strategic resources as these metals are significant  
19 for high-tech industries. Among the critical rare metals, stannum (Sn) in nature is  
20 mostly found in Sn-oxide mineral, cassiterite (SnO<sub>2</sub>), and closely associated with  
21 granite or pegmatite. Carbonatite and alkaline rocks are more likely to contain huge  
22 amounts of critical rare metals, especially REEs and niobium (Nb). We reported  
23 abundant cassiterite (SnO<sub>2</sub>) and evaluated potential Sn mineralization in the Bayan  
24 Obo Fe-Nb-REE deposit in northern China, the largest REE deposit worldwide. In  
25 this paper, evidence for the Sn enrichment in a carbonatite-hosted REE deposit is  
26 given for the first time.

27       REE-Fe ores are dominantly mined in the Bayan Obo deposit. Disseminated,  
28 banded and massive ores contain tens to hundreds ppm Sn and vein-type ores are  
29 notably rich in Sn (up to 1500 ppm). Through *in situ* micro-zonation mineralogical  
30 analyses, two occurrences of cassiterite and several Sn-rich minerals are identified in  
31 these REE-Fe ores. Abundant early-stage nanoscale cassiterite inclusions are present  
32 within magnetite grains in banded and massive REE-Fe ores, and ubiquitous late-  
33 stage granular cassiterite, Sn-rich rutile, titanite, and bafertisite are present in vein-  
34 type REE-Fe ores. Multiple U-Th-Pb dating of monazite and columbite-Mn in  
35 association with cassiterite yields peak ages of 425 Ma and 419±18 Ma, respectively,  
36 revealing coeval Sn and Nb mineralization. We concluded that Sn was derived from  
37 carbonatitic magmas, and the dense distribution of cassiterite inclusions in magnetite

38 marked the pre-enrichment of Sn in the Bayan Obo deposit. Subsequent Early  
39 Paleozoic hydrothermal events led to the reactivation and further Sn mineralization.  
40 Similar to Nb, Sn was mineralized in the Bayan Obo deposit probably to form  
41 economically important resources. Our study highlights the potential of Sn  
42 mineralization associated with carbonatite-hosted REE deposits.

43 **Keywords:** critical rare metals; cassiterite; Sn mineralization; Bayan Obo deposit;  
44 TEM; geochemical characteristics; U-Th-Pb Dating; SIMS

## 45 INTRODUCTION

46 Stannum (Sn), a versatile and economically significant metal, is vital in modern  
47 times. Its applications include traditional uses in soldering and plating and high-tech  
48 industries such as electronics and renewable energy. Cassiterite (SnO<sub>2</sub>) is the most  
49 common Sn oxide mineral and the primary ore mineral for Sn extraction. In nature, Sn  
50 mineralization is associated mainly with granites, pegmatites, and related metasomatic  
51 and hydrothermal systems (e.g., greisens and quartz veins) (Lehmann, 2021) but rare  
52 in other rocks.

53 The mantle-derived carbonatites and carbonatite-related deposits are well known  
54 to be the primary sources of the world's rare earth elements (REEs), and alkaline-  
55 carbonatite complex-related deposits are rich in niobium (Nb), as well as phosphate  
56 (P), iron (Fe), and fluorine (F) (Smith et al. 2015; Simandl and Paradis 2018; Beland  
57 and Williams-Jones 2021; Verplanck et al. 2022). However, other critical metals in

58 carbonatites or in carbonatite-hosted deposits are still poorly understood. Whereas  
59 REEs and Nb are well studied as enriched in specific carbonatite-hosted deposits,  
60 adequate data on the occurrences and mineralization potentials of other critical metals  
61 in such deposits are lacking.

62 The Bayan Obo REE-Fe deposit in Inner Mongolia, China, is hosted in  
63 carbonatites, where intense magmatic differentiation of carbonatite is deemed to  
64 facilitate the great REE accumulation (Yang et al. 2019). Multi-stage superposition of  
65 magmatism, hydrothermal alteration, and metamorphism has influenced the  
66 distribution and characteristics of minerals within the deposit (Song et al. 2018; Yang  
67 et al. 2019; Liu et al. 2020; She et al. 2021). Major mineralization events in the  
68 deposit, i.e., Nb mineralization, proceed from magmatic to hydrothermal  
69 crystallization (Campbell et al. 2014; Smith et al. 2015; Fan et al. 2016; Liu et al.  
70 2020). The immense REE mineralization initially took place at around 1.3 Ga in  
71 association with carbonatite magmatism (Yang et al. 2011a; Fan et al. 2014; Yang et  
72 al. 2017; Li et al. 2021). In addition, at least two younger magmatic-hydrothermal  
73 events at around 430 Ma and 270 Ma subsequently contributed to the mineralization  
74 through leaching and reactivation of primary minerals (Yang et al. 2011a, 2017;  
75 Campbell et al. 2014; Fan et al. 2014; Ling et al. 2014; Li et al. 2021). Although  
76 REEs, especially light rare earth elements (LREEs), niobium, and iron have long been  
77 recognized as the prime mining resources in the Bayan Obo deposit, we find another  
78 valuable hidden resource within this world-class deposit, Sn mineralization, mainly in

79 the form of cassiterite.

80 This study describes the distribution of cassiterite and other Sn-rich minerals  
81 among REE-Fe ores, and reveal the genesis of Sn mineralization in the Bayan Obo  
82 deposit. We also report precise ages of monazite and parisite associated with  
83 cassiterite. Combining all the dating results, we confirm a link between Sn  
84 mineralization and other major mineralization events in the Bayan Obo deposit,  
85 indicating a potential for Sn resources. By elucidating the nature of Sn mineralization  
86 within the Bayan Obo deposit, this study could enhance the understanding of  
87 processes that govern Sn enrichment in similar geological settings, and has practical  
88 implications for resource assessment, mining strategies, and the sustainable  
89 exploitation of Sn resources in carbonatite-hosted REE deposits.

## 90 **MATERIALS AND ANALYTICAL METHODS**

### 91 **Sample collection**

92 Several typical REE-Fe ores from the Bayan Obo deposit have been investigated  
93 in detail in this work. All the samples were collected from the Main, East, and West  
94 Open Pits (see below). Samples DK19-, DK20-, XK19-, XK20-, 17BY-, ZK1917,  
95 ZK1918 and ZK1919 were collected at the bottom of the open pits, and ZK1932 from  
96 a drill hole in the Main Open Pit. The hand specimens are representative ore blocks,  
97 comprising the disseminated ores, the banded ores, the massive ores and the vein-type  
98 ores. Detailed features of hand specimens are shown in Figure 2.

## 99 **Whole rock trace element analysis**

100 Whole-rock trace element compositions of different types of ore from the Bayan  
101 Obo deposit were determined using inductively coupled plasma mass spectrometry  
102 (ICPMS) at FocuMS Technology, Nanjing, China and the ALS Laboratory Group,  
103 Guangzhou, China. About 40 mg powder was mixed with 0.5 ml 60 wt.% HNO<sub>3</sub> and  
104 1.0 ml 40% HF in high-pressure PTFE bombs. These bombs were steel-jacketed and  
105 placed in the oven at 195°C for 3 days to ensure complete digestion. After cooling, the  
106 bombs were opened, dried down on a hotplate, re-dissolved with 5 ml 15 wt.% HNO<sub>3</sub>  
107 and 1ml Rh internal standard, then sealed and placed in the oven at 150°C overnight.  
108 Aliquot of the digestions (dilution factor 2000) were nebulized into Agilent  
109 Technologies 7700x quadrupole ICP-MS (Tokyo, Japan) to determine trace elements.  
110 Geochemical reference materials of USGS: basalt (BCR-2, BHVO-2), andesite (AVG-  
111 2), rhyolite (RGM-2), granodiorite (GSP-2) were treated as quality control. Measured  
112 values of these reference materials were compared with preferred values in GeoReM  
113 database (Jochum and Nohl, 2008). Deviation were better than 20% for trace elements  
114 between 0.5 ~ 5 ppm, better than 10% for these between 5 ~ 50 ppm, and better than  
115 5% for these exceeded 50 ppm.

## 116 **EDS-equipped scanning electron microscope investigation of minerals**

117 Textural and petrographic features of samples were investigated by scanning  
118 electron microscope (SEM), using the Zeiss Supra55 field emission scanning electron

119 microscope (SEM) equipped with an Oxford energy dispersive spectrometer (EDS)  
120 and a Gatan Mono CL<sup>3+</sup> detector. The mineralogical mapping was acquired using  
121 Advanced Mineral Identification and Characterization System (AMICS), using the  
122 Zeiss Sigma 300 field emission scanning electron microscope (SEM) with a Bruker  
123 XFlash detector at Nanjing University, China. Backscattered electron (BSE) and  
124 energy dispersive (EDS) data are collected on an irregular grid below 5  $\mu\text{m}$  point  
125 spacing in the vacuum mode. Acceleration voltage of 20 kV and a beam current of 10  
126 nA were used during the data acquisition. The individual spectra from points were  
127 grouped based on a similarity search algorithm, and areas of coherent BSE and EDS  
128 data were merged to produce segments (i.e., mineral grains). Data from each segment  
129 were then compared against a classification scheme to identify the mineral and assign  
130 its density. The results were plotted as a map showing the distribution of minerals  
131 within the sample.

### 132 **Electron microprobe analyses of minerals**

133 Chemical compositions of minerals were analyzed using the JEOL 8530 field-  
134 emission electron microprobe in Nanjing University. Optimized operation conditions  
135 were applied: accelerating voltage of 15 kV, beam current of 20 nA, and beam  
136 diameter of 2  $\mu\text{m}$ , counting times of 20 seconds on each peak and half time for  
137 backgrounds. All data were updated using standard ZAF correction procedures. The  
138 ZAF correction compares the sample-to-sample differences in atomic number effects  
139 ( $Z$ ) on incident electrons (including backscattering and blocking principal) as well as

140 absorption (A) and fluorescence (F) effects on X-rays to find the corresponding  
141 correction factor, and then converts the X-ray intensity ratio to elemental content.

