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- 4 Interpreting Mineral Deposit Genesis Classification with
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#### Abstract

23 Machine learning improves geochemistry discriminant diagrams in classifying 24 mineral deposit genetic types. However, the increasingly recognized 'black box' 25 property of machine learning has been hampering the transparency of complex 26 data analysis, leading to the challenge in deep geochemical interpretation. To address the issue, we revisited pyrite trace elements and propose to use 'Decision 27 Map', a cutting-edge visualization technique for machine learning. This technique 28 reveals mineral deposit classifications by visualizing the 'decision boundaries' of 29 high-dimensional data, a concept crucial for model interpretation, active learning, 30 and domain adaptation. In the context of geochemical data classification, it 31 enables geologists to understand the relationship between geo-data and decision 32 boundaries, assess prediction certainty, and observe the data distribution trends. 33 This bridges the gap between the insightful properties of traditional discriminant 34 diagrams and the high-dimensional efficiency of modern machine learning. Using 35 pyrite trace element data, we construct a decision map for mineral deposit type 36 classification, which maintains the accuracy of machine learning while adding 37 valuable visualization insight. Additionally, we demonstrate two applications of 38 decision maps. First, we show how decision maps can help resolve the genetic 39 type dispute of a deposit whose data was not used in training the models. Second, 40 we demonstrate how the decision maps can help understand the model, which 41 further helps find indicator elements of pyrite. The recommended indicator 42 elements by decision maps are consistent with geologists' knowledge. This study 43

44	confirms the decision map's effectiveness in interpreting mineral genetic type
45	classification problems. In geochemistry classification, it marks a shift from
46	conventional machine learning to a visually insightful approach, thereby
47	enhancing the geological understanding derived from the model. Furthermore, our
48	work implies that decision maps could be applicable to diverse classification
49	challenges in geosciences.

# 50 Keywords:

- 51 Decision map; Mineral deposit genesis; Machine learning classification; Pyrite trace
- 52 element; Discriminant diagrams
- 53

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## Introduction

56 The accelerating pace of data generation and computational power, coupled 57 with the burgeoning interest of geoscientists in machine learning, is leading to 58 significant breakthroughs in the applications and discoveries in Geosciences 59 (Petrelli and Perugini, 2016; Bergen et al., 2019; Karpatne et al., 2019, Petrelli 60 2021; Hou et al., 2024). The data-driven study in geosciences essentially aims at digging deep information from complex/huge data sets, rather than merely and 61 simply producing classification or prediction models. The 'black box' nature of 62 machine models, however, hinders our understanding of decision-making 63 processes during machine learning (Lipton, 2018; Carvalho et al., 2019; Molnar, 64 2020). Although pioneering explorations on the transparency of the working 65 66 pathway of machine learning have emphasized the significance of the interpretability machine learning model (Lipton, 2018; Carvalho et al., 2019; 67 Molnar, 2020; Yuang et al., 2021), such work is lacking in the classification of 68 mineral deposit genetic environments. 69

Understanding the mineral deposit genetic environments is important to explore the physio-chemical conditions that are responsible for the ore formation (Deng et al., 2016, 2020a, b; Qiu et al., 2024b). To improve the precision of the ore deposit classification environment, with a transparent and interpretable machine learning approach, we introduce and apply the innovative visualization technique of *decision map*, developed by Rodrigues et al. (2019) and Oliveira et

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al. (2022). The visualization method reveals how various machine learning
classifiers function by conducting dimensionality reduction and providing a clear,
visual representation of decision zones, with each zone signifying a different
inferred class (Rodrigues et al., 2019). This compelling visualization approach
fosters a better understanding of the classification process.

81 We underscore the potency of decision maps within a fundamental geological 82 domain: the genesis of mineral deposits. The dwindling supply of near-surface ore deposits necessitates deeper exploration (Gregory et al., 2019). The ability to 83 recognize the type of mineralization present in a given context can offer critical 84 85 insights, thus streamlining exploration efforts and minimizing associated costs (Gregory et al., 2019). Trace elements measured in specific minerals, such as 86 87 quartz, pyrite, apatite, and zircon, can serve as unique identifiers for understanding their genesis, revealing types of minerals deposits and host rock genetic 88 environments (Belousova et al., 2002b; Chew et al., 2012; Rusk, 2012; O'Sullivan 89 et al., 2020; Wang et al., 2021; Zhong et al., 2021; Zhu et al., 2022; Zhou et al., 90 2023) 91

Classification of mineral deposits environments has traditionally been studied using visual tools, including discriminant diagrams (Pearce & Cann, 1973; Bralia et al., 1979; Belousova et al., 2002a, 2002b; Rusk, 2012; Li et al., 2015; Breiter et al., 2020, Zhou et al., 2022), and, more recently, machine learningassisted approaches (Petrelli & Perugini, 2016; Gregory et al., 2019; Wang et al., 2021; Zhong et al., 2021; Liu et al., 2023; Qiu et al., 2024c). However, striking a

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98 balance between visual interpretability and accuracy is still a challenge. There 99 have also been some attempts of using machine learning to optimize geochemistry 100 discriminant diagrams (O'Sullivan et al., 2020; Wang et al., 2022). Such 101 applications improve the quality of the patterns depicted by the diagrams but still 102 do not take full advantage of high-dimensional information. Here, decision maps come to the fore, combining the high-accuracy of machine learning models with 103 visual accessibility to decision boundaries, greatly promoting transparency and 104 interpretability. This study represents the first application of visualization to 105 elucidate machine learning classification in mineral deposit genetic types, 106 highlighting the paramount role of visualization techniques in modern data 107 interpretation and decision-making. 108