#### 142 **Transition electron microscope identification of minerals**

143 Magnetite microstructure investigation was carried out with transition electron  
144 microscope (TEM), using a FEI Tecnai G2 F30 instrument equipped with a Lorentz  
145 lens, a Bruker XFlash detector and a Fischione high-angle annular dark-field  
146 (HAADF) detector at Electron Microscopy Center of Lanzhou University (LZU) of  
147 China.

#### 148 **Laser Ablation – Inductively Coupled Plasma – Mass Spectrometer (LA-ICP- 149 MS) analyses**

150 Trace element composition of magnetite, U-Th-Pb ages of monazite and  
151 columbite-Mn were determined *in situ* by laser ablation inductively coupled plasma –  
152 mass spectrometry (LA-ICP-MS), using a system consisting of ASI RESolution S-  
153 155 193 nm ArF Excimer laser coupled to Thermo Scientific iCAP Qc quadrupole  
154 ICP-MS at the State Key Laboratory for Mineral Deposits Research at Nanjing  
155 University of China. The trace element analyses were obtained using a laser beam  
156 diameter of 43  $\mu\text{m}$ , maximum energy density of 100 mJ and ablation rate of 4 Hz. The  
157 dating analyses were obtained from polished sections using a laser beam diameter of  
158 19  $\mu\text{m}$ , maximum energy density of 75 mJ and ablation rate of 3 Hz.

#### 159 **Secondary ion mass spectrometry (SIMS) analyses of U-Th-Pb dating**



160 *In situ* monazite and parisite U-Th-Pb dating was conducted by secondary ion  
161 mass spectrometry (SIMS), using a Cameca IMS-1280 instrument at Nanjing  
162 University, China. The sample mounts were coated with about 30 nm of high-purity  
163 gold to reach  $< 20 \Omega$  resistance. Positive secondary ions were extracted with a 10 kV  
164 potential. Sample charging effects were minimized by optimizing the energy offset to  
165 maximum transmission in a 60 eV energy window at the start of each analysis, using  
166 the  $\text{Th}^+$  and  $\text{Y}_2\text{O}^+$  as reference peaks for monazite and parisite, respectively. The size  
167 of the ellipsoid analyzed spot was  $15 \mu\text{m} \times 10 \mu\text{m}$ . The monazite U-Th-Pb analyses  
168 calibrated against RW-1 standard ( $^{207}\text{Pb}/^{235}\text{U}$  age =  $904.15 \pm 0.26$  Ma [ $2\sigma$ ], Th =  $11.8$   
169  $\pm 1.0$  wt.% [ $2\sigma$ ], and Th/U =  $42.5 \pm 3.0$  [ $2\sigma$ ]). Monazite MAD and M6 were employed  
170 as secondary standards. Parisite grains were mounted together with standard Nist-610  
171 and K-9 for accuracy monitoring. A repeatability of 1.5% ( $1\sigma$  RSD) was derived from  
172 the measurement of standards. Detailed analytical procedures are the same as those  
173 described in (Li et al. 2013) and (Li et al. 2020).

## 174 **DEPOSIT GEOLOGY**

175 The Bayan Obo REE-Nb-Fe deposit is located at the northern margin of the  
176 North China Craton, south of the Central Asian Orogenic Belt (Yang et al. 2011b),  
177 about 150 km north of Baotou City in northern China. The deposit is hosted by rocks  
178 of Paleo- to Mesoproterozoic Bayan Obo Group, which is unconformably underlain  
179 by a basement complex of the North China Craton (Zhu et al. 2015; Fan et al. 2016a).

180 Proterozoic carbonatite dikes, Permian gabbroic, and granitic plutons are widespread  
181 (LeBas et al. 1992; Yang et al. 2011a, 2011b; Ling et al. 2014; Zhang et al. 2017). The  
182 H8 dolomite unit confined to the south of the Kuangou anticline hosts the majority of  
183 orebodies. A narrow ore belt, with an area of  $\sim 48 \text{ km}^2$ , lies in the east–west direction  
184 (about 18 km long and 2 ~ 3 km wide). Several REE-Fe orebodies varying in size  
185 have been recognized throughout this area, among which are the three largest  
186 orebodies, i.e., the Main, East and West Orebodies, being located in the central part of  
187 the ore-bearing belt (Fig. 1). Iron ores are the primary commodities from orebodies,  
188 followed by REE-Fe ores, REE ores and dolomites.

## 189 RESULTS

### 190 REE-Fe ore types and mineral assemblages

191 The giant Bayan Obo deposit was formed through multi-stage mineralization.  
192 Consequently, REE-Fe ores have complex textures and mineral assemblages (Table  
193 1). REE-Fe ores can be classified into four main types, namely disseminated, banded,  
194 massive, and vein-type ores (Fig. 2). Generally, disseminated ores formed slightly  
195 earlier than other types. The disseminated ores occur in the orebodies diffusely, and  
196 are mainly composed of dolomite, with minor presences of evenly distributed  
197 magnetite, fluorite, bastnaesite and monazite (Fig. 2a, e). Banded ores are composed  
198 of alternating bands of deep purple fluorite, magnetite, greenish aegirine, and yellow  
199 bastnaesite (Fig. 2b). These bands are thin and narrow in hand specimens, usually less

200 than one centimeter in width (Fig. 2b, f). Massive ores are dominantly composed of  
201 magnetite, minor fluorite and aegirine, and a few variably distributed REE minerals  
202 (Fig. 2c, g). The vein-type ores are usually formed later than other types, sometimes  
203 penetrate or crosscut other types of ores, and are more complex in mineral  
204 assemblages of fluorite, calcite, apatite, aegirine and so on (Fig. 2d, h).

### 205 **Sn concentrations of REE-Fe ores**

206 Whole-rock chemical analyses of REE-Fe ore samples from the Bayan Obo  
207 deposit were carried out by ICP-MS, and the full dataset is available in the Appendix.  
208 Table A1. Sn contents of the ores are positively correlated with the Nb contents (Fig.  
209 3a), but are not strongly correlated with REE contents, such as La (Fig. 3c). Stannum  
210 is particularly enriched in late-formed vein-type ores (Fig. 3b, d). Disseminated ores  
211 contain the lowest Sn (9 ~ 27 ppm, average of 15 ppm), and banded ores have overall  
212 higher Sn contents ranging from 13 to 151 ppm, with an average of 62 ppm. Sn  
213 contents in massive ores are variable from 17 to 200 ppm, with an average of 62 ppm.  
214 One massive ore (ZK1918) composed of magnetite, aegirine, fluorite and calcite has  
215 200.21 ppm Sn. Notably, the aegirine veins contain over 200 ppm Sn and those with  
216 numerous bafertisite grains (DK2003) contain the highest Sn up to 1515 ppm among  
217 all the analyzed samples in this study (Table 1).

### 218 **Mineralogy**

#### 219 *Magnetite associated with cassiterite*

220 Magnetite is the main ore mineral in REE-Fe ores, particularly in massive ores.  
221 Although cassiterite is rarely reported in carbonatites in literature, magnetite with the  
222 appearance of nano to micron-sized cassiterite inclusions is commonly observed in  
223 the Bayan Obo REE-Fe ores (Fig. 4a), which is an exotic feature that is worth further  
224 studying. Through high-resolution backscattered electronic observation, we  
225 recognized some porous domains of magnetite grains in massive ores. Moreover,  
226 cassiterite grains included in these porous domains tends to be more than 10  $\mu\text{m}$  in  
227 size, distinctly larger than that occurring in relatively intact domains of the same  
228 magnetite grains (Fig. 6c). This feature suggests that these magnetite grains  
229 underwent hydrothermal alteration and the hydrothermal activities promoted Sn  
230 enrichment. As a different occurrence, magnetite may also be observed as small  
231 discrete euhedral crystals with well-developed {111} faces in association with  
232 clustered cassiterite (Fig. 4c) in vein-type ores of late stage.

233 EPMA results indicate slight variations in chemical compositions between  
234 granular magnetite aggregates (massive magnetite) and discrete magnetite grains (Fig.  
235 5). LA ICP-MS analyses show that massive magnetite grains with no mineral  
236 inclusions have Sn contents of only tens ppm (below 30 ppm), confirming the Sn  
237 depletion in this type of magnetite (Table A2). Small discrete magnetite grains exhibit  
238 slightly higher Sn (0.07 ~ 0.23 wt.%), Ti (0.40 ~ 1.29 wt.%), and Ca (0.24 ~ 1.37  
239 wt.%) and lower Mn than the dominant massive magnetite grains (0.06 ~ 0.16 wt.%  
240 Mn) (Table A3). Changes in trace element contents of the two magnetites also reflect

241 further Sn enrichment in the later mineralization process.

## 242 *Cassiterite*

243 Cassiterite (SnO<sub>2</sub>) is the most common and economically important Sn-bearing  
244 ore mineral in nature. In this study, cassiterite, usually in two forms, is widely present  
245 in massive and vein-type REE-Fe ores from the Bayan Obo deposit. One is micron-  
246 sized cassiterite inclusions dispersed within magnetite grains, and the other is tens of  
247 micron-sized clusters associated with hydrothermal minerals veins consisting of  
248 fluorite, apatite, calcite, or aegirine (Fig. 6).

249 Across all REE-Fe ore types, cassiterite inclusions in magnetite are anhedral and  
250 less than one micrometer; they occur sporadically in some magnetite grains from  
251 disseminated ores but are abundant within magnetite grains from massive and banded  
252 ores (Fig. 6a-c). Nb-bearing ilmenite or Sn-bearing rutile are also occasionally  
253 identified near cassiterite inclusions (Fig. 4a). At least 75 micro-grains of cassiterite  
254 (smaller than 1 μm) without clear orientation are counted in a small area of about 0.05  
255 mm<sup>2</sup> within the massive magnetite from the sample ZK1918 (Fig. 6b). Further TEM  
256 study was conducted on ion milling system-assisted foils of magnetite with cassiterite  
257 inclusions and, from the perspective of crystal structure, confirmed the existence of  
258 tiny cassiterite. HRTEM imaging identified local crystal orientations notably with *d*-  
259 spacings of 2.654 Å (101), 2.366 Å (200), 1.672 Å (220) and 2.308 Å (111) for  
260 cassiterite, and *d*-spacing of 2.709 Å (104) for ilmenite, respectively (Fig. 4b). In  
261 addition, the fast Fourier transform (FFT) image indicated the crystalline cassiterite.

262 Lattice fringe images in the contact area of cassiterite and magnetite show a gradual  
263 transition, indicating simultaneous formation rather than later mechanical mixing  
264 (Fig. 4d). Dense cassiterite inclusions formed at the same time as massive magnetite  
265 mark the pre-enrichment stage of Sn mineralization.

266 Granular cassiterite in banded, massive, and vein-type ores typically occurs as  
267 clusters from tens to hundreds of microns in size (Fig. 6a, d, e). These clustered  
268 cassiterite grains are closely associated with aegirine, amphibole, fluorite, or calcite,  
269 and together form veinlets with variable mineral assemblages that crosscut the  
270 magnetite grains. In this case, the individual cassiterite grains are subhedral, tens of  
271 microns in size, and closely coexisting with other REE-Nb minerals (commonly  
272 bastnaesite, monazite, columbite, and pyrochlore). Clustered cassiterite grains may  
273 also densely accumulate in association with Fe-Ti oxides (ilmenite and rutile) (Fig.  
274 7a, b). The zoned internal texture of cassiterite grains is revealed by  
275 cathodoluminescence (CL) imaging (Fig. 8). As shown in Table 2 (compiled in Table  
276 A4), clustered cassiterite grains usually contain trace amounts of Ti, Nb, Fe and Mn.  
277 In the Nb + Ta vs Fe + Mn diagram (Fig. 8), all these cassiterite grains are vertically  
278 scattered on the left side with higher Fe + Mn than Nb + Ta, plotting within the field  
279 of hydrothermal origin. The genesis of clustered cassiterite indicates the promotion of  
280 Sn enrichment by hydrothermal activities in the Bayan Obo deposit.

#### 281 ***Sn-rich rutile***

282 Nb-rich variety of rutile (TiO<sub>2</sub>), or sometimes ilmenorutile [(Ti,Nb,Fe)O<sub>2</sub>], has a

283 widespread occurrence in REE-Fe ores from the Bayan Obo deposit. In massive ores,  
284 rutile may occur as subhedral crystal aggregates of about 100  $\mu\text{m}$ , coexisting with tiny  
285 cassiterite grains (Fig. 7a, b). In vein-type ores, several veinlets of rutile, tens of  
286 microns wide and several millimeters long, can be observed in penetrating aegirine  
287 grains (Fig. 7c). Sn may substitute for Ti, Fe or Nb in the mineral composition.  
288 Chemically, rutile may contain up to 3.36 wt.%  $\text{SnO}_2$  (0.30 ~ 3.36 wt.%  $\text{SnO}_2$ , Table  
289 A5-1) and ilmenorutile contains  $\text{SnO}_2$  as high as 6.58 wt.% (2.65 ~ 6.58 wt.%, Table  
290 A5-2).

#### 291 ***Sn-rich titanite***

292 Titanite,  $\text{CaTi}(\text{SiO}_4)\text{O}$ , usually appears around Sn-bearing ilmenite in vein-type  
293 ores and sometimes shows a zoning texture characterized by alternating brighter and  
294 darker strings. Single titanites are variable in  $\text{SnO}_2$ , and the brightness corresponds  
295 well with  $\text{SnO}_2$  concentrations: the brighter zones have 9.70 ~ 10.69 wt.%  $\text{SnO}_2$ ,  
296 much higher than that of the darker area (0.69 ~ 2.93 wt.%) (Fig. 7d, Table A6). The  
297 ion radius of  $\text{Sn}^{2+}$  ion is close to that of  $\text{Ca}^{2+}$ , so substitution of homomorphism is  
298 obvious among titanite.

#### 299 ***Sn-rich bafertisite***

300 Bafertisite, ideally  $\text{Ba}_2\text{Fe}^{2+}_4\text{Ti}_2(\text{Si}_2\text{O}_7)_2\text{O}_2(\text{OH})_2\text{F}_2$ , is an important Sn-rich  
301 mineral phase typifying the vein-type ores. It may occur as narrow veinlets only  
302 below 300 microns wide but extending to tens of centimeters long (Fig. 7e) and  
303 typically coexists with other Ba-bearing minerals such as baryte, baotite, and

304 cordylite. Bafertisite also appears as fibrous aggregates (Fig. 7f).  $\text{Sn}^{2+}$  will substitute  
305 for divalent iron ( $\text{Fe}^{2+}$ ) in the mineral composition. This mineral generally contains  
306 moderate Sn exceeding 2 wt.% (2.70 ~ 4.40 wt.%  $\text{SnO}_2$ ), and fiber bafertisite is much  
307 richer in Sn with up to 16.68 wt.%  $\text{SnO}_2$  (9.80 ~ 16.68 wt.%), approaching a Sn-  
308 analogue of bafertisite (Table A7).

### 309 **Constraints on the timing of Sn mineralization**

310 Cassiterite grains observed in Bayan Obo are too small to be *in situ* dated with  
311 LA-ICP-MS or SIMS techniques. Instead, their coexisting and cogenetic REE  
312 minerals (monazite and parasite) from vein-type ores (Fig 9), and Nb mineral  
313 (columbite-Mn) from massive ores were subjected to geochronological work on Sn  
314 mineralization in the Bayan Obo deposit (Fig. 10).

315 Th-Pb ages tested on thirty monazite grains by LA-ICP-MS range from 375 to  
316 663 Ma (Fig. 10a, Table A8). This mineral, co-existing with cassiterite, was also  
317 targeted for SIMS dating, yielding an age spectrum of 281 ~ 932 Ma (Fig. 10c, Table  
318 A9). Modeled by frequency distribution plot, the monazite ages obtained by the two  
319 methods gave the same peak age of 425 Ma (Fig. 10b, d). *In situ* LA-ICP-MS dating  
320 for thirteen large grains of columbite-Mn associated with cassiterite, directly analyzed  
321 on thin sections, yielded a similar lower-intercept age of  $419 \pm 18$  Ma (Fig. 10e, Table  
322 A10) and a weighted average age of  $426 \pm 26$  Ma (Fig. 10f). Besides, another younger  
323 peak age of 275 Ma was also obtained by SIMS dating on fifty parasite grains (Fig.  
324 10g, h, Table A11). The dating results denote the connection between Sn enrichment



325 and Early Paleozoic hydrothermal activities in the Bayan Obo deposit.

326 The generation or formation of both the ore-hosting dolomite and local  
327 carbonatite dykes shared similar ages ranging from 1.4 to 1.2 Ga, indicating a  
328 Mesoproterozoic REE mineralization event related to carbonatite intrusion (Yang et  
329 al. 2011a; Fan et al. 2014; Li et al. 2021). The subsequent Paleozoic hydrothermal  
330 events had caused two significant geological processes including the subduction at  
331 approximate 430 Ma or the granite intrusion at approximate 270 Ma, both of which  
332 would lead to the local remobilization (Yang et al. 2011a, 2017; Campbell et al. 2014;  
333 Fan et al. 2016 ; Ling et al. 2014; Liu et al. 2020). Hence, hydrothermal activity leads  
334 to the reactivation of Sn and the formation of diverse Sn-rich minerals.

## 335 **DISCUSSION**

### 336 **Two different generations of cassiterite in the Bayan Obo deposit**

337 Cassiterite ( $\text{SnO}_2$ ) is an essential ore mineral in Sn deposits but has not been  
338 previously noted in Bayan Obo. For the first time, we report abundant cassiterite in  
339 this carbonatite-hosted Fe-REE-Nb deposit and demonstrate the presence of Sn  
340 mineralization. The occurrence modes of cassiterite argue for two distinctive  
341 environments of crystallization.

342 In the Bayan Obo deposit, micron-sized inclusions of cassiterite are restricted  
343 within massive magnetite. These two oxide minerals, cassiterite and magnetite,  
344 appears to have different crystallographic affinity (tetragonal versus cubic). However,

345 their co-existence has been reported in at least two type of Sn deposits, granite-related  
346 and skarn-type deposits, where the occurrence of cassiterite in magnetite has been  
347 normally interpreted as an exsolution product (Wang et al. 2012, 2013). However, in  
348 our present study, TEM microstructural observations provide strong evidence for the  
349 simultaneous crystallization of cassiterite inclusions within the host magnetites.  
350 Furthermore, magnetite forms under high- $fO_2$  conditions and is a sensible indicator of  
351 the oxidized environment as simply known (Slack et al. 2020). On the other hand,  
352 experiments demonstrated that dominates Sn in melts relative to  $Sn^{2+}$ : therefore, the  
353 enrichment of Sn as cassiterite from melt also needs higher  $fO_2$  (Bhalla et al. 2005;  
354 Slack et al. 2020). This coincidence of oxidized conditions in melts may interpret the  
355 intergrowth of cassiterite and magnetite in Sn deposits. As discussed above, our  
356 observation could prove the co-crystallization of cassiterite with the host magnetite.  
357 Columbite, Sn-bearing rutile, and monazite were also trapped in magnetite, which  
358 supports a similar formation environment for magnetite and other Nb-Sn-REE  
359 minerals. Yang et al. (2019) noted a similar cogenetic assemblage of magnetite with  
360 hematite inclusions and suggested that the strong carbonate magmatic differentiation  
361 has caused the formation of dolomite, magnetite, and significant REE accumulation in  
362 the Bayan Obo deposit. Thus, it is reasonable to infer that mineral inclusions share the  
363 same formation genesis with the massive magnetite that micron-, even nano-sized  
364 cassiterite primarily crystallized in an early stage of carbonatite-related  
365 mineralization.

366 Clustered grains of cassiterite are typically distributed in the frame of ore-hosting  
367 veins. Mostly, they are observed outside of massive magnetite, indicating a later  
368 formation than that of disseminated cassiterite inclusions. The Fe-rich features of  
369 clustered cassiterite indicate a hydrothermal origin with relatively low forming  
370 temperatures between 250 and 500 °C (Tindle and Breaks 1998a). This nature of the  
371 hydrothermal environment is also supported by the intergrowth with fluorite, apatite,  
372 calcite, bastnaesite, and monazite, which are considered hydrothermal minerals in the  
373 Bayan Obo deposit (Liu et al. 2020; She et al. 2021).