Here, our contributions straddle both information visualization and 109 mineralogy domains: (1) We offer a unique pyrite trace elements dataset 110 comprising six genetic populations. (2) We illuminate the added value of the 111 decision map technique in deciphering the machine learning classification results, 112 opening up new avenues for using decision maps. (3) We introduce a method that 113 114 seamlessly blends the merits of traditional 2D discriminant diagrams (visual interpretability) and machine learning methods (high accuracy), providing a 115 robust framework for mineral genesis classification problems. This blend of 116 117 visualization and machine learning underlines the evolving landscape of data science, championing transparency and interpretability. 118

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## Background

#### 120 Machine learning classifiers for mineral genetic type classification

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Machine learning is the emerging approach to solving geochemistry data 121 122 classification problems (Gregory et al., 2019; O'Sullivan et al., 2020; Petrelli & 123 Perugini, 2016; Wang et al., 2021). We start by introducing a few notations. Let  $D = \{x_i\} \subset \mathbb{R}^n$ ,  $1 \le i \le N$ , be a dataset of *n*-dimensional data points  $x_i =$ 124  $\{x_i^1, x_i^2, \dots, x_i^n\}$  with corresponding labels  $y_i \in C$ , where C is the set of classes. Let 125  $x^{j} = \{x_{1}^{j}, x_{2}^{j}, \dots, x_{N}^{j}\}, 1 \leq j \leq n$ , be the *j*-th feature of *D*. Thus, *D* can be seen as 126 a table with N rows (samples) and n columns (dimensions or features), and  $y = \{y_1, y_2\}$ 127  $y_2, \dots, y_N$  is the corresponding label vector. Simply put, given a dataset D, a 128 machine learning classifier constructs a function  $f : \mathbb{R}^n \to C$  so that  $f(\mathbf{x}_i) = y_i$  for 129 ideally all  $x_i \in D_t$ , where  $D_t \subseteq D$  is so the called training set. After training, one 130 uses the model f to infer labels of unseen points  $\mathbf{x}_i$ . In the present work, we tested 131 132 different machine learning classification algorithms, including Logistic Regression (Cox, 1958), Support Vector Machines (SVM) (Cortes & Vapnik, 133 1995), Random Forests (Breiman, 2001), and Neural Networks. They represent 134 distinct families of algorithms: Logistic Regression is a linear classification model; 135 136 SVM stands as a maximum margin classifier; Random Forest embodies an 137 ensemble method; and Neural Network signifies deep learning. Crucially, these 138 classifiers are frequently examined in mineral classification studies (Gregory et al., 2019; Zhong et al., 2021). This frequent examination not only enables a 139

140 thorough comparative analysis but also underscores the relevance and robustness

141 of our conclusions within the machine learning applications in geosciences.

Although machine learning methods efficiently process high-dimensional data, enabling the accurate identification of numerous mineral classes with minimal human effort, they often face criticism for their black-box nature, which provides limited insight into the reasoning behind classifications. Our work aims to reveal the black box by extending machine learning classifiers with decision maps, which is elaborated in the following section.

#### 148 **Decision Maps**

149 A decision map is a visualization technique designed to display the decision 150 boundaries of classifiers. A decision boundary, in essence, is a surface that segregates high-dimensional data points  $\mathbf{x}_i \in \mathbb{R}^n$  into distinct regions or decision 151 zones. Within each zone, all points receive the same label from the classifier f. 152 Analyzing these zones provides insights into the classifier's behavior, such as 153 pinpointing misclassification issues based on how labeled samples are distributed 154 near decision boundaries and understanding the classifier's generalization based 155 on the distribution of unlabeled samples. 156

Historically, visualizing these decision zones was a challenge. To address this, Rodrigues et al. (2018, 2019) proposed Decision Boundary Map (DBM), which can visualize decision boundaries for *any* selected classifier. After a classifier f is trained on a high-dimensional dataset D, their method projects D to

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161 a 2D scatterplot  $P(D) = \{P(x) \mid x \in D\}$ . This is done using dimensionality reduction, or projection, methods P such as PCA or t-SNE (Van der Maaten & 162 Hinton, 2008). Next, every pixel p in the 2D bounding box of P(D) is inversely 163 projected to  $\mathbb{R}^n$  to create synthetic data points  $P^{-1}(\mathbf{p})$ . These are then classified by 164 f and their corresponding pixels p are colored by the assigned class labels  $f(P^{-1}(\mathbf{p}))$ . 165 To construct decision maps, one thus needs to have a projection method  $P: \mathbb{R}^n \to \mathbb{R}^n$ 166  $\mathbb{R}^2$  and its inverse  $P^{-1}: \mathbb{R}^2 \to \mathbb{R}^n$ . Unavoidably, both the direct and inverse 167 projections P and  $P^{-1}$  introduce errors – that is, in general,  $P^{-1}$  is not an exact 168 inverse of P, i.e.,  $P^{-1}(P(\mathbf{x})) \neq \mathbf{x}$  for several data points **x**. However, such errors can 169 170 be evaluated by metrics (see next section).

To compute the P and  $P^{-1}$  pair, Espadoto et al. (2021) proposed Self-Supervised Network Projections (SSNP), a deep learning method that jointly addresses P,  $P^{-1}$ , and data clustering. Using SSNP, Oliveira et al. (2022) proposed Supervised Decision Boundary Map (SDBM), a method that increases both the speed and quality of the original DBM method. Thus, SDBM was employed to construct decision maps for all the following experiments.

177 Methods

# 178 **Dataset collection**

The dataset used in this study is a compilation of published pyrite trace elements datasets. Pyrite is a ubiquitous mineral in the crust. Appearing in various mineral deposit types, its trace elements can fingerprint its forming environments

182	(Belousov et al., 2016; Zhong et al., 2021). In this study, we compiled a dataset
183	with 3571 pyrite LA-ICP-MS analyses from different origins, including Ni-
184	Cu/platinum group element deposits (Ni-Cu-PGE, igneous deposits), porphyry
185	deposits, orogenic deposits, Carlin-type Au, volcanic-hosted massive sulfide
186	(VHMS) deposits, and barren sedimentary pyrite. Eleven trace elements (Co, Ni,
187	Cu, Zn, Se, As, Ag, Sb, Au, Bi, Pb) are selected as features, or dimensions, for
188	our study. Each trace element was measured in parts per million (ppm) and these
189	measurements were used to train machine learning classifiers which are next
190	explored using the decision map. Detailed information on the compiled dataset is
191	shown in Table 1, including the used data sources.

#### 192 Workflow

After assembling the dataset to be used for classification, the following workflow was conducted: data preprocessing, SDBM training, search for best classifiers, map building, and evaluation.

Metrics. To select the best classifier-decision map pair, we use the following three
metrics, which are core metrics for decision map evaluations (Wang et al., 2023).

198 Classifier accuracy *ACCc*, computed traditionally, is the fraction of correct 199 predictions in a high-dimensional dataset and its respective labels. It is defined as

200 
$$ACC_{C} = \frac{|\{x_{i} \in D \mid C(x_{i}) = f(x_{i})\}|}{|D|}, \qquad (1)$$

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where  $|\cdot|$  denotes the size of a set, and *D* is the sample set (with labels in *C*) used for evaluation.

203 Map accuracy  $ACC_{\rm M}$  is the proportion of correctly positioned data points 204 in the decision zones for a given dataset. It is defined as

205 
$$ACC_{M} = \frac{|\{x_{i} \in D \mid C(x_{i}) = f(P^{-1}(P(x_{i})))\}|}{|D|}.$$
 (2)

Data consistency *Cons* measures the proportion of samples that retain their predicted labels, as determined by the classifier f, after the direct-inverse projection cycle. It is defined as

209 
$$Cons = \frac{|\{x_i \in D \mid f(P^{-1}(P(x_i))) = f(x_i)\}|}{|D|}.$$
 (3)

210 **Data preprocessing.** The data were processed by the following steps:

Data missing value imputation: Unless not measured, missing values in the input dataset indicate analyses below detection limits. Missing values were set to half the detection limit to keep the data distribution.

Data transformation: Normality of the features is desired for downstream machine learning model training. Trace elements in minerals are lognormal distributed. A power transformation (Yeo & Johnson, 2000), given by

217 
$$T(x_i^j) = \log_{10}(x_i^j + 1)$$
(4)

was applied to each sample i in each dimension j to obtain this desired normality.

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Data splitting: The whole dataset was randomly split into a training set  $D_t$ (80%) and a test set  $D_T$  (20%) by stratified sampling while keeping each class's proportions.  $D_t$  was used to train the classifier and SDBM, while the  $D_T$  was used to evaluate the performance of the classifier, the quality of the computed SDBM, and finally the classifier-SDBM combination.

Oversampling: Decision functions would favor the class with the larger number of samples as our dataset is unbalanced. To correct this, the Synthetic Minority Oversampling Technique (SMOTE) (Chawla et al., 2002) was applied to  $D_t$ . Note that this does not affect our final results since we split  $D_T$  before oversampling.

229 **Optimal decision boundary map construction.** In the following, we describe 230 the pipeline we use to construct the optimal decision map. The workflow is 231 summarized in Figure 1.

SDBM training: Building decision maps followed the SDBM pipeline (Oliveira et al., 2022), except that we trained SSNP, the technique used for constructing P and  $P^{-1}$  before training the classifier. This was needed because our aim next was to search for the best classifier among candidates evaluated using the *same* SSNP instance.

Classifier search: Four classifiers were evaluated by stratified K-fold crossvalidation on the training set using the metrics described by Equations 1-3. These
classifiers included Logistic Regression, SVM (with an RBF kernel), Random

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Forests (200 estimators), and a Neural Network (3 hidden layers of 100 units each).
All these models were constructed using scikit-learn (Pedregosa et al., 2011). The
classifier with the highest cross-validation scores (Equations 1-3) was selected
and retrained to build the final decision map.

Map building: We created the final decision map following the procedure 244 detailed in Oliveira et al. (2022). The decision map resolution was set to  $300^2$ 245 pixels. Pixels **p** were colored by the class value  $f(P^{-1}(\mathbf{p}))$ . To represent confidence 246 247 levels (prediction probability of f) on the decision map, we adjusted the brightness of each pixel. Pixels **p** in areas with lower confidence, typically near the 248 boundaries where decisions change, are shown in darker shades. In contrast, **p** in 249 high confidence areas, well inside a clear decision region, are shown in brighter 250 shades. The visual approach allows users to quickly see where the model's 251 predictions are more or less certain. 252

Evaluation: The retrained classifier and SDBM were finally evaluated on  $D_T$ with the metrics in Equations 1-3.

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#### Results

The results of the classifier search are shown in Table 2. Random forests got the highest  $ACC_{\rm C}$  but the lowest  $ACC_{\rm M}$ , which can be considered a poor generalization; SVM ranked third in  $ACC_{\rm C}$  and first in both  $ACC_{\rm M}$  and Cons; Neural Network had slightly lower results than Random forests for all three

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260 considered metrics; Logistic regression did not obtain competitive results in 261 classifier accuracy compared to the other three models, its ACC<sub>c</sub> being 0.09 lower 262 than the penultimate one (SVM). Based on all three metrics, we selected SVM as 263 the best classifier for building the decision map. The resulting map of pyrite 264 classification built for SVM is shown in Fig. 2 with samples of both the training and test set plotted. Test set samples are dots with black outlines; training set 265 samples are dots without outlines. We see that most samples fall within their 266 respective decision zones, which already indicates a good classification 267 268 performance.