#### 374 **Sn mineralization in the Bayan Obo deposit**

375 In the Bayan Obo deposit, Sn enrichment is first marked by abundant cassiterite  
376 inclusions in magnetite. Based on the evidence of crystallography and oxygen  
377 fugacity, this study could establish a link between the early-stage Sn mineralization  
378 and synchronous extensive iron mineralization during carbonatite magma evolution in  
379 Bayan Obo. Interestingly, porous domains are common in massive magnetite (Fig.  
380 6c). In such areas, cassiterite grains are clearly larger: this phenomenon may be linked  
381 to the hydrothermal attack by fluids on magnetite, a process leading to the dissolution  
382 of micron-sized cassiterite inclusions in magnetite and subsequent remobilization of  
383 Sn. Successive crystallization of larger cassiterite certainly took place in this process.  
384 If extensive fluid-induced fracturing occurs in magnetite during deformation, large  
385 cassiterite may crystallize, even accumulate, commonly as clusters, in association  
386 with fluorite, calcite, aegirine or hydrothermal amphibole, etc (Fig. 6a, d, e). Other

387 Sn-bearing minerals such as Sn-rich rutile, titanite or bafertisite, textually of  
388 hydrothermal origin, have also supported the activity of Sn in hydrothermal  
389 environments. The remobilization of ore-forming elements and the carbonatite-related  
390 hydrothermal reaction with the country rocks have been suggested to be the driving  
391 forces of REE and Nb hydrothermal mineralization in the Bayan Obo deposit, as  
392 evidenced by large amounts of REE fluorocarbonates, monazite, aeschynite, and  
393 pyrochlore occurring within complex mineral assemblages with fluorite, aegirine, or  
394 amphibole (Liu et al. 2020; She et al. 2023). Thus, a substantial hydrothermal  
395 overprinting process of Sn mineralization may be constrained.

396 The geochronological framework of the Bayan Obo deposit has been established  
397 previously. As a whole, three stages can be mostly recognized, corresponding to  
398 multiple magmatic and hydrothermal activities during the formation of the Bayan Obo  
399 REE-Nb-Fe deposit. The original mineralization began at around 1.3 Ga, and the  
400 reworking mineralization took place at 450 ~ 420 Ma as a consequence of the  
401 Paleozoic magmatism (Yang et al. 2011a, 2017; Campbell et al. 2014; Fan et al.  
402 2014). Younger granite of about 269 Ma (a narrow time from 263 to 281 Ma) has a  
403 similar superimposed reactivation effect on the Bayan Obo district (Ling et al. 2014).  
404 Indeed, the stocked Sn in magnetite can be conceived as an original Sn mineralization  
405 (or enrichment), which is a part of the polymetallic mineralization during the  
406 Neoproterozoic period. Our dating results of ore minerals genetically coexisting with  
407 cassiterite in vein-type ores notably gave an age of 425 Ma, thus constraining an

408 Paleozoic-stage Sn mineralization, which probably has originated from the  
409 reactivation of cassiterite in magnetite by the Paleozoic magmatism.

#### 410 **Sn resources in the Bayan Obo deposit**

411 Most Sn ore deposits are associated with granite (Lehmann, 2021); by construct,  
412 carbonatites are the main source of niobium and rare earth elements (Verplanck et al.  
413 2016; Simandl and Paradis 2018). Although Sn may be considered an element  
414 geochemically similar to niobium, its enrichment in carbonatites is uncommon, as  
415 evidenced by the low Sn contents in carbonatitic rocks (several ppm, GEOROCK,  
416 Appendix. Table A12) with the rare occurrence of carbonatitic cassiterite worldwide.  
417 Even so, significant Sn contents may be searched out in some carbonatites. For  
418 instance, the Elk Creek carbonatite in southeast Nebraska hosts the largest Nb  
419 resource in the United States of America (Karl et al. 2021), which contains Sn higher  
420 than 50 ppm (up to 125 ppm) in a dozen of samples (Verplanck et al. 2022). Mitchell  
421 and Smith (2017) noted the “uncommon but relatively abundant” cassiterite in the  
422 Ashram Zone carbonatite of the Eldor Complex (Canada); in fact, this carbonatite also  
423 contains a certain amount of Sn with a weighted median of 22 ppm (Beland and  
424 Williams-Jones 2021). Thus, as reported in this study, Sn enrichment and  
425 mineralization in the carbonatite-related Bayan Obo deposit is not accidental but  
426 merits attention on the potential importance of Sn resource in carbonatites. Based on  
427 the evidence of whole-rock chemical compositions, the Bayan Obo carbonatite-related  
428 deposit effectively hosts a strong Sn mineralization. The averages of bulk Sn contents

429 in banded and massive REE-Fe ores are 60 ppm, even higher than that in Sn granites  
430 (usually 18 ~ 26 ppm, Lehmann, 2021). Particularly, the vein-type REE-Fe ores  
431 contain 735 ppm on average, comparable with the classic threshold of Sn-mineralized  
432 granites (~800 ppm, at FMQ + 3.12) (Linnen and Cuney 2005). Thus, if we earnestly  
433 consider the truth of such Sn enrichment, the vein-type ores of the Bayan Obo deposit  
434 and their genetic formation are worthy of continued study.

435 The Bayan Obo carbonatite-hosted deposit has immense resources of rare earth  
436 elements (48 million metric tons of REEs grading 6 wt.% RE<sub>2</sub>O<sub>3</sub>), iron (1500 million  
437 metric tons of Fe grading 35 wt.% Fe<sub>2</sub>O<sub>3</sub>) and niobium (2.2 million metric tons of Nb  
438 grading 0.13 wt.% Nb<sub>2</sub>O<sub>5</sub>) (Smith et al. 2015; Fan et al. 2016). Carbonatites in Bayan  
439 Obo, generally containing iron, are orebodies of rare earth elements (Fan et al. 2016).  
440 As discussed above, Sn mineralization in REE-Fe ores has a close relationship with  
441 Nb mineralization, thus we generally assume that the amount of Sn-bearing ores  
442 corresponds to the amount of Nb-bearing ores. Therefore, we can estimate the  
443 resource volume of Sn in Bayan Obo based on the Sn/Nb ratios of REE-Fe ores and  
444 the known Nb resource volume of 2.2 Mt (Smith et al. 2015; Fan et al. 2016). The  
445 average Sn/Nb ratios of analysed Fe-REE ores is 0.19 while the Sn/Nb ratios of vein-  
446 type ores range from 0.06 to 1.09, with an average value of 0.66 according to the  
447 whole-rock geochemical data. Given that the vein-type ores have the highest Sn  
448 concentraion, they could be the economically important ores for Sn exploration in  
449 Bayan Obo. The resource volume is equal to the quantity of ores times the grade,

450 hence we can give an rough estimation of Sn resource of 0.42 to 1.45 Mt in the Bayan  
451 Obo deposit.

## 452 **IMPLICATIONS**

453 We have identified abundant cassiterite grains and several associated Sn-rich  
454 minerals in the Bayan Obo deposit, and conclude the genetic framework of Sn  
455 mineralization in this carbonatite-hosted deposit: Sn is derived from carbonatitic  
456 magma, pre-enriched at around 1.3 Ga, as evidenced by the formation of dense  
457 micron-sized cassiterite inclusions in massive magnetite. The subsequently Early  
458 Paleozoic hydrothermal events led to the remobilization of Sn, forming larger  
459 clustered cassiterite grains and Sn-rich minerals at around 425 Ma. Vein-type ores are  
460 important ore-bearing rocks from Bayan Obo. Similar to Nb mineralization, Sn  
461 mineralization in Bayan Obo probably forms a huge resource, thereby designating this  
462 deposit as a prospective target for Sn mining. The potential Sn resource volume is  
463 estimated to be 0.42 ~ 1.45 Mt. By studying and summarising the Sn mineralization  
464 process in Bayan Obo, we can propose a possible Sn mineralization model in  
465 carbonatite or carbonatite-alkaline systems. Genetically, Sn originates from deep  
466 carbonate magmas and the pre-enrichment of Sn may have been the co-generation  
467 between cassiterite and other dominant ore minerals of the carbonatite deposit in an  
468 oxidizing environment. Subsequently hydrothermal events facilitate the reactivation  
469 and precipitation of Sn, which may result in a large-scale Sn mineralization, as

470 represented by cassiterite and other Sn-bearing minerals. Our work is prospective and  
471 instructive for further studies of Sn enrichment in carbonatite systems.