For the evaluation on the test set  $D_T$ , SVM got an overall accuracy  $ACC_C =$ 269 0.91 (Equation 1), while the SDBM got an overall accuracy  $ACC_{\rm M} = 0.88$ 270 (Equation 2) and a consistency Cons = 0.90 (Equation 3). The confusion matrices 271 of both the SVM and the SDBM are shown in Fig. 3. ACC<sub>M</sub> is 0.03 lower than 272  $ACC_{C}$ . This minor discrepancy, which is nearly uniform across all classes, 273 suggests that the SDBM's (inverse) projection process (P and  $P^{-1}$ ) introduces a 274 minimal classification error for the SVM. This negligible drop of accuracy 275 indicates that the SDBM faithfully represents the actual classifier's decision 276 277 boundaries.

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# Applications

We next present two applications of the decision maps to show their addedvalue in classifier construction and analysis. First, we demonstrate how decision

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maps work on samples from unseen locations and show their added-value in conjunction with regular machine learning methods. Second, we demonstrate how decision maps can help data exploration and model explanation.

#### 284 Unseen location application examples

285 Case Study: Analysis of the Zaozigou Gold Deposit. The trained classifier and 286 its decision map were applied to data of pyrite trace elements from a new location – 287 the Zaozigou gold deposit, which is unseen by the models. Zaozigou is the largest gold 288 deposit (118t Au) that is under operation in the Gannan area in the Triassic West 289 Qinling orogenic belt in China (Qiu et al., 2020). Pyrite is the main gold-bearing mineral in this deposit, and its trace elements can be used to identify the 290 physicochemical conditions of gold mineralization (Yu et al., 2022a; Oiu et al., 2023). 291 The genetic classification however is still in debate, which hinders our understanding 292 for ore formation and future explanation strategy (Qiu et al., 2020, 2024a). Sui et al. 293 294 (2020) considered that the Zaozigou deposit is a reduced intrusion-related gold system (magmatic); Qiu et al. (2020) and Yu et al. (2022b) argued that this deposit is best 295 classified as an epizonal orogenic Au-Sb deposit (metamorphic hydrothermal) based 296 on in situ monazite geochronology. 297

The fine-labeled pyrite trace element data from Sui et al. (2020) was analyzed using the trained classifier and decision map. The pyrites are categorized into three types: (1) Py1a: pyrites in sedimentary rocks, (2) Py1b: pyrites in dike-hosted ores, and (3) Py2: pyrite grains in quartz-sulfide-ankerite veinlets. We believe that this

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example demonstrates our new approach's utility in solving real scientificproblems.

304 Classifying Pyrite from Zaozigou. The data from the Zaozigou deposits yield 305 results in two parts: the regular machine learning classifier (SVM) results (Table 3) 306 and the decision map (SDBM) results (Table 4, Fig. 4). (1) For the samples labeled Py1a (pyrite sedimentary rocks), the SVM classified 56% of them as orogenic pyrite 307 and 44% as pyrite in Carlin-type deposits; on the decision map, 46% of these samples 308 were plotted in the sedimentary zone, 39% in the orogenic zone, and 15% in the Carlin 309 zone. (2) For samples labeled Py1b (dike-hosted ores), most are classified as orogenic 310 (94% and 84% for SVM and SDBM, respectively). (3) Most samples labeled Py2 311 (grains in quartz-sulfide-ankerite veinlets) are also classified as orogenic (70% and 78% 312 for SVM and SDBM, respectively). In summary, SVM and SDBM yield similar results: 313 Py1b and Py2 samples are classified as orogenic class; Py1a samples, however, exhibit 314 315 ambiguity between Carlin, orogenic, and sedimentary types. The decision map tends to classify Py1a samples as sedimentary more than the SVM. 316

Focusing on the decision map (Fig. 4), Py1b and Py2 samples are mainly plotted in the orogenic zone, as expected. However, intriguingly, Py1a samples are divided into two clusters. One cluster is within the orogenic domain, while the other is located around the boundaries of the orogenic, Carlin, and sedimentary zones. From the geological perspective, this bifurcation suggests that the first cluster may have interacted with ore fluids, resulting in a distinct geochemical signature. Consequently, their intricate geochemical features make these data to

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be a challenge to be classified. As a result, they landed near the decision
boundaries of several related decision zones, which are areas of low confidence
from the perspective of machine learning classification.

327 In summary, decision maps offer two significant pieces of additional information beyond mere agreement with the classifier: First, they reveal data 328 329 *clusters*, which are crucial for interpreting the data, as demonstrated above; 330 Second, the decision maps demonstrate information for *each individual sample*, not as an aggregate score. This includes the level of classification *confidence*, for 331 example, whether a sample is close to a decision boundary. Such detailed 332 333 information offers a more granular understanding than an overall and general aggregate score. 334

### 335 Exploratory data analyses and model explanation using decision maps

Feature Inverse Projection. The decision maps shown so far are useful to show how all samples spread over the decision zones inferred by the trained model and also allow interpretation of the classification *confidence* in terms of the distance from a sample to its closest decision boundary in the map. However, they do not show which *features* are most responsible for the emergence of the respective decision zones. Understanding this is essential to further explain the studied phenomenon. To address this goal, we propose a new visualization called *feature inverse projection*.

To see the relationship between each feature and the decision zones/boundaries, we created a corresponding map to each feature (pyrite trace

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elements). For the map of each feature  $j, j \in \{Co, Ni, Cu, Zn, Se, As, Ag, Sb, Au, Bi, Pb\}$ , each pixel p was colored by  $T^{-1}(P^{-1}(\mathbf{p})^{j})$ , which is the value of the respective feature j, where  $T^{-1}(t) = 10^{t} - 1$  is the inverse function of the power transformation given by Equation 4.

Ranking the features. To better guide a better reading of the maps, we propose to rank the features quantitatively. While there are multiple ways to rank the features based on their importance, here we suggest two options: (1) permutation feature importance (Breiman, 2001) for global ranking (all classes), and (2) mutual information (Ross 2014) for local ranking (user selected class).

The permutation feature importance of the classifier gives an intuition of 354 355 the importance ranking of these trace elements in pyrite genetic type classification globally. The rank of the permutation feature importance of the SVM classifier on 356 the test set is Ni > Au > Sb > Pb > As > Se > Co > Bi > Cu > Ag > Zn (Fig. 357 358 5a). The importance value of each feature is the decrease in SVM accuracy on  $D_T$ 359 when a single feature value is randomly shuffled. All the importance scores are above zero, which means that all these trace elements are helpful in the 360 classification. 361

The permutation importance provides a glimpse of the overall feature ranking. However, when the users are interested in how much a feature helps with distinguishing a certain class from all the others, a better option is to design an algorithm to rank for this specific class. Therefore, we tailor mutual information

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366 to our decision map case. For each feature *j*, we calculate the mutual information  $I(S_c(f(P^{-1}(\mathbf{p}))), P^{-1}(\mathbf{p})))$  for all pixel **p**, where  $S_c$  is a function that masks off all 367 368 labels which are not c (the class label selected by users ). Mutual information is a 369 non-negative value. Simply put, it measures the dependence of the feature *j* and 370 the user-selected class c. It equals 0 if feature j and label c are independent. The higher the value, the stronger the dependency, and thus the visual pattern of the 371 feature aligns better with selected decision zone c and its decision boundaries 372 (discussed below). This quantitative measurement is particularly useful when 373 multiple features show similar patterns. 374

Note that the ranking methods are to provide the users (geologists) with clues for exploring the data. Geologists' knowledge is still crucial in interpreting the data in this human-centered application case.

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378 Visualizing feature patterns. The resulting inverse projected features are 379 displayed in the permutation importance order (Fig. 5b-l). Black lines in these images 380 show the decision boundaries. Actual feature values of the high-dimensional samples 381 corresponding to every pixel are color-coded on an ordinal colormap (blue=low, 382 red=high feature values). We used a banded colormap having a small number of discrete levels. This way, color changes in the images indicate the actual isolines 383 (equal-feature-value contours) of the respective features in the data. Simply put, if a 384 color band created by the above colormap for value v of feature f has a shape that 385 matches well the shape of a decision zone for class c it is plotted over, it means that the 386 value v of f is a strong predictor of class c. Conversely, if all color bands of feature f 387 have shapes that do not match well *any* of the decision zones, it means that f is not a 388 strongly useful feature for the classification. This can be exemplified by either 389 permutation importance or mutual information ranking: (1) Ni, which is ranked as the 390 391 most important feature for prediction, shows three color bands (dark blue, light blue, red) which match quite well the Porphyry, VHMS, and Ni-Cu-PGE zones, respectively 392 (Fig. 5b). In contrast, Zn, the least important feature for prediction, shows color bands 393 that match far less well than any of the six decision zones (Fig 51). While permutation 394 importance gives us an initial understanding of feature relevance, mutual information 395 can provide a more nuanced view, especially in terms of how specific features align 396 with a certain class. (2) For instance, in mutual information ranking, the features with 397 the highest and lowest scores for the orogenic class are Pb and Au, respectively (Fig. 398 6). The isolines of Pb align well with the shape of the orogenic decision zone (Fig 5e), 399

highlighting Pb is a strong indicator for predicting orogenic class. Conversely, the
isolines of Au, being roughly perpendicular to the orogenic decision zone (Fig. 5c),
indicate that Au is less useful for discriminating this class.

Let us explore in detail how the feature inverse maps show the relationships 403 between pyrite trace elements and their forming environment types learned from 404 the model. We consider both visual patterns (relations between color bands and 405 decision zones) and feature ranking. We do this in order of permutation 406 407 importance: (1) The color bands show that Ni > 1000 ppm can distinguish Ni-Cu-PGE from other classes, and Ni < 1 ppm can distinguish porphyry from other 408 classes (Fig. 5b); Mutual information feature ranking confirms the importance of 409 Ni for both Ni-Cu-PGE and porphyry classes (Fig. 6). (2) Au > 100 ppm 410 characterizes pyrites from orogenic and Carlin-type deposits. Au < 0.1 ppm is the 411 412 character of pyrites from barren sedimentary and Ni-Cu-PGE (magmatic) deposits (Fig. 5c); However, Au is not a strong predictor for any single class, as indicated 413 by its lower mutual information scores (Fig. 6). (3) Pyrite with Sb < 0.1 ppm is 414 more likely from Ni-Cu-PGE or porphyry deposits, while pyrite with Sb > 10 ppm 415 is more likely from the other four classes (Fig. 5d); The mutual information score 416 417 robustly supports the visual pattern indicating the importance of Sb for the 418 porphyry class (Fig. 6). (4) Pyrites from VHMS deposits, sedimentary and Carlin-419 type deposits tend to have Pb values > 100 ppm (Fig. 5e); Moreover, as mentioned 420 above, the color band of Pb concentration ranging 10 - 100 ppm aligns well with 421 the shape of the orogenic decision zone, the significance of which is also