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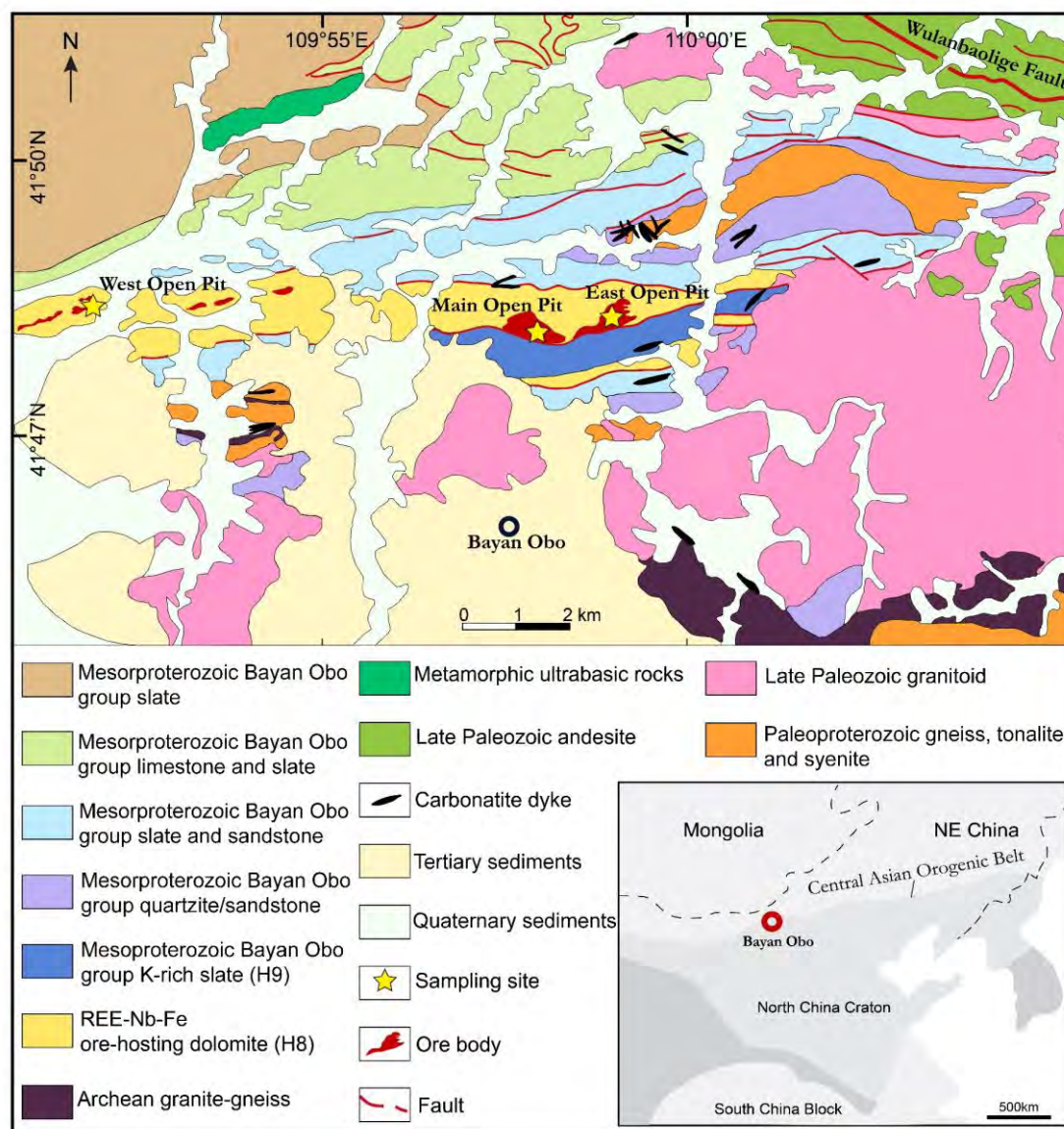
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Table 1. Tin contents of different types of ores (ICP-MS analyses)

Ore type	Main mineral assemblages	Sn contents (ppm)	Nb contents (ppm)	Sc contents (ppm)	La contents (ppm)				
Disseminated	Dolomite+fluorite (DK2006)	9.04	Average	356.07	Average	56.26	Average	5259.68	Average
	Dolomite (DK2012)	10.00	(15.03)	80.60	(677.80)	26.60	(43.92)	9220.00	(4594.30)
	Dolomite+fluorite (DK1904)	11.67		170.63		19.70		2434.09	
	Dolomite (XK1922)	17.45		2279.68		41.92		4557.72	
	Dolomite+fluorite (XK2035)	27.00		502.00		75.10		1500.00	
Banded	Magnetite+fluorite+REE minerals (ZK1917)	44.52	Average	1922.62	Average	113.95	Average	3233.93	Average
	Phlogopite+magnetite+REE minerals (17BY39)	151.00	(61.92)	1845.00	(945.62)	80.80	(73.29)	1165.00	(>5758.15)
	Fluorite+aegirine+magnetite (DK1901)	15.00		57.10		13.60		>10000	
	Fluorite+aegirine+magnetite (DK1903)	29.00		546.00		72.50		7170.00	
	Fluorite+magnetite+aegirine (DK2004)	119.00		758.00		91.60		>10000	
	Fluorite+dolomite (XK2037)	13.00		545.00		67.30		2980.00	
Massive	Magnetite+dolomite (17BY16)	23.16	Average	972.35	Average	28.16	Average	1475.52	Average
	Magnetite+Fluorite (17BY158)	34.56	(62.42)	645.25	(717.64)	116.15	(53.95)	277.28	(>3801.15)
	Aegirine+magnetite (17BY62)	42.00		267.00		42.50		>10000	
	Magnetite+aegirine (ZK1932)	36.00		297.00		31.50		518.00	
	Fluorite+magnetite (DK1909)	17.00		340.00		17.80		>10000	
	Magnetite+fluorite/calcite/aegirine/Na-amphibole (ZK1918)	200.21		871.90		51.12		3167.26	
	Magnetite+fluorite/calcite/aegirine/Na-amphibole (ZK1919)	/		/		/		/	
Vein-type	Fluorite+magnetite+calcite (XK2028)	84.00		1630.00		90.40		1170.00	
	Aegirine+magnetite (DK1906)	256.91	Average	4407.34	Average	164.46	Average	2370.91	Average
	Aegirine+fluorite+bafertisite (DK2003)	1515.00	(735.23)	1650.00	(1857.84)	108.50	(93.77)	7090.00	(>5820.23)
	Aegirine+Na-amphibole+bafertisite (DK2007)	794.00		759.00		49.40		3820.00	
	Aegirine (DK2022)	375.00		615.00		52.70		>10000	
All samples		Average		Average		Average		Average	
		(173.84)		(978.07)		(64.18)		(>4882.25)	

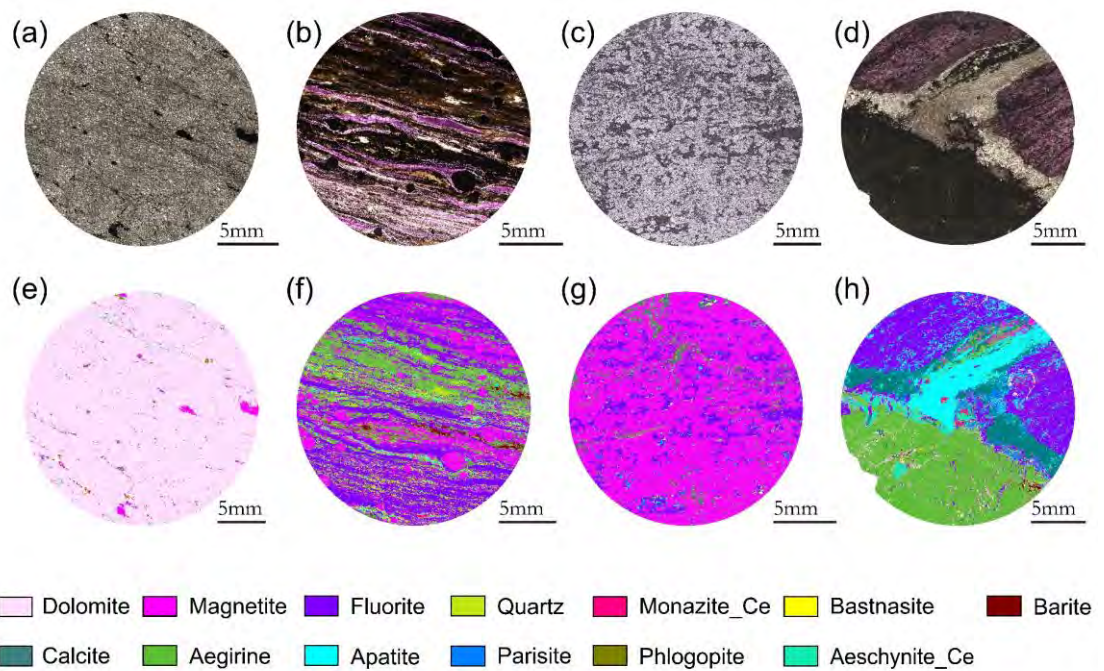
Table 2. Representative electron-microprobe results of clustered cassiterite in from vein-type ores

No.	Cassiterite associated with fluorite (n=17)						Cassiterite associated with aegirine (n=11)					
	1	2	3	Min	Max	Ave.	1	2	3	Min	Max	Ave.
TiO <sub>2</sub>	0.57	0.27	0.28	0.06	0.57	0.30	2.48	3.15	2.62	2.17	6.07	3.32
CaO	0.59	1.07	0.82	0.57	1.07	0.76	0.65	0.55	0.50	0.50	1.02	0.60
SnO <sub>2</sub>	97.42	97.30	98.55	95.91	99.53	97.93	93.86	92.65	93.81	89.17	95.74	93.29
SiO <sub>2</sub>	0.16	0.24	0.19	0.14	1.55	0.35	0.61	0.31	1.37	0.18	1.40	0.47
Ta <sub>2</sub> O <sub>5</sub>	0.00	0.00	0.00	0.00	0.05	0.01	0.00	0.00	0.07	0.00	0.07	0.01
FeO	0.89	0.17	0.28	0.05	1.01	0.41	1.94	2.26	1.14	1.11	2.37	1.61
MnO	0.04	0.02	0.00	0.00	0.05	0.01	0.34	0.42	0.12	0.12	0.49	0.25
Nb <sub>2</sub> O <sub>5</sub>	0.08	0.08	0.10	0.00	0.59	0.13	0.19	0.95	0.23	0.19	1.11	0.49
MgO	0.12	0.22	0.12	0.08	0.22	0.11	0.12	0.12	0.08	0.07	0.13	0.10
Total	99.88	99.36	100.34	98.21	100.72	100.00	100.19	100.40	99.93	99.00	101.09	100.13
a.p.f.u based on O=2												
Ti	0.01	0.01	0.01	0.00	0.01	0.01	0.05	0.06	0.05	0.04	0.11	0.06
Ca	0.02	0.03	0.02	0.02	0.03	0.02	0.02	0.01	0.01	0.01	0.03	0.02
Sn	0.96	0.97	0.97	0.94	0.98	0.97	0.90	0.89	0.90	0.84	0.93	0.89
Si	0.00	0.01	0.00	0.00	0.04	0.01	0.01	0.01	0.03	0.00	0.03	0.01
Ta	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Fe	0.02	0.00	0.01	0.00	0.02	0.01	0.04	0.05	0.02	0.02	0.05	0.03
Mn	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.01	0.00	0.00	0.01	0.01
Nb	0.00	0.00	0.00	0.00	0.01	0.00	0.00	0.01	0.00	0.00	0.01	0.01
Mg	0.00	0.01	0.00	0.00	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	1.02	1.02	1.02	1.01	1.02	1.02	1.03	1.03	1.02	1.02	1.04	1.03



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**FIGURE 1.** Geological map of the Bayan Obo region modified after Fan et al. (2016). Inset tectonic map showing the location of the Bayan Obo deposit at the northern margin of the North China Craton, adapted from Ling et al. (2013).