422 confirmed by mutual information score for orogenic class (Fig.6). (5) Pyrite from 423 Carlin, orogenic and VHMS deposits have high As values. Most Carlin pyrite and 424 some orogenic pyrite could have As > 10000 ppm (Fig. 5f); When focusing on a 425 single class, As appears to be an efficient predictor for only Carlin class (Fig. 6). 426 (6) Pyrites with Se < 10 ppm are more likely to be from porphyry or orogenic deposits (Fig. 5g); Se, however, does not have a significant mutual information 427 score for distinguishing any single class, as shown in Figure 6. (7) Co > 1000 ppm 428 characterizes Ni-Cu-PGE pyrite (Fig. 5h); Figure 6 highlights Co as the most 429 significant element for the Ni-Cu-PGE class. (8) VHMS and part of the Ni-Cu-430 PGE zone have Bi > 10 ppm (Fig. 5i); However, Bi's insignificance for single-431 class discrimination is evident in Figure 6. (9) Cu < 10 ppm is the character of 432 porphyry pyrite. Pyrites in the other four classes have Cu varying from 10 to 433 10000 ppm (Fig. 5j); The significance of Cu for identifying porphyry class is also 434 strongly confirmed by the mutual information score (Fig.6) (10) The color band 435 of Ag < 1 ppm fairly align with the porphyry zone(Fig. 5k); However, similar to 436 Au, Ag is overall also not efficient for identifying any class, as it never ranks in 437 the top 3 for any class in mutual information score (Fig. 6). (11) The Zn value 438 color bands do not match the decision zones well, except for the band of Zn > 100439 ppm, which matches the VHMS zone fairly well (Fig. 51); And Zn is indeed the 440 most efficient element for distinguishing VHMS from other classes according to 441 mutual information score for VHMS class (Fig.6). 442

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# Discussion

#### 444 Interpretability and limitations of decision maps

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Based on the evaluation metrics (Equations 1-3), we established the optimal 445 decision map for the pyrite genetic type classification task. As shown in the results, 446 the SVM has an  $ACC_C$  of 0.91, while the decision map for the aforementioned 447 SVM has an  $ACC_M$  of 0.88 and a Cons of 0.90, on the test set  $D_T$ . This means that 448 decision maps can be used to accurately predict how a classifier works. From a 449 450 visual perspective, there is only a slight overlap of data points in the center of the 451 map (Fig. 2), a property with which no existing 2D discriminant diagram can 452 compete.

453 Decision maps provide a novel way to get insight into how machine learning 454 classifiers work and where each data point lands in the context of decision boundaries. They should not be seen as a replacement, but rather an enhancement, 455 of traditional classifier metrics (e.g., accuracy): Classifier metrics give a highly 456 457 aggregated quality score (for the entire problem or per class), but do not tell how 458 specific *instances* (train, test, or new) get classified. This is exactly the addition 459 that decision maps provide. More specifically, the actual *shapes* of the decision 460 zones and the spread of instances over them tell how easy is for a given classifier 461 to handle a given data distribution, e.g., which classes are easily separable from the others and/or which parts of the data distribution are easily classifiable. 462

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463 On top of the samples being categorized into a major class label, Decision maps show how samples are similar to certain other classes via their distances to 464 the closest decision boundaries. Samples near decision boundaries are more 465 466 uncertain about the predicted label and thus more likely to be misclassified. 467 Feature inverse maps (discussed below) provide additional insights into why these samples may have such problems. However, all these tools need to be 468 complemented by an expert's knowledge to lead to effective interpretations and 469 understanding of the studied phenomenon. Decision maps provide thus a way to 470 combine human knowledge with machine learning predictions when interpreting 471 classification results in a way that cannot be obtained from regular machine 472 learning classification routines. The application of the decision map on the 473 Zaozigou pyrite data discussed next further illustrates the added-value of our 474 visualizations (detailed in the next subsection). 475

Besides *observation-centric* interpretation (seeing how samples spread with 476 respect to each other and the inferred decision zones), our new addition to decision 477 maps – the feature inverse projections – provides a *class-centric* interpretation, 478 i.e., allows analysts to understand which features and feature values are key 479 480 responsible for the appearance of specific decision zones or even separate sample 481 groups. These feature inverse projections can be seen as a summary of real-world 482 complex data that show what the model learned and how it decides when values 483 vary. We further discuss this in the next subsection.

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484 Our visual analysis techniques scale well with both the number of samples 485 and the number of dimensions. As shown in Figure 7, the computation time of 486 SDBM is minimally affected by changes in either the number of dimensions or 487 the number of samples. It consistently remains at approximately 3-10 seconds on 488 a standard desktop computer with a consumer-level graphics card. This contrast is particularly noticeable when compared to machine learning classifiers such as 489 SVM, LDA, and QDA, which can be highly sensitive to changes in data 490 dimensionality (Fig. 7). In general, using SDBM to obtain a decision map for a 491 given classifier requires only a few additional seconds after the classifier is trained. 492 Every sample point is reduced to a single 2D scatterplot point. While overplotting 493 does occur, this does not affect, we argue, the usability of our proposal. Indeed, in 494 most applications, one is interested in reasoning about *groups* of similar samples 495 496 and not every single individual. Such groups become actually better visible when 497 large amounts of samples are plotted. Decision maps also inherit by construction the scalability of the underlying projection techniques to tens or even hundreds of 498 dimensions. Feature inverse projections are less scalable in this sense since we 499 need to plot (and study) one map per feature. However, as discussed above, such 500 501 maps can be ordered by feature ranking methods (e.g. permutation feature importance, mutual information), so that analysts can focus on a small set of most 502 relevant features. Similar techniques have been used for explaining projections of 503 504 high-dimensional data for 3D projections (Coimbra et al., 2016).

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505 Decision maps and their proposed extensions are also generically deployable 506 and easy to use: They can be computed fully automatically given any trained 507 classifier and any datasets (training, test, new) of interest. Exploring the created 508 visualizations also does not require any complex interaction from the user except 509 the optional brushing of points to show details in a tooltip. While the standard implementation of SDBM (Oliveira et al., 2022) does not provide this feature, 510 adding it is very simple. Note that this functionality can target both existing points 511 from the projected dataset D used to construct the SDBM, and more interestingly, 512 513 *new* points that correspond to pixels in the decision map to which no actual data point projects. These effectively generate new, unseen, data points in the high-514 dimensional space (via the inverse projection  $P^{-1}$ ) which allow the analyst to 515 reason about how the classifier, or more generally phenomenon under study, 516 would behave for data outside the actually measured dataset one has. 517

However, decision maps also have some limitations. As explained earlier, 518 both direct and inverse projections have inevitable errors which cannot be fully 519 eliminated in the generic case. We address this issue by quantifying the magnitude 520 521 of errors and demonstrating that, for classifier analysis, these errors are minimal and do not significantly impact the interpretability of the decision maps. If desired, 522 one can easily extend our proposal by visualizing errors locally in the decision 523 524 maps following Espadoto et al. (2021). Studying how such more refined error 525 views can help interpret classifiers is an important future work topic. A separate 526 limitation of decision maps is that they do not *explicitly* depict individual

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527 dimensions along the two axes of the map, unlike classical discrimination diagrams. Combined with the nonlinear nature of the projections used to create 528 529 the maps, this asks analysts to deploy more effort to understand how dimensions 530 vary across the map. For example, the pyrite decision zones in Fig. 2 show a trend 531 from the high-temperature forming environment to the low-temperature forming environment in sequence: Ni-Cu-PGE - Porphyry - Orogenic - Carlin. The 532 feature inverse projections help this analysis by mapping the feature variations, 533 one by one, to the respective maps. An interesting future work direction is to 534 summarize several such feature inverse projection images in a single map, thereby 535 reducing the number of different visualizations one needs to study to interpret a 536 decision map. 537

### 538 Implications for mineral deposit genesis classification studies

The dataset used in our work includes Carlin-type pyrite and Ni-Cu-PGE 539 540 pyrite trace elements, which fills the gap of previous pyrite machine learning related work (Gregory et al., 2019; Zhong et al., 2021). This dataset provides a 541 more comprehensive view of pyrite from magmatic to hydrothermal origins. More 542 543 importantly, we present a solution to the current problem of the lack of visual interpretability of machine learning in geochemical data classification work. 544 Visual interpretability is a valuable property of traditional geochemistry 545 discriminant diagrams, and it is also a desire for geochemistry data exploration 546 and analysis. Our decision maps solution provides a unique perspective to reveal 547

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548 the structure and properties of data hidden from regular machine learning routines, 549 offering new opportunities for analyzing and explaining geological problems. 550 More specifically, the decision map application on Zaozigou pyrite trace elements 551 shows how seeing the data clusters and their locations on the decision map can 552 help interpretation compared to regular machine learning routines; the feature inverse projections application shows how the decision map can uncover what the 553 model learned from the mapping of pyrite trace elements to pyrite forming 554 environments, and displays how the model decides the type of pyrite when the 555 556 trace element values vary.

We now discuss the specific findings we obtained using decision maps for 557 our specific use-case of studying mineral deposit genesis. Pyrite trace element 558 559 data of the Zaozigou deposit from Sui et al. (2020) are plotted mainly in the orogenic zone on the decision map. Within this zone, the plotted data clusters are 560 closer to the Carlin and sedimentary zones than the porphyry and Ni-Cu-PGE 561 zones. From the view of pyrite trace elements, Zaozigou shows little similarity to 562 563 magmatic-related (Ni-Cu-PGE, Porphyry) deposits. Instead, it shows some more 564 similarity to low-temperature Carlin-type deposits. Therefore, it is reasonable that Pyla are plotted around the boundaries of the orogenic zone, Carlin zone, and 565 sedimentary zone (Fig. 4): Since Py1a samples are pyrites in sedimentary within 566 the gold deposit district, Py1a shares similarities to pyrite in barren sedimentary 567 geologically; Carlin-type deposits, which were first found in Nevada, USA, are 568 569 sediment-hosted, disseminated Au deposits. So, they also share some similarities

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570 to pyrite in barren sedimentary. Most of the Carlin-type samples in the dataset are 571 from gold deposits near the edge of the Yangtze craton, in southeast China. These 572 deposits are also argued to be epizonal orogenic gold deposits (Bodnar et al., 573 2014). If we regard the Carlin class as an epizonal orogenic class, Py1a pyrites are 574 more similar to pyrite from epizonal orogenic deposits than from classic orogenic deposits; Pyrites from Py1b and Py2 are more similar to pyrites from classic 575 orogenic deposits. The conclusion from pyrite trace elements and the decision map 576 method closely agrees with the monazite geochronology conclusion from Qiu et 577 578 al. (2020).

According to the feature inverse projections (Fig. 5, Fig. 6), some trace 579 elements can be considered indicator elements in discriminating the mineral-580 581 forming environments. For example, the model learned that Co, Ni, and Pb are efficient features when classifying Ni-Cu-PGE from others. This model learned 582 knowledge is consistent with geologists' experience that Co, Ni, and their ratio in 583 pyrite are considered reliable indicators and geochemical tools in ore deposit 584 genesis (Bajwah et al., 1987; Bralia et al., 1979). Knowing what the model learned 585 586 for classifying the pyrite genetic types makes it easy to find other elements as 587 indicators. For example, Pb, which is less discussed in the literature, could be an indicator for discriminating Ni-Cu-PGE, porphyry, and orogenic pyrites from the 588 589 other classes. In Figure 5, we can observe that the model considers pyrites of Ni-Cu-PGE and porphyry classes to have the feature that Pb < 10 ppm, while 590 591 orogenic pyrite has Pb roughly between 10 to 100 ppm; Cu could be another

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indicator for discriminating porphyry pyrite from the other classes, i.e., the model considers porphyry pyrite has the feature that Cu < 10 ppm (Fig. 5j). The effectiveness of Pb and Cu as indicators remains to be further proven in practice.