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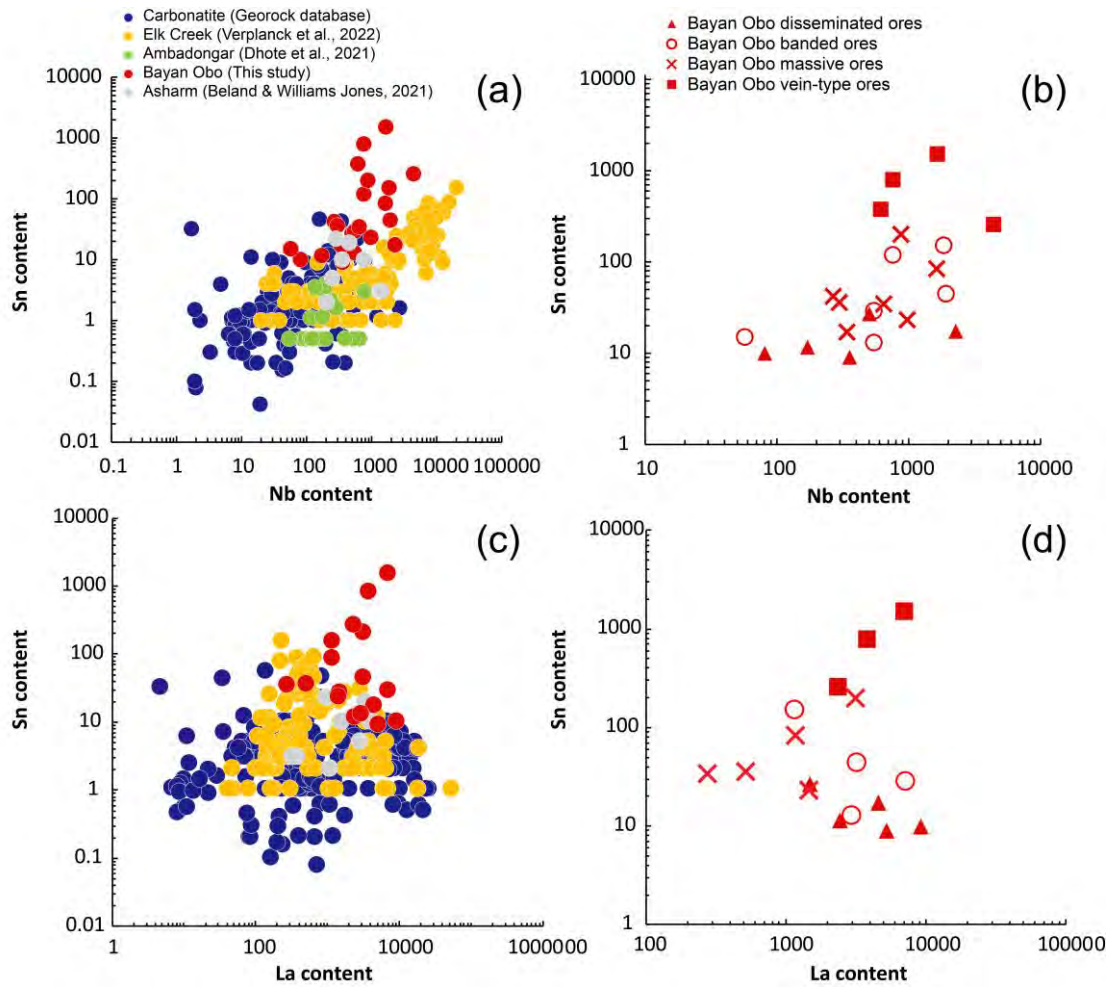
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**FIGURE 2.** Single polarized microscope images and AMICS (Advanced Mineral Identification and Characterization System) images illustrating ore textures and mineral assemblages of REE-Fe ores in the Bayan Obo deposit. (a, e) disseminated ore; (c, g) banded ore; (b, f) massive ore; (d, h) vein-type ore.

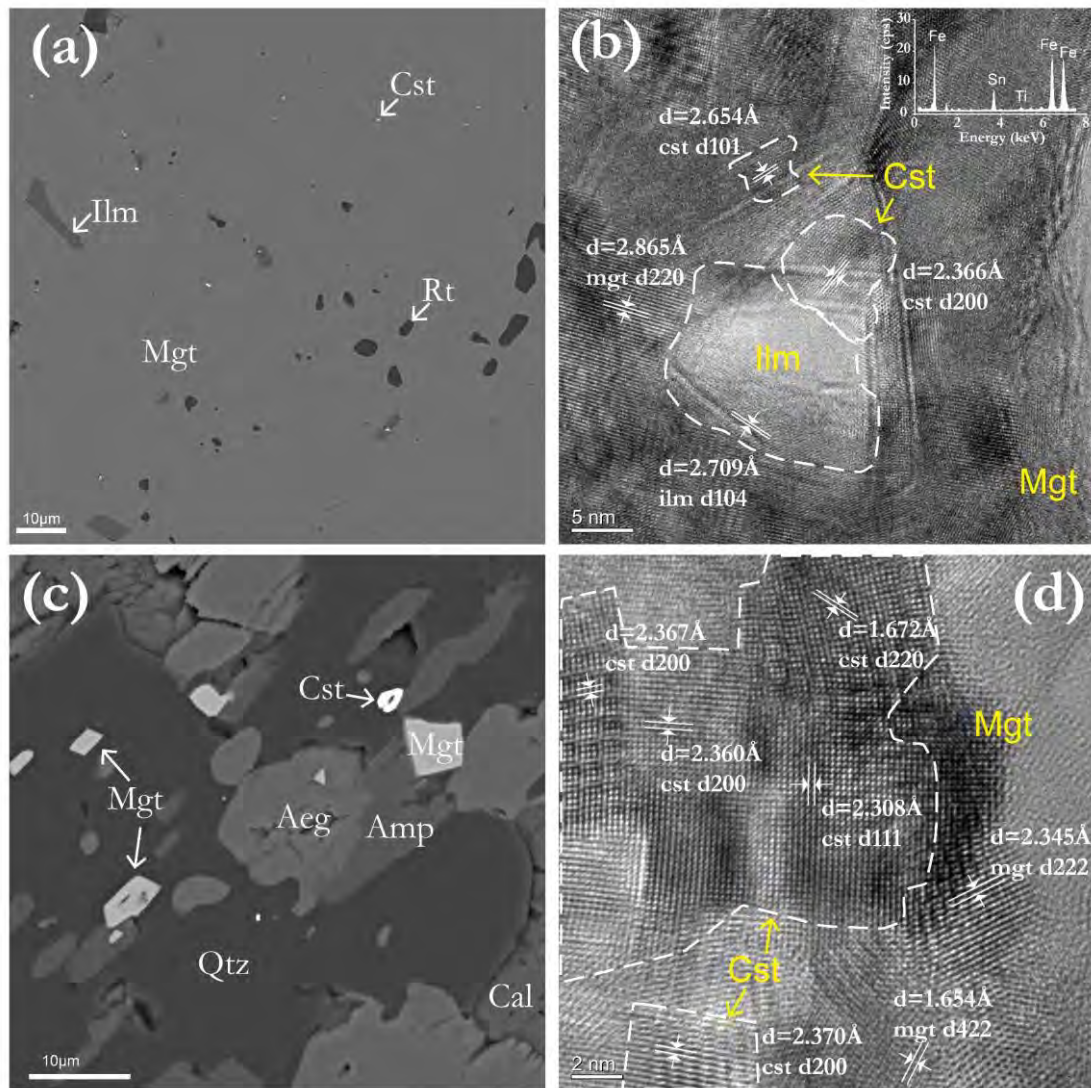




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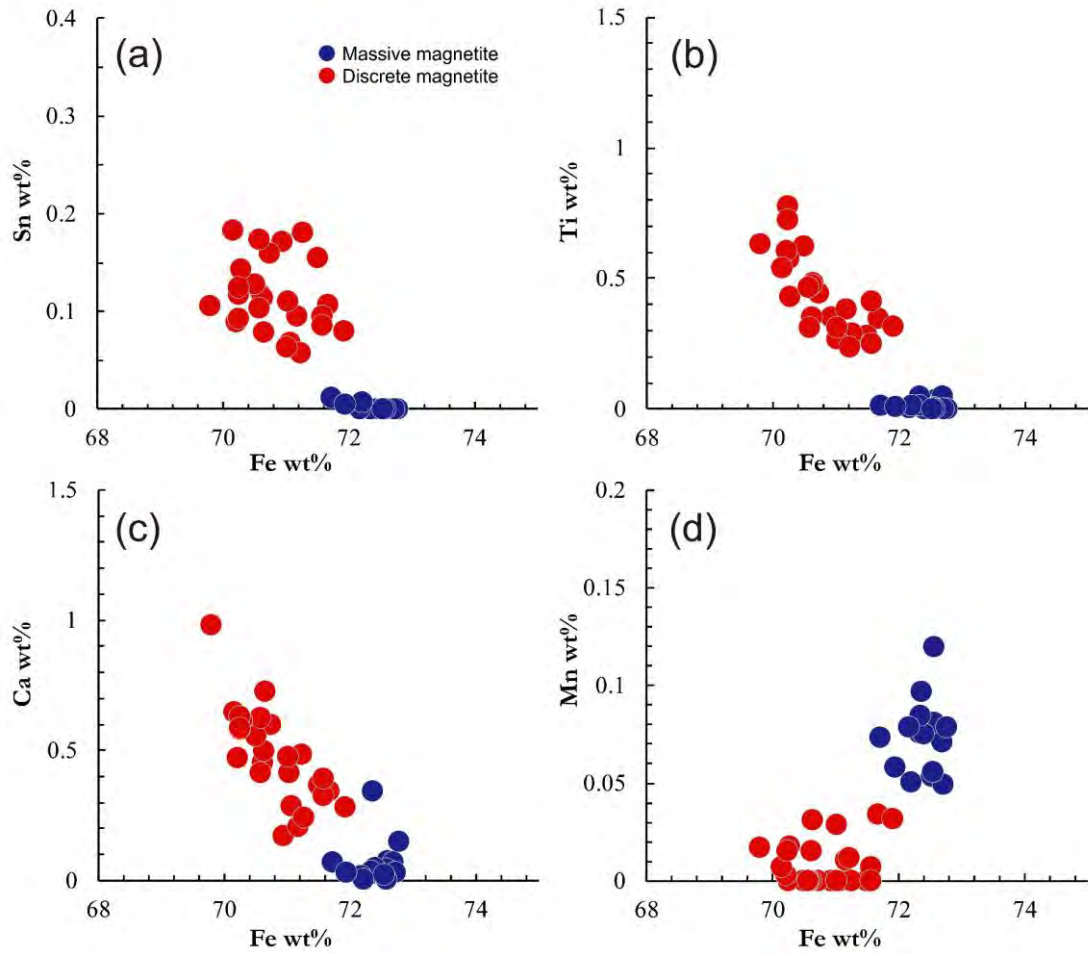
**FIGURE 3.** Binary plots (logarithmic scales) of Nb content vs. Sn content (a, b) and La content vs. Sn content (c, d) in bulk from Bayan Obo deposit and other carbonatites.





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**FIGURE 4.** BSE (a, c) and TEM images (b, c) of magnetite grains associated with cassiterite.



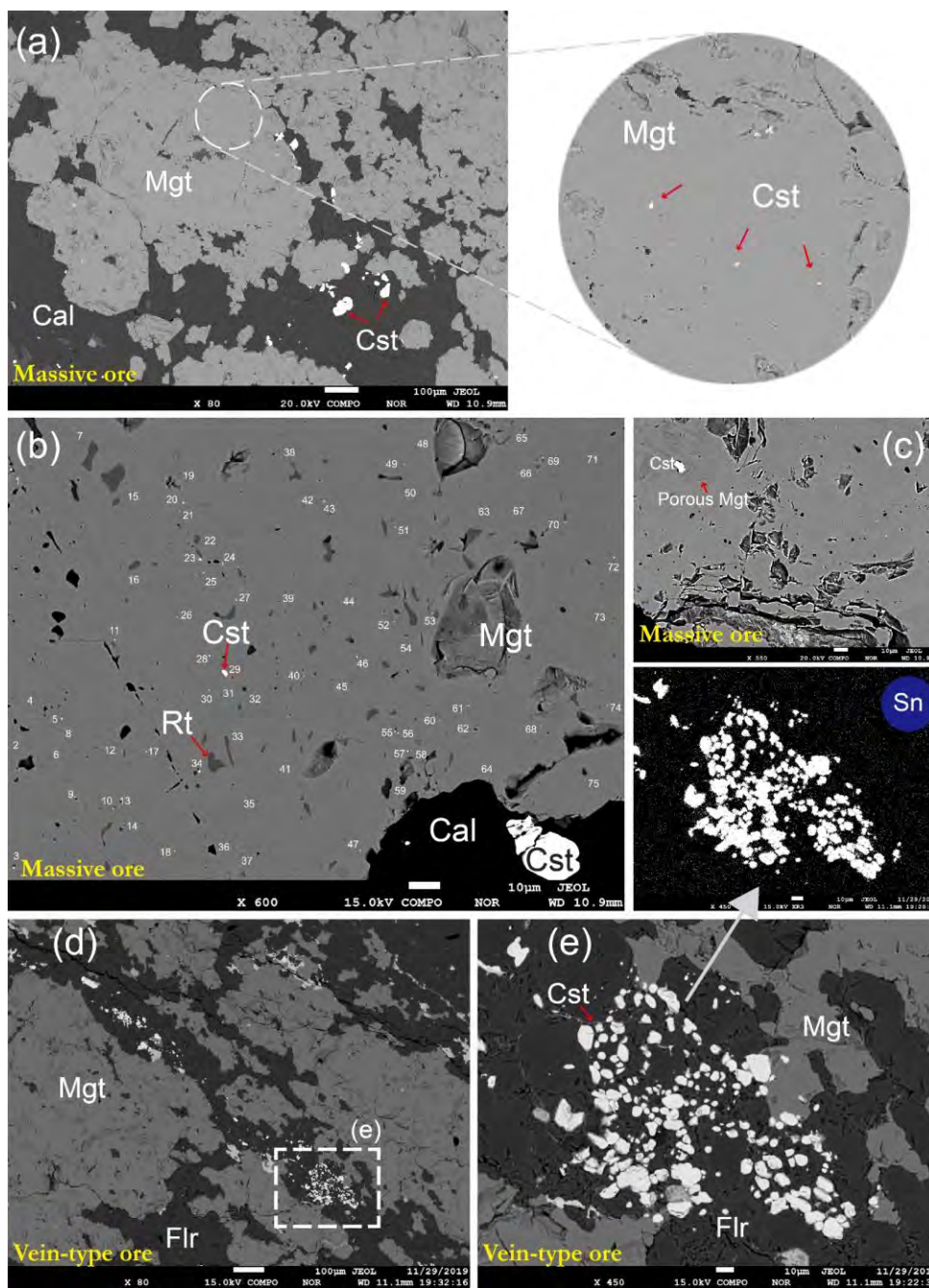
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**FIGURE 5.** Binary plots of Fe vs. Sn (a), Fe vs. Ti (b), Fe vs. Ca (c) and Fe vs. Mn (d) to distinguish massive magnetite and discrete magnetite in the Bayan Obo deposit.

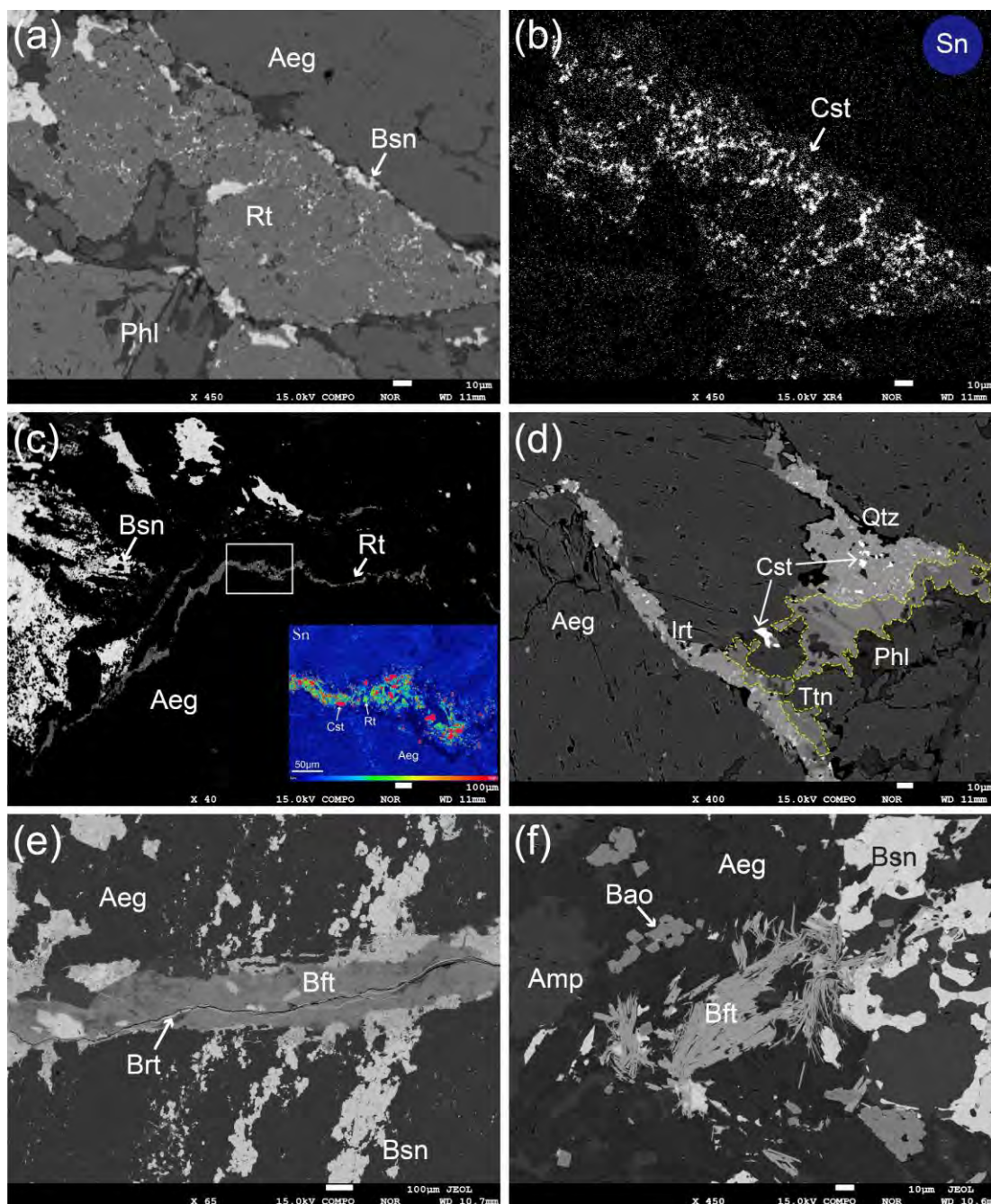


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**FIGURE 6.** Scanning electron microscope investigation of cassiterite in the Bayan Obo deposit. (a) Disseminated cassiterite inclusions in massive magnetite. The inset enlargement shows the micron-sized grains of cassiterite (arrowed). Note that larger cassiterite grains tens of microns across occurs in the calcite vein outside of magnetite. (b) Abundance of micro-inclusions of disseminated cassiterite grains within massive magnetite (numbers alongside 75 grains of cassiterite identified with Sn X-ray scanning); Sn-bearing rutile is also arrowed. (c) Porous domain with one larger cassiterite grain (upper left) in magnetite. Micron-sized cassiterite inclusions are also indicated by arrow for comparison. (d, e) Clustered cassiterite in fluorite surrounding or cutting magnetite. Abbreviation: Cst = cassiterite; Mgt = magnetite; Cal = calcite; Rt = rutile; Flr =