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# Implications

The union of information visualization and mineralogy, as presented in this study, heralds a transformative era in geoscience research. By harnessing the capabilities of enhanced decision maps, we have illuminated a novel approach to interpret classification models, deepening our comprehension of multifaceted geochemistry data dimensions.

The introduction of inverse projections is particularly groundbreaking for 601 geology. This feature unravels the depth of understanding models extracting from 602 complex geochemical data, enabling researchers to directly correlate predictions 603 with specific mineralogical features or value-ranges. In the realm of mineral 604 geochemical discrimination, this research signifies a monumental shift. 605 Transitioning from traditional machine learning classification to the advanced 606 visual analytics of machine learning, we're effectively merging the precision and 607 scalability of modern computational methods with the rich, interpretative legacy 608 of discriminant diagrams. 609

610 As it continues to lean into data-driven methodologies, our work offers a 611 robust toolset for enhanced mineral genesis classification and exploration. Beyond

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the immediate applications, this study promises to influence a range of
geochemistry sub-disciplines, driving more informed, nuanced, and efficient
research and exploration endeavors in the future.

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## List of figure captions

902 Figure 1. Workflow of the optimal decision map construction and evaluation. Abbreviation:

903 LR, Logistic Regression; SVM, Support Vector Machine; RF, Random Forest; NN, Neural

904 Network.

901

905 Figure 2. Decision Map built from the training set and the trained SVM. Training set samples

are plotted as colored dots without outlines. Test set samples are plotted as colored dots with

- 907 black outlines. Darker pixels in the map (mainly pixels close to the decision boundaries) show
- 908 lower classification confidence.
- 909 Figure 3. (a) Confusion matrix for the actual SVM classifier. (b) Confusion matrix for the
- 910 trained decision map for this classifier.
- Figure 4. Zaozigou pyrite trace element data plotted on the trained decision map. The pyrite
  trace element data is from Sui et al. (2020).
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915 feature-values are most responsible for the appearance of the learned decision zones.

- 916 Figure 6. Mutual information scores ranking feature importance for each class respectively.
- Figure 7. Plot showing the time taken by SDBM and 5 common classifiers, utilizing synthetic
  datasets of varying dimensionality and number of samples (n\_samples). The time recorded for
  SDBM includes the duration for fitting training samples and inverse projecting grids (grid size:
  300<sup>2</sup>); whereas, for classifiers, it records the time for fitting and predicting labels for the
  training samples. Abbreviation: RF, Random Forest; SVM, Support Vector Machine NN,
  Neural Network; LDA, Linear Discriminant Analysis; QDA, Quadratic Discriminant Analysis.

923

924

# Appendix

- 925 The data and source codes to reproduce this work are available for download at the link:
- 926 <u>https://github.com/wuyuyu1024/SDBM for Pyrite</u> (accessed on Feb. 18 2024).
- 927

Class	No. of samples	References
Ni-Cu-PGE	263	(Mansur et al., 2021)
Porphyry	658	(Hong et al., 2018; Keith et al., 2022; Li et al., 2017; Liu et al., 2020; Mavrogonatos et al., 2020; Sheng, 2022)
Orogenic	615	(Zhong et al., 2021)
Carlin	487	(He et al., 2021; Large et al., 2009; Liang et al., 2021; Lin et al., 2021; Xie et al., 2018)
VHMS	150	(Revan et al., 2014; Zhong et al., 2021)
Sedimentary	1421	(Zhong et al., 2021)

**Table 1.** Published pyrite trace element datasets used in this study.

932 **Table 2.** Search results of the classifiers for building the Decision Boundary Map. The highest

933 value per metric type is indicated in bold.

Classifier accuracy	Map accuracy	Consistency	
ACCc	АССм	Cons	
0.855176	0.917917	0.870568	
0.942248	0.925539	0.922167	
0.984317	0.885665	0.885665	
0.977870	0.874088	0.875261	
	Classifier accuracy ACCc 0.855176 0.942248 <b>0.984317</b> 0.977870	Classifier accuracy       Map accuracy         ACCc       ACCM         0.855176       0.917917         0.942248 <b>0.925539 0.984317</b> 0.885665         0.977870       0.874088	

934

	Ni-Cu-PGE	Porphyry	Orogenic	Carlin	VMS	Sedimentary
Pyla	0 (0.00%)	0 (0.00%)	18 (43.90%)	23 (56.10%)	0 (0.00%)	0 (0.00%)
Py1b	0 (0.00%)	0 (0.00%)	30 (93.75%)	2 (6.25%)	0 (0.00%)	0 (0.00%)
Py2	0 (0.00%)	2 (5.41%)	26 (70.27%)	7 (18.92%)	2 (5.41%)	0 (0.00%)
Total	0 (0.00%)	2 (1.82%)	74 (67.27%)	32 (29.09%)	2 (1.82%)	0 (0.00%)

**Table 3.** Zaozigou pyrite trace element data classification result from the SVM

939 Table 4. Zaozigou pyrite trace element data classification result from the SDBM

	Ni-Cu-PGE	Porphyry	Orogenic	Carlin	VMS	Sedimentary
Pyla	0 (0.00%)	0 (0.00%)	16 (39.02%)	6 (14.63%)	0 (0.00%)	19 (46.34%)
Py1b	0 (0.00%)	2 (6.25%)	27 (84.38%)	2 (6.25%)	0 (0.00%)	1 (3.12%)
Py2	0 (0.00%)	0 (0.00%)	29 (78.38%)	5 (13.51%)	2 (5.41%)	1 (2.70%)
Total	0 (0.00%)	2 (1.82%)	72 (65.45%)	13 (11.82%)	2 (1.82%)	21 (19.09%)

Figure 1







True label





1000

100

- 10

-0.1







Models

SDBM RF

NN

SVM LDA

QDA

10 100

1000

Dimensions

n\_samples