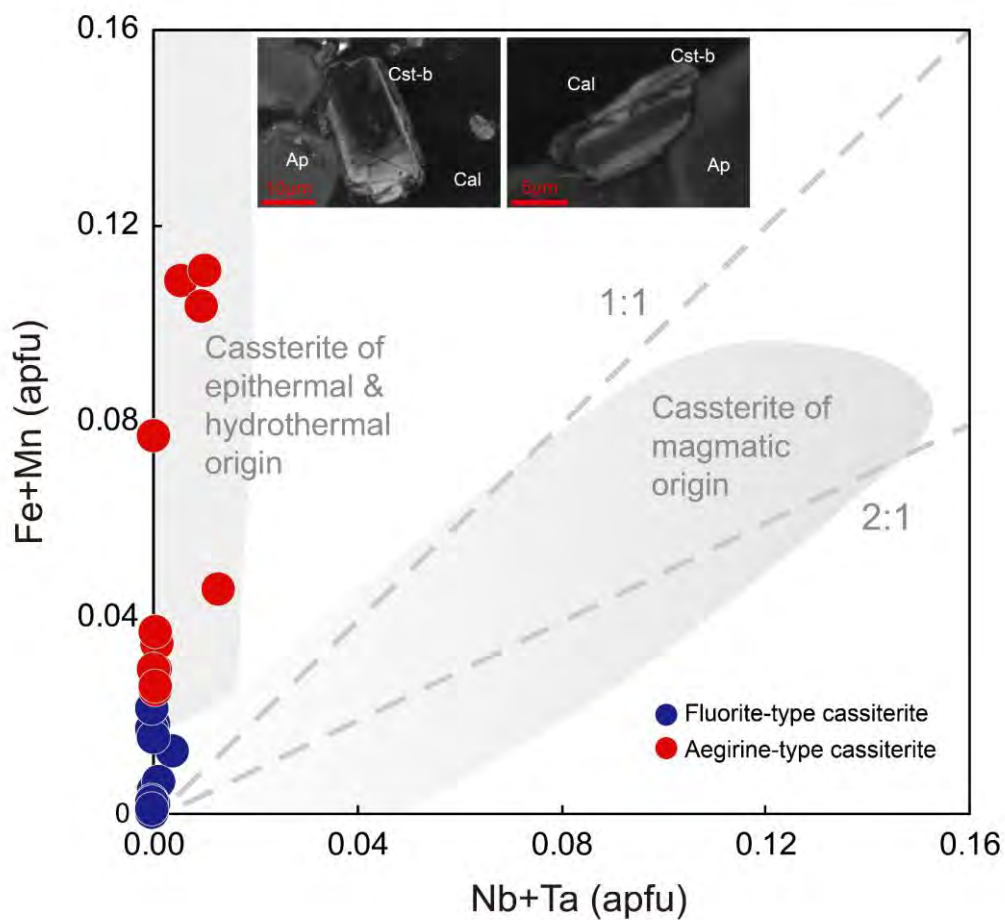
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**FIGURE 7.** Scanning electron microscope investigation of other Sn-bearing minerals in the Bayan Obo deposit. (a) BSE and Sn X-ray scanning mapping of cassiterite accumulating with aggregates of subhedral Sn-rich rutile. (b) Same area in (a) showing occurrence of cassiterite with rutile (Sn scanning image). (c) Veinlets of rutile crosscutting the aegirine (BSE image). (d) BSE image of Sc-rich titanite in vein-type ore (e) Veinlet of Sn-bearing bafertisite (BSE image). (f) Aggregates of fiber Sn-rich bafertisite (BSE image). Aeg = aegirine; Amp = amphibole; Bao = baotite; Bft = bafertisite; Brt = baryte; Bsn = bastnaesite; Cst = cassiterite; Irt = ilmenorutile; Phl = phlogopite; Qtz = quartz; Rt = rutile; Ttn = titanite.



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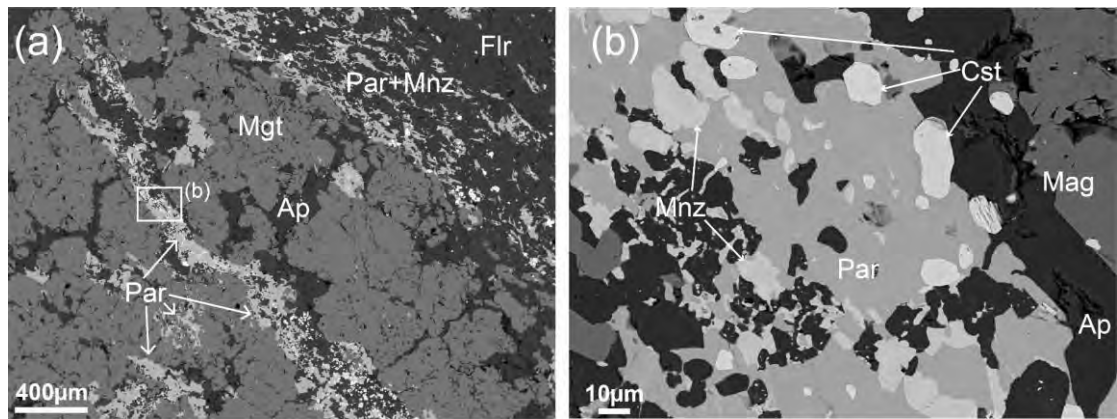
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**FIGURE 8.** (Nb+Ta) vs (Fe+Mn) diagram for clustered cassiterite (EPMA data). Shaded areas indicate cassiterite of magmatic or hydrothermal origin (modified after Tindle & Breaks, 1998). Inset CL images show zonation of representative analyzed cassiterite grains. Cst = cassiterite; Ap = apatite; Cal = calcite.

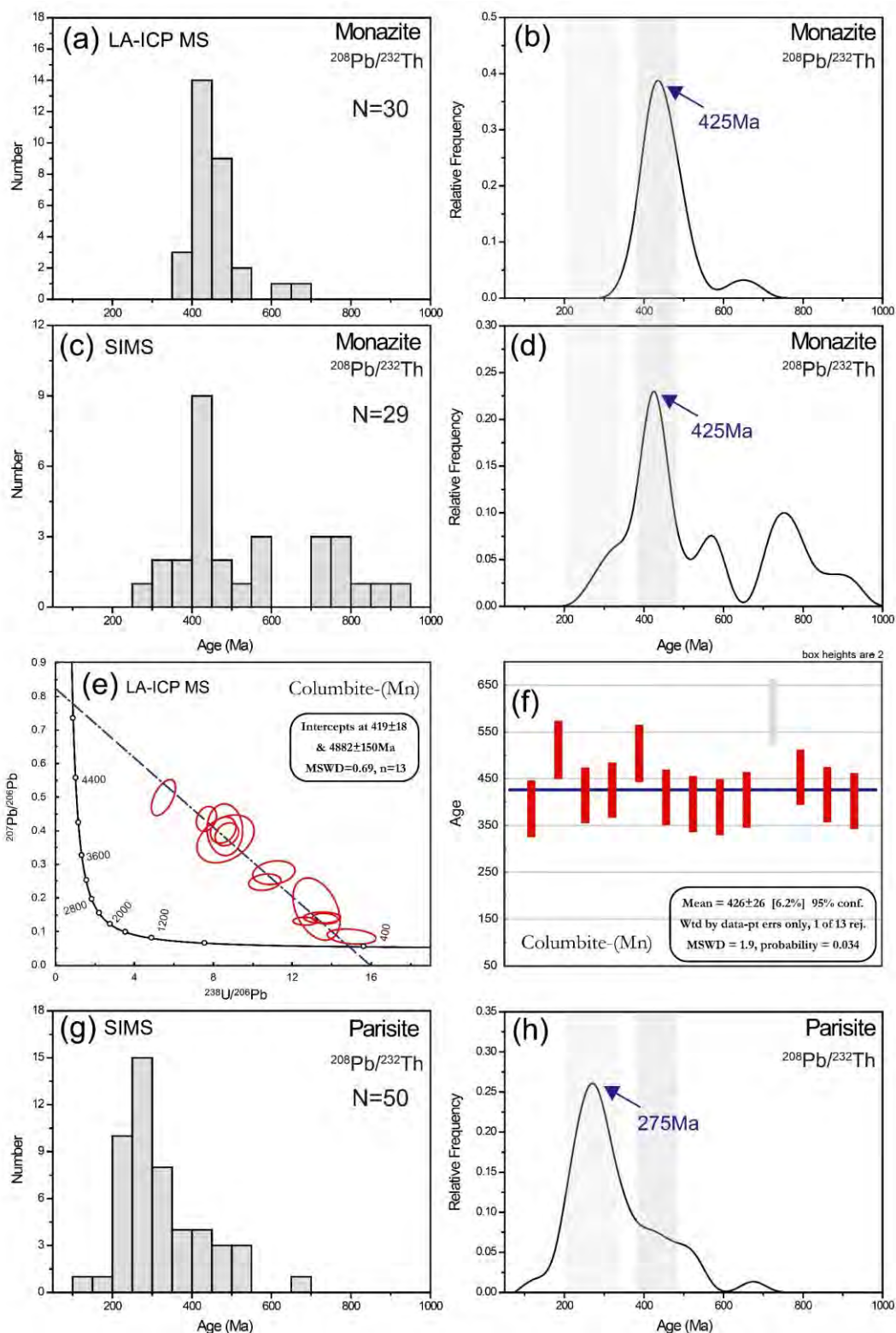


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**FIGURE 9.** The backscattered electron (BSE) images of monazite and parisite co-existing with cassiterite in the vein-type ore from the Bayan Obo deposit.



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**FIGURE 10.** *In-situ* U-Th-Pb dating of ore minerals coexisting with cassiterite. (a, b) Frequency distribution histogram and relative probability plots of monazite LA-ICP-MS ages; (c, d) Frequency distribution histogram and relative probability plots of monazite SIMS ages; (e, f) Columbite LA ICPMS ages; (f, g)



658            Frequency distribution histogram and relative probability plots of parisite SIMS  
659            ages